Evaluation of Conditions for Hydrogen Induced Degradation of Zirconium Alloys during Fuel Operation and Storage

EVALUATION OF CONDITIONS FOR HYDROGEN INDUCED DEGRADATION OF ZIRCONIUM ALLOYS DURING FUEL OPERATION AND STORAGE
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EVALUATION OF CONDITIONS FOR HYDROGEN INDUCED DEGRADATION OF ZIRCONIUM ALLOYS DURING FUEL OPERATION AND STORAGE

FINAL REPORT OF A COORDINATED RESEARCH PROJECT 2011–2015

INTERNATIONAL ATOMIC ENERGY AGENCY
VIENNA, 2015
FOREWORD

This publication reports on the work carried out in 2011–2015 in the coordinated research project (CRP) on the evaluation of conditions for hydrogen induced degradation of zirconium alloys during fuel operation and storage. The CRP was carried out to evaluate the threshold condition for delayed hydride cracking ($K_{\text{ih}}$) in pressurized water reactors and zircaloy-4 and E635M fuel claddings, with application to in-pile operation and spent fuel storage. The project consisted of adding hydrogen to samples of cladding and measuring $K_{\text{ih}}$ by one of four methods.

The CRP was the third in the series, of which the results of the first two were published in IAEA-TECDOC-1410 and IAEA-TECDOC-1649, in 2004 and 2010, respectively. This publication includes all of the research work performed in the framework of the CRP, including details of the experimental procedures that led to a set of data for tested materials. The research was conducted by representatives from 13 laboratories from all over the world. In addition to the basic goal to transfer the technology of the testing techniques from experienced laboratories to those unfamiliar with the methods, the CRP was set up to develop experimental procedures to produce consistent sets of data, both within a single laboratory and among different laboratories. The material condition and temperature history were prescribed, and laboratories chose one or two of four methods of loading that were recommended in an attempt to develop standard sets of experimental protocols so that consistent results could be obtained. Experimental discrepancies were minimized through careful attention to details of microstructure, temperature history and stress state in the samples, with the main variation being the mode of loading.

The IAEA wishes to thank all the participants in the CRP for their contributions to this publication, in particular to Studsvik Nuclear and Cameco for donating the zircaloy-4 test materials. The IAEA is especially grateful to the Paul Scherrer Institute (Switzerland) and Nippon Nuclear Fuel Development (Japan) for organizing the second and third research coordination meetings at their institutes, and C.E. Coleman (Canada) for organizing the consultancy at Chalk River, Canada, and providing technical advice and programme coordination throughout the project and drafting the final report. The IAEA officer responsible for this publication was V. Inozemtsev of the Division of Nuclear Fuel Cycle and Waste Technology.
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SUMMARY

Zirconium alloys are susceptible to embrittlement by hydrogen when hydrides are precipitated. The two main forms of embrittlement are: short-term loss of toughness and a stable, time-dependent crack growth mechanism. Hydrogen diffuses up the stress gradient at a flaw stressed in tension and, if the solubility limit is exceeded, hydrides nucleate and grow slowly. When the hydrides reach a critical condition, probably related to size, they fracture, the crack extends and the process is repeated. This mechanism is called delayed hydride cracking (DHC). It is of technological importance to the nuclear industry because several components have failed by this mechanism. Examples include:

- Zr-2.5Nb fuel cladding cracked before irradiation during storage at room temperature. High residual stresses from welding were an important factor in these fractures.
- Similar stresses were also responsible for cracking in Zr-2.5Nb pressure tubes. The source of these stresses was either the process used to join the pressure tube to the ends of its fuel channel or from tube straightening.
- Several examples show that fuel cladding made from Zircaloy is also not immune from DHC. In some Zircaloy nuclear fuel cladding used in Boiling Water Reactors (BWR), hydride cracking was strongly implicated in long splits that allowed substantial leakage of fission products.
- A DHC-type of mechanism has been identified as being responsible for radial cracking starting at the outside surface of BWR fuel cladding when power ramped after a high burn-up.
- Cracks in the axial direction, about 20 mm long and close to the end-plugs of CANDU fuel have been detected. Several partial radial cracks had also started from the inside surface.

The two technologically relevant quantities for DHC are the critical stress intensity factor, \( K_{IH} \), for crack initiation, and the rate of crack propagation, called \( V \). The latter was the subject of the first two parts of this IAEA Coordinated Research Project (CRP), one on Zr-2.5Nb pressure tube material, issued as IAEA-TECDOC-1410 in 2004 and the other on Zircaloy-4 fuel cladding, issued as IAEA-TECDOC-1649 in 2010.

Retention of the capacity to withstand the hoop stress imposed by filler and fission gasses during dry fuel storage is important for structural integrity of the fuel. DHC is one possible fracture mechanism during storage, especially since the temperature history is ideal for such cracking. Thus it is important to evaluate the DHC property that controls its initiation, \( K_{IH} \), so that the possibility of cracking can be assessed. Therefore as an extension of this CRP, \( K_{IH} \) has been measured in Zircaloy-4 fuel cladding at several temperatures.

The loading method is the same as that used successfully on fuel cladding, as described in IAEA-TECDOC-1649. It is based on the Pin-Loading Tension (PLT) technique developed at Studsvik. The specimen contains two axial cracks that are grown by DHC with load values being varied to aid in the evaluation of \( K_{IH} \). Four loading sequences were used:

- **Multiple specimen method:** measuring crack growth rate, \( V \), in several specimens subjected to different values of \( K_I \) and finding the value at which \( V = 0 \).
- **Constant displacement method:** starting at a moderate value of \( K_I \) and fixing the displacement until the load decays to a constant value for up to 24 h, which represents \( K_{IH} \).

These first two methods are based on ASTM E1681 - Standard Test Method for Determining Threshold Stress Intensity Factor for Environment-Assisted Cracking of Metallic Materials.
- **Uploading method:** starting at a low value of $K_I$ and raising the load after 24 h if no cracking was observed or until cracking starts, thus representing $K_{IH}$.

- **Unloading method:** starting at a moderate value of $K_I$ and lowering the load in small steps until cracking has stopped for up to 24 h. This value of $K_I$ represents $K_{IH}$.

The IAEA set up this extension to the CRP with the objective of evaluating the various methods for measuring $K_{IH}$ and transferring “know-how” on laboratory practices to the member states who were unfamiliar with this aspect of DHC testing of fuel cladding using the PLT method. The first objective of the project was to evaluate the various methods and establish a uniform and consistent practice for each laboratory so that a meaningful inter-laboratory comparison of the results could be made. A detailed evaluation showed that the technology transfer was partially successful. Testing on a single batch representing PWR fuel cladding containing 120 ppm hydrogen showed that most laboratories obtained values of $K_{IH}$ at 250 ºC but with a wide distribution around 5.4 MPa√m.

The second objective of the project was to examine the effects of microstructure on $K_{IH}$ by testing CANDU cladding and to evaluate the temperature dependence of $K_{IH}$ for each material. The results showed that:

- $K_{IH}$ appeared to be independent of crack length and difference in length of the two cracks in each specimen.
- $K_{IH}$ had little temperature dependence between 225 and 285°C but increased rapidly at higher temperatures.
- The increase in $K_{IH}$ coincided with a sudden drop in crack growth rate with temperatures around 300°C. These two results imply that above a certain temperature, Zircaloy-4 fuel cladding becomes immune from DHC.
- $K_{IH}$ was slightly higher and the upper temperature where it increased was lower in CANDU cladding than in PWR cladding. This difference was attributed to the lower strength of the CANDU cladding.

The fractographic feature called striations were observed in a few tests on cladding made from VVER alloy E635M, but were absent in all but one example of Zircaloy-4. Microfractography revealed cleaved hydride platelets.

These result fit within the general framework of DHC in zirconium alloys. During the project all four methods for measuring $K_{IH}$ were used with success and provided similar values. The multiple specimen method was the easiest to implement but required much material. The constant displacement and up-loading method were found to be straightforward. The unloading method was the trickiest to implement because it relied on feedback for load control from crack extension; once the difficulties were overcome, valid values were obtained. The results were reported in three papers at international conferences, WRPFM-2014 and WRPFM-2015 and the summary paper has been accepted for the ASTM Zirconium Symposium to be held in 2016.

The results provide a useful base for analysis of the behaviour of fuel cladding during dry storage. They imply that in the early part of fuel storage, where the temperature is up to 400ºC, DHC will be absent. At temperatures where DHC is possible, any flaw would have to be larger than the wall thickness of the cladding and should have been detected before dry storage.

Continuation of this type of testing on irradiated material and the heat-affected zone in CANDU fuel is recommended. Experiments at the EC Joint Research Centre – Institute for
Transuranium Elements (JRC-ITU) are planned on irradiated fuel and will use these results as a guide for experimental conditions.
1. INTRODUCTION

Zirconium has a low capture cross-section for thermal neutrons and is therefore chosen as the main base metal for alloys used as fuel cladding and structural components in water-cooled nuclear power reactors. These alloys maintain good mechanical properties both during and after irradiation with fast neutrons. Although zirconium alloys resist corrosion in water, one of the products of any corrosion is hydrogen that can lead to the formation of hydrides. Hydrides are brittle, and as with other hydride-forming metals, zirconium alloys are susceptible to embrittlement when hydrides are formed [1]. The embrittlement takes two forms: short-term loss of toughness and a stable, time-dependent crack growth mechanism called Delayed Hydride Cracking (DHC).

This form of time dependent cracking was discovered during the storage at room temperature of Zr-2.5Nb fuel cladding before irradiation [2]. High residual tensile stresses from welding were a dominating factor in these failures. Large residual tensile hoop stresses were also responsible for cracking in Zr-2.5Nb pressure tubes. The source of these stresses was either the process used to join the pressure tube to the ends of its fuel channel [3] or from tube straightening [4]. DHC was the mechanism for propagation of cracks formed at hydride blisters in Zircaloy-2 pressure tubes [5]; here a large temperature gradient contributed to the accumulation of hydrides at the crack tip.

Hydride cracking was strongly implicated in long splits that allowed substantial leakage of fission products from some Zircaloy nuclear fuel cladding used in boiling-water reactors (BWR), [6, 7, 8]. With fuel expansion during fuel rearrangement, the hydrided cladding was stressed in tension, which led to crack initiation. The cracks grew through-wall, propagated axially, and could be over 1 m long. Brittle regions in “chevrons” characterized the fractures, with the crack being longer on the outside surface than the inside surface of the cladding [9]. The lower bounds of the crack velocities were in the range $4 \times 10^{-8}$ to $6.6 \times 10^{-7}$ m/s based on assuming constant growth rates in the time between first detection of the defect and removal of the fuel. The mechanism of cracking appeared to be a form of DHC [10], perhaps exacerbated by a continuous additional supply of hydrogen from the steam inside the fuel element [11, 12]. A DHC-type of mechanism has been identified as being responsible for radial cracking starting at the outside surface of BWR fuel cladding when power ramped after a high burnup [13].

DHC consists of four stages:

- If a zirconium alloy component containing a flaw and hydrogen is loaded in tension, hydrogen in solution diffuses up the stress gradient to the tip of the stress raiser and increases the local hydrogen concentration.
- A hydride nucleates if the accumulated concentration of hydrogen exceeds the solubility limit. The hydride grows as more hydrogen arrives at the flaw tip.
- The hydride fractures if the tensile stress is large enough and if the hydride reaches a critical condition, probably related to size.
- The crack extends when the process is repeated.

Stages 1 to 3 take time and represent an incubation time before cracking starts. Stage 3 implies a threshold condition must be exceeded before cracking is observed. In fracture mechanics, the stress intensity factor, $K_I$, is proportional to the product of the tensile stress, $\sigma$, and square root of the crack length, $\sqrt{a}$. The threshold value for DHC is called $K_{\text{III}, \text{th}}$ (threshold stress intensity factor). At values of $K_I$ greater than $K_{\text{III}, \text{th}}$, the rate of cracking is controlled by the rate of hydrogen accumulation and is almost independent of $K_I$, Fig. 1.
The rate of hydrogen accumulation is mostly determined by the solubility limit, $C_H$, and the diffusivity, $D_H$, of hydrogen in solution in the zirconium. Although the temperature dependence of cracking would be expected to be controlled by $C_H$ and $D_H$, it is complicated and is described in the schematic diagram depicted in Fig. 2 [14].

FIG. 1. Schematic diagram of crack history showing threshold for DHC, $K_{th}$, region of stable crack growth followed by rupture [1].

FIG. 2. Schematic diagram of temperature dependence of DHC in zirconium alloys [14]. The conditions for testing are aimed at cooling from $T_4$, with all the hydrogen in solution, and cooling below $T_6$. 

$$v_c = v_o \exp(-Q/RT)$$
If the temperature is attained by heating from $T_1$, initially $V$ increases with temperature but as the temperature is increased, $V$ starts to decline at $T_2$ and cracking eventually stops at $T_3$. On cooling from a high temperature, especially where all the hydrogen is in solution, $T_4$, a temperature is reached where cracking will reinitiate, $T_5$, and reach a maximum value at $T_6$. At temperatures below $T_6$, $V$ has an apparent exponential behaviour and follows:

$$V = A \cdot \exp\left(-\frac{Q}{RT}\right)$$  \hspace{1cm} (1)

where

- $Q$ is often called the activation energy for DHC in kJ/mol;
- $R$ is the Gas Constant (8.314 J/mol.K), and
- $T$ is the temperature in K, and
- $A$ is a constant.

This temperature behaviour must be taken into account when evaluating failures and reactor operation as well as when considering testing procedures; often a test temperature is attained by cooling to maximise DHC growth rate. A sharp decline in DHC is observed at high test temperatures, $T_U$, despite the temperature being attained by cooling and sufficient hydrogen being present to form hydrides. In Cold-Worked Stress-Relieved (CWSR) Zircaloy the decline starts below 300°C [15] while in Cold-Worked (CW) Zr-2.5Nb pressure tubes, $T_U$ is about 310 °C [16].

These descriptions indicate four limits for DHC in zirconium alloys:

- The hydrogen concentration must be high enough and the temperature low enough for hydrides to form.
- The combination of tensile stress and flaw dimensions must be sufficient to exceed $K_{IH}$.
- Above about 180°C, the temperature must be attained by cooling, otherwise cracking is difficult.
- Flaws will not propagate by DHC at temperatures around 300°C, even when other conditions conducive to DHC are present.

The two technologically important quantities for DHC are the conditions for crack initiation, represented by $K_{IH}$, and the rate of crack propagation. The latter was the subject of the first part of this IAEA Coordinated Research Project (CRP). The details of the results of testing on Zr-2.5Nb pressure tube material and a state of the art review were issued for CRP-I in an IAEA TECDOC [17] and summarised in a referred paper [18]. As an extension, CRP-II, the rate of DHC was measured in Zircaloy fuel cladding and the results were reported in an IAEA TECDOC [15] and summarised in a referred paper [19]. The current project, CRP-III, is part of an IAEA programme on “Hydrogen and Hydride-Induced Degradation of the Mechanical and Physical Properties of Zirconium based Alloys” with the specific task to investigate the temperature dependence of conditions for crack initiation, $K_{IH}$. Knowledge of $K_{IH}$ is important because it can be used to evaluate the health of components and their resistance to failure. This information is required as part of the assessment of spent fuel during storage where the temperature history of continuous cooling is perfect for DHC following the temperature sequence 4 to 5 to 6 to 1 in Figure 2. Based on information from Zr-2.5Nb pressure tube material [20], the expected behaviour of Zircaloy-4 fuel cladding should be similar to Fig. 3, where the sharp decline in DHC growth rate at $T_U$ is matched with an equivalent rise in $K_{IH}$ at high test temperatures.
Although several methods are available to test fuel cladding for DHC, the rate of axial crack propagation was successfully measured using the Pin-Loading Tension (PLT) technique [21]. This method was originally developed at Studsvik for fracture toughness evaluation of thin walled tubing [22, 23] and was chosen for the CRP programme because:

- its loading is similar to that in a compact toughness specimen used in CRP-I;
- in a comparison of test methods for fracture toughness of Zircaloy-4 fuel cladding, the PLT technique provided the lowest values of $J_{0.2}$ and $dJ/d\alpha$ indicating that this technique provides good constraint and limits plasticity at the crack tip [24];
- the technique was thought to be amenable to technology transfer, especially since the method was developed by an established member of the CRP.

The IAEA set up this CRP on “The Evaluation of Conditions for Hydrogen-Induced Degradation of Zirconium Alloys during Fuel Operation and Storage” with the objective of establishing a uniform and consistent laboratory practice to determine the limit of stress intensity factor for DHC, $K_{IH}$, in the axial direction of fuel cladding to be followed internationally so that a meaningful interlaboratory comparison of the results could be made. The test materials were two versions of Zircaloy-4 representing PWRs and CANDUs. A few tests were also performed at VNIINM (Russian Federation) in their programme on the experimental alloy E635M and these results are included for comparison with the IAEA programme on Zircaloy-4 [25, 26]. This collection of results constitutes a guiding data base for JRC-ITU to perform experiments on cladding materials from spent fuel rods to assure their safety during transport, handling and dry storage.

The participating in the CRP countries, institutes and names of Chief Scientific Investigators are listed in Table 1. Overall scientific lead and advisory support during the project were provided by Mr. C.E. Coleman of CNL, Canada.
<table>
<thead>
<tr>
<th>Country</th>
<th>Institute</th>
<th>Chief Scientific Investigators</th>
<th>Reactor type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Argentina</td>
<td>CNEA</td>
<td>Mizrahi, R.</td>
<td>CANDU, PHWR</td>
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<td>Brazil</td>
<td>IPEN</td>
<td>Ramanathan, L.</td>
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<td>Canada</td>
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<td>India</td>
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<td>Chakravartty, J.K.</td>
<td>PHWR</td>
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<tr>
<td>Japan</td>
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<td>Sakamoto, K.</td>
<td>PWR, BWR</td>
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<td>JRC-ITU</td>
<td>Papaioannou, D.</td>
<td>PWR, BWR, RBMK</td>
</tr>
</tbody>
</table>

*Dropped out of the CRP after the 2nd RCM

In this report, Section 2 describes the materials, specimen preparation and test methods, the test results are displayed in Section 3 and discussed in Section 4, while in Section 5 conclusions and recommendations are made.

1 The names of the participating Institutes and their usual abbreviation are given in the list of contributors, page 64. For simplicity, in the text participants are referred to by their country.
2. EXPERIMENTAL PROGRAMME

2.1. ORGANIZATION OF TESTING PROGRAMME

The programme was set up using the previous CRPs as a guide. For the experiments, Studsvik (Sweden) supplied a section of PWR fuel cladding and CNL/AECL supplied a section of CANDU fuel cladding for each country to prepare test specimens. Each laboratory tested several specimens at a single temperature, 250ºC, to establish techniques and solve any experimental problems. The remaining material was tested at other temperatures prescribed by a test matrix with the aim of finding the limiting upper temperature for DHC. VNIINM (Russian Federation) also tested a few specimens of the alloy E635M. All the specimens contained between 120 and 180 ppm hydrogen so the peak temperature before testing was not too high to guarantee most of the hydrogen was in solution before cooling to the test temperature.

Groups of four or five laboratories tested specimens at one other temperature. In principle each group would attempt three valid tests on each material at the following temperatures:

- **Group 1** - 227°C: Sweden, Argentina, Brazil, Japan;
- **Group 2** - 282°C: Romania, Canada, India, Pakistan, Switzerland;
- **Group 3** - 267°C: Russia, Lithuania; Ukraine, Korea.

Several laboratories also performed tests at higher temperatures in an attempt to evaluate $T_U$.

Several situations developed during the project that impeded the completion of the programme of testing in the allotted time. Most countries did not test CANDU material. Testing for $K_{ih}$ is more complicated than measuring crack growth rate and several laboratories had much difficulty in obtaining reliable results. Part of the problem was caused by infrastructure deficiencies. Other laboratories had less than wholehearted support from their management while in others, experienced participants were no longer available for the CRP or were new to this type of testing. Korea had to drop out of Group 3, and Argentina, Japan and Ukraine obtained no valid results for crack behaviour.

2.2. MATERIALS

The test materials were standard PWR Zircaloy-4 from Sandvik, lot 407130 and standard CANDU Zircaloy-4 supplied by Cameco, lot 106499. VNIINM investigated own material- E635M alloy. The main elements in the chemical composition are given in Table 2.
### TABLE 2. CHEMICAL COMPOSITION OF TEST MATERIALS

<table>
<thead>
<tr>
<th>Materials</th>
<th>PWR Sandvik Lot 407130</th>
<th>CANDU Cameco Lot 106499</th>
<th>E635M VNIINM Ref. [25]</th>
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<tr>
<td>Element</td>
<td></td>
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<td></td>
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<tr>
<td>Nb (wt.%)</td>
<td>-</td>
<td>-</td>
<td>0.8</td>
</tr>
<tr>
<td>Sn (wt.%)</td>
<td>1.31</td>
<td>1.27</td>
<td>0.8</td>
</tr>
<tr>
<td>Fe (wt.%)</td>
<td>0.21</td>
<td>0.21</td>
<td>0.32</td>
</tr>
<tr>
<td>Cr (wt.%)</td>
<td>0.11</td>
<td>0.11</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>O (ppm)</td>
<td>1355</td>
<td>1180</td>
<td>0.08</td>
</tr>
<tr>
<td>Si (ppm)</td>
<td>95</td>
<td>93</td>
<td>&lt;40</td>
</tr>
<tr>
<td>C (ppm)</td>
<td>145</td>
<td>140</td>
<td>40</td>
</tr>
<tr>
<td>N (ppm)</td>
<td>29</td>
<td>36</td>
<td>&lt;20</td>
</tr>
<tr>
<td>H (ppm)</td>
<td>5</td>
<td>4</td>
<td>7</td>
</tr>
</tbody>
</table>

During fabrication the final pilgering imposed 78 to 90% cold-work, based on area reduction on a cylindrical mandrel, and the final heat-treatment was 480°C for 3.5 h for PWR, 500°C for 8 h for CANDU and 400°C for 24 h for E635M. The tube dimensions are listed in Table 3.

### TABLE 3. DIMENSIONS OF TEST MATERIALS

<table>
<thead>
<tr>
<th>Materials</th>
<th>PWR Sandvik Lot 407130</th>
<th>CANDU Cameco Lot 106499</th>
<th>E635M VNIINM Ref. [26]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dimensions</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outside diameter, mm</td>
<td>9.5</td>
<td>13.1</td>
<td>9.5</td>
</tr>
<tr>
<td>Wall thickness, mm</td>
<td>0.57</td>
<td>0.39</td>
<td>0.57</td>
</tr>
</tbody>
</table>

The starting microstructures were examined by light and transmission electron microscopy. For the PWR material, the microstructure consisted of elongated grains, with between 5 and 10% recrystallized grains, Fig. 4(a) and Zr-(FeCr)₂ second phase particles, Fig. 4(b).
FIG. 4 (a). Representative microstructure of Zircaloy-4 from PWR cladding in the cold-worked and stress-relieved condition revealed by light microscopy; longitudinal section.

FIG. 4 (b). Representative microstructure of Zircaloy-4 from PWR cladding in the cold-worked and stress-relieved condition revealed by light microscopy showing Fe-Cr intermetallic particles.

The dislocation structure and start of recrystallization are depicted in Figs 5(a) and b)).
FIG. 5. (a,b). Microstructure of Zircaloy-4 from PWR cladding in the cold-worked and stress-relieved condition revealed by transmission electron microscopy, showing large density of dislocations and incipient recrystallization.

The microstructure of the CANDU material consisted of elongated grains in the axial direction, Fig. 6, but almost equiaxed grains on the transverse-radial plane, with a mean grain diameter of about 4 μm, Fig. 7(a). The small recrystallized grains and about 30% remnants of cold-work, indicated by the small areas of dislocations, are illustrated in Fig. 7(b). The inverse pole figures for each material and a (0002) direct pole figure for CANDU cladding are summarised in Fig. 8.
FIG. 6. Representative microstructure of Zircaloy-4 from CANDU cladding in the cold-worked and stress-relieved condition revealed by light microscopy; longitudinal section.

FIG. 7(a). Representative microstructure of Zircaloy-4 from CANDU cladding in the cold-worked and stress-relieved condition revealed by light microscopy; transverse section.
FIG. 7(b). Microstructure of Zircaloy-4 from CANDU cladding in the cold-worked and stress-relieved condition revealed by transmission electron microscopy, showing recrystallized grains and remnants of cold-work.

Radial direction  Transverse direction  Longitudinal direction.

FIG. 8(a). Inverse pole figures for PWR Zircaloy-4 material, Lot 407130.
Radial direction                  Transverse direction                  Longitudinal direction.

**FIG. 8(b).** Inverse pole figures for CANDU Zircaloy-4 material, Lot 106499.

**FIG. 8(c).** Direct pole figure of basal planes in CANDU Zircaloy-4 cladding, Lot 106499. L is longitudinal direction and T is transverse direction.
The basal plane normals were concentrated about 30° from the radial direction in the radial-transverse plane; the texture factors, \( F \), in the three principal directions, radial, \( R \), transverse, \( T \), and longitudinal, \( L \), are summarised in Table 4. The tensile properties of the tubes are provided in Table 5.

### TABLE 4. TEXTURE FACTORS FOR BASAL PLANES IN TEST MATERIALS. (\(^{\#}\) INVERSE POLE FIGURE; \(^{*}\) DIRECT POLE FIGURE)

<table>
<thead>
<tr>
<th>Texture factors</th>
<th>PWR Sandvik Lot 407130 CWSR</th>
<th>PWR TECDOC 1649</th>
<th>CANDU Cameco Lot 106499</th>
<th>CANDU TECDOC 1649</th>
<th>E635M Ref. [25]</th>
</tr>
</thead>
<tbody>
<tr>
<td>( F_R )</td>
<td>0.57(^{#})</td>
<td>0.64</td>
<td>0.66(^{<em>}), 0.66(^{</em>})</td>
<td>0.65</td>
<td>0.59</td>
</tr>
<tr>
<td>( F_T )</td>
<td>0.37</td>
<td>0.30</td>
<td>0.28, 0.282</td>
<td>0.30</td>
<td>0.35</td>
</tr>
<tr>
<td>( F_L )</td>
<td>0.05</td>
<td>0.05</td>
<td>0.08, 0.058</td>
<td>0.05</td>
<td>0.05</td>
</tr>
</tbody>
</table>

### TABLE 5. TENSILE PROPERTIES OF TEST MATERIALS IN TRANSVERSE DIRECTION USING RING TENSILE TESTS. NUMBERS IN BRACKETS REPRESENT NUMBER OF SPECIMENS TESTED

<table>
<thead>
<tr>
<th>Material</th>
<th>Test Temperature (ºC)</th>
<th>0.2% Yield Stress (MPa)</th>
<th>Ultimate Tensile Stress (MPa)</th>
</tr>
</thead>
<tbody>
<tr>
<td>PWR</td>
<td>20</td>
<td>713</td>
<td>750</td>
</tr>
<tr>
<td>Sandvik</td>
<td>250</td>
<td>408</td>
<td>457</td>
</tr>
<tr>
<td>Lot 407130</td>
<td>250</td>
<td>408</td>
<td>452</td>
</tr>
<tr>
<td>CWSR</td>
<td>250</td>
<td>422</td>
<td>440</td>
</tr>
<tr>
<td>480ºC for 3.5 h</td>
<td>250</td>
<td>436</td>
<td>488</td>
</tr>
<tr>
<td></td>
<td>283</td>
<td>432</td>
<td>432</td>
</tr>
<tr>
<td></td>
<td>283</td>
<td>443</td>
<td>443</td>
</tr>
<tr>
<td></td>
<td>380</td>
<td>382</td>
<td>394</td>
</tr>
<tr>
<td>CANDU</td>
<td>20</td>
<td>524</td>
<td>572 (3)</td>
</tr>
<tr>
<td>Cameco</td>
<td>220</td>
<td>256</td>
<td>324 (7)</td>
</tr>
<tr>
<td>Lot 106499</td>
<td>250</td>
<td>256</td>
<td>334 (4)</td>
</tr>
<tr>
<td>500ºC for 8 h</td>
<td>330</td>
<td>250</td>
<td>304 (3)</td>
</tr>
<tr>
<td>E635M</td>
<td>20</td>
<td>711 (3)</td>
<td>490 (3)</td>
</tr>
<tr>
<td>400ºC for 24 h.</td>
<td>250</td>
<td>250</td>
<td>426 (3)</td>
</tr>
<tr>
<td>Ref. [26]</td>
<td>380</td>
<td>250</td>
<td>304 (3)</td>
</tr>
</tbody>
</table>

Compared with the strengths reported previously in IAEA-TECDOC-1649, the values for the PWR cladding are about 50 MPa lower and those for the CANDU cladding are about 40 MPa lower, Fig. 9. The current PWR cladding is about 100 MPa stronger than the CANDU cladding, as expected from the degree of recovery and recrystallization. Due to the specially selected for this CRP regime of thermal treatment of E635M alloy, the strengths of the E635M and PWR cladding are similar.
2.3. SPECIMEN AND FIXTURE PREPARATION

The basic procedure for testing is given in [27, 28].

Hydrogen was added to between 120 and 180 ppm by one of two methods:

1) After cleaning, a layer of hydride was deposited on the surfaces electrolytically using 0.1 molar $\text{H}_2\text{SO}_4$, a temperature of $65 \pm 5 ^\circ C$ and a current density of 1 kA/m$^2$. Diffusing the hydrogen in to the metal by annealing at 350 to 380 $^\circ C$ for 24 h added a homogeneous hydrogen concentration of about 120 to 180 ppm.

2) Some specimens were placed in a vacuum or argon, and hydrogen was added gaseously at between 340 and 380$^\circ$C.

The resulting hydrides had plate normals in the radial direction, Fig. 10.
The hydrogen concentration was usually confirmed by analysis, using an inert gas fusion technique. Some laboratories estimated the hydrogen concentration from the initial hydride thickness and time of annealing (Method 1) or from weight change and metallography (Method 2).

The test specimen is shown in Fig. 11. The 13 mm long specimen (cA) contained diametrically opposite axial notches at both edges with those at one end being sharpened by fatigue at room temperature for a starting length of aA; the notch at the other end provided an effective specimen length of bA. The notch that was fatigued to a crack was 0.15 mm wide while the rear notch was 0.5 mm wide. The fatigue pre-cracking was done at 1 to 5 Hz with starting maximum loads of 200 to 300 N cycled down to 50 N. The maximum load was gradually reduced to 90 to 100 N as the crack progressed. The final load was chosen to be
lower than the starting load for the DHC test, typically 160 N, so the plastic zone at the crack tip from fatigue did not interfere with DHC. Between 8000 and 50 000 cycles were required to produce a suitable starting crack, about 1.5 mm in length. The crack length was measured either visually on the surface or using potential drop. In two countries the starter crack could not be made by fatigue and DHC was started from DHC performed at a low temperature (Pakistan) or from as sharp a notch as possible (Brazil). The scatter in results from these latter specimens was larger than in those that were started from a fatigue crack.

**FIG. 11. Schematic diagram of test specimen and Pin-Loading Tension fixture.**

The Pin-Loading Tension fixture is shown schematically in Fig. 11 and in a photograph in Fig. 12. Some fixtures were made from Nimonic 90 but successful testing has been achieved using fixtures made from carbon steel. The fixture consisted of two halves, which, when placed together, form a cylindrical holder, A. The diameter of the holder allowed it to be inserted into the specimen while maintaining a small gap. The fixture halves were loaded in tension through pins at B and rotated about a pin C at the ends of the cylindrical holder providing similarity to the loading of a compact toughness specimen, but on two cracks. Care was taken to line up the pre-cracked notches with the join of the two halves of the fixture.

**FIG. 12. The PLT fixture; a specimen is in place on the left side.**
One laboratory used a cladding specimen with a single notch at one end and was tested in tension (Switzerland). The test setup used to apply a load on the sample is depicted on Fig. 13. The sample, a Zircaloy tube about 12 mm long was inserted on two half mandrels. This ensemble was then placed in a sample holder, on which a tensile force was applied by a universal tensile testing machine. The overall extension of the sample was monitored using an extensometer placed so that the rods of the extensometer measured the variation in external diameter of the sample. When first mounted in the sample holder, the Zircaloy tube contained a notch, about 1 mm long and 0.2 mm wide, machined at one end. This notch was extended by fatigue by cycling under tensile force at low load and room temperature. The total length of the notch and fatigue crack, prior to DHC test, was usually between 1.5 and 2.5 mm.

![Diagram](image)

**Fig. 13.** Tensile loading of cladding with single axial flaw at one end.

### 2.4. DHC TESTING

To start a DHC test, the specimen was heated to between 360 to 385°C for 60 minutes to dissolve all the hydrides then cooled slowly with no undercooling to the test temperature, Fig. 14. (In Brazil the peak temperature was 315°C.) This temperature history encouraged cracking and minimised variation; this sequence represented $T_4$ to below $T_6$ in Fig. 2, rather than $T_1$ to $T_3$ in Fig. 2, where cracking can be difficult.
After a short period at constant temperature, at least 30 minutes, the specimen was loaded to a starting value of $K_I$ calculated from Equation (2):

$$K_I = \frac{P}{(2t\sqrt{W})} f(a/W)$$  \hspace{1cm} (2)

where

- $P$ is the load (N);
- $t$ is the wall thickness of the cladding (m);
- $W$ is the effective width of specimen (m), being distance from the load line to the axis of rotation; see Fig. 11;
- $A$ is the effective crack length (m), being distance from load line to the crack tip; see Fig. 11.

$f(a/W)$ is the geometry correction factor.

The value of $f(a/W)$ was determined experimentally from compliance measurements, resulting in:

$$f(a/W) = 92.203 - 468.73(a/W) + 787.15(a/W)^2 - 360.99(a/W)^3$$  \hspace{1cm} (3)

for PWR cladding [28] and

$$f(a/W) = -0.4759 + 13.185(a/W) + 39.533(a/W)^2 -23.65(a/W)^3 +12.135(a/W)^4 - 2.9162(a/W)^5$$  \hspace{1cm} (4)

for CANDU cladding [15]

Cracking was detected by several methods:
- Detecting the change in crack length from the change in voltage across the crack tip; called Direct Current Potential Drop (PD).
- Measuring the displacement of each side of the crack or specimen holder, which is equivalent to crack opening.
- Observing the crack directly on the specimen surface.

The threshold for DHC was evaluated by four methods:

- **Multiple specimen method**: measuring crack growth rate, \( V_c \), using the methods established in the previous CRP [15], on several specimens subjected to different values of \( K_I \) and finding the value at which \( V_c = 0 \). An example of what might be expected is provided in Fig. 15, showing the reduction in crack growth with initial \( K_I \) in tests on Zr-2.5Nb pressure tubes [29].

- **Constant displacement method**: starting at a moderate value of \( K_I \) and fixing the displacement. When the crack grew, the load decayed until it reached a constant value; \( K_{III} \) was reached when the load did not change for 24 h. A typical load history is depicted in Fig. 16. Previously the reduction in crack growth rate with declining \( K_I \) was demonstrated with double cantilever beam specimens of Zr-2.5Nb cracking in hydrogen gas, Fig. 17 [30].

*FIG. 15. Crack growth rate in Zr-2.5Nb showing decline at low values of \( K_I \); based on values in [29].*
FIG. 16. Schematic diagram of determination of $K_{ih}$ by following the reduction in loading at fixed constant displacement.

FIG. 17. Cracking of Zr-2.5Nb in hydrogen gas. Double cantilever beam loaded at constant displacement [30].
These two methods are based on ASTM E1681 [31].

- **Uploading method:** starting at a low value of $K_I$ and raising the load 3 to 5% after 24 h if no cracking was observed or until cracking started. $K_{II}$ was calculated from the load immediately before cracking was detected;

- **Unloading method:** starting at a moderate value of $K_I$ and lowering the load in small steps until cracking has stopped for up to 24 h. This value of $K_I$ represented $K_{II}$. The principle is shown with Zr-2.5Nb pressure tube material in Fig. 18, where the increase in incubation time with reduction in $K_I$ approaches a limiting low value [32], indicating $K_{II}$.

In some tests, partial unloading was followed by the constant displacement method.

![Fig. 18. Reduction in incubation time as $K_I$ is decreased on cantilever beams of Zr-2.5Nb loaded at 230°C; based on values in [32].](image)

Once $K_{II}$ had been established, the load was removed and the specimen cooled to room temperature. The crack surfaces were lightly heat-tinted from oxidation and the ends of the crack could usually be discerned on the fracture surface. An alternative was to briefly fatigue the specimen at room temperature to mark the end of the DHC. Subsequently, the specimen was broken open and the fracture surface examined. A typical pair of fracture surfaces is shown in Fig. 19.
FIG. 19. View of the fracture surfaces of CWSR Zircaloy-4 specimen. The top and bottom edges are the outside surfaces of the cladding. The initial ($a_0$) and the final ($a_F$) length of the DHC crack are indicated as well as the areas of notch, fatigue pre-cracking, DHC crack propagation and room temperature fracture after the DHC test (RT).

In this example the delayed hydride crack is about 1.6 mm long. Crack growth by DHC, $a_0$ to $a_F$ (Fig. 19), was estimated on each crack from the average of nine, PWR, or five, CANDU, equally spaced measurements; the value for the specimen, $a_S$, was the average of the values of the two cracks. The difference in the two crack lengths provided a measure of uniformity of loading and represented an acceptance criterion; a difference of <20% was set as a provisional acceptance criterion. Other acceptance criteria were based on crack shape; these methods are used during testing of CANDU pressure tubes. Fig. 20 was used to evaluate crack tunnelling by comparing the size of the uncracked ligaments with the crack area. The acceptance criteria were: ($\text{area dfg}/\text{area abhgd}$) and ($\text{area emk}/\text{area bcekh}$) < 0.1. The uniformity of crack length was measured by comparing the crack length at three locations, $\ell_n$, with the mean length, $\ell_a$, Fig. 21. The crack length includes the notch and fatigue crack. The acceptance criteria were: $(\ell_n - \ell_a)/\ell_a < 0.1$. 
FIG. 20. Geometry of features on crack surface used to define critical dimensions of ligaments. The acceptance criteria were: \((\text{area } dfg)/(\text{area } abhgd)\) and \((\text{area } emk)/(\text{area } bcekh)\) < 0.1.

FIG. 21. Geometry of features on a crack surface used to define uniformity of crack growth. \(L_5, L_3, L_5, L_7\) and \(L_8\) are the lengths at each position across the crack face out of nine measurements. The uniformity of crack length was measured by comparing the crack length at three locations, \(\ell_n\), with the mean length, \(\ell_a\,[20]\). The crack length included the notch and fatigue crack. The acceptance criteria were: \((\ell_n - \ell_a)/\ell_a < 0.1\).
Often an incubation period, $t_i$, was required before DHC started and during tests in which the load was reduced. Crack growth rate, $V$, in the axial direction was often estimated during the tests; the value obtained before $K_1$ was reduced close to $K_{II}$ was compared with previous values. The estimate of $V$ was based on the estimate of crack growth divided by the cracking time, that is, time at the specific load minus incubation time.

The techniques used by each laboratory are summarised in Table 6.

The fracture surfaces of all specimens were examined by light microscopy and some were examined by scanning electron microscopy (SEM) to provide details of the fracture mechanism.
### TABLE 6. METHODS USED BY EACH PARTICIPATING LABORATORY

<table>
<thead>
<tr>
<th>Country</th>
<th>Hydrogen addition</th>
<th>Hydrogen measurement</th>
<th>Crack preparation</th>
<th>Crack detection and measurement</th>
<th>Load history for $K_{II}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Argentina</td>
<td>Anneal hydride</td>
<td>Inert gas fusion.</td>
<td>Machined notch, fatigued in four reducing load steps; 5 Hz; final maximum load 100 N</td>
<td>Crack opening displacement</td>
<td>Load reduction in steps; some tests unloaded overnight</td>
</tr>
<tr>
<td></td>
<td>layer Annealed at 385°C</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Brazil</td>
<td>Anneal hydride</td>
<td>LECO hot gas extraction, thermal conductivity</td>
<td>Cut at tip of 0.16 mm wide notch 0.2 mm apart</td>
<td>Crack opening displacement</td>
<td>Load reduction in steps; some tests unloaded overnight</td>
</tr>
<tr>
<td></td>
<td>layer Annealed at 350°C</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Gaseous 340°C</td>
<td>Differential scanning calorimetry (DSC);</td>
<td>Machined notch, fatigued in four reducing load steps; 5 Hz; final maximum load 100 N</td>
<td></td>
<td>Several specimens at various $K_i$; load reduction in steps; load increase in steps</td>
</tr>
<tr>
<td>Canada</td>
<td>Gaseous 363°C</td>
<td>Calibrated by hot vacuum extraction mass spectrometry (HVEMS)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>India</td>
<td>Volume added, inert gas fusion</td>
<td></td>
<td>Machined notch, fatigued in four reducing load steps; frequency 40 Hz; Final $K_i = 8$ MPa√m</td>
<td>DC potential drop</td>
<td>Load reduction in steps; Fixed load line displacement</td>
</tr>
<tr>
<td>Japan</td>
<td>Anneal hydride</td>
<td>LECO hot gas extraction, thermal conductivity</td>
<td>Machined notch, fatigued in four reducing load steps; 5 Hz; final maximum load 150 N</td>
<td>Crack opening displacement</td>
<td>Load reduction in steps</td>
</tr>
<tr>
<td></td>
<td>layer Annealed at 350°C</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lithuania</td>
<td>Anneal hydride</td>
<td>Estimated from hydride thickness</td>
<td>Machined, fatigued at either 1.6 Hz or 5 Hz; gradual reduction to final maximum load 100 N</td>
<td>Crack opening displacement</td>
<td>Load reduction in steps</td>
</tr>
<tr>
<td></td>
<td>layer Annealed at 350°C</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pakistan</td>
<td>Anneal hydride</td>
<td>STROLEIN hot gas extraction, thermal conductivity</td>
<td>DHC at 200°C; final $K_i = 9$ to 17 MPa√m</td>
<td>Crack opening displacement</td>
<td>Load reduction in steps</td>
</tr>
<tr>
<td></td>
<td>layer Annealed at 365°C</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Romania</td>
<td>Anneal hydride</td>
<td>ELTRA hot gas extraction, thermal conductivity</td>
<td>Notch machined by spark erosion; fatigued in three (Final maximum load 100 N) or four steps (Final maximum load 90 N); 5 Hz</td>
<td>DC potential drop</td>
<td>Load reduction in steps</td>
</tr>
<tr>
<td></td>
<td>layer Annealed at 365°C</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Russia</td>
<td>Gaseous 380°C</td>
<td>LECO hot gas extraction, thermal conductivity</td>
<td>Machined notch, fatigued in reducing load steps 1 to 4 Hz, final maximum load 100 N</td>
<td>Crack opening displacement; Camera outside furnace</td>
<td>Load reduction in steps; Fixed load line displacement</td>
</tr>
<tr>
<td>Country</td>
<td>Process Description</td>
<td>Measurement Details</td>
<td>Methodology</td>
<td>Additional Details</td>
<td></td>
</tr>
<tr>
<td>-----------</td>
<td>-----------------------------------------</td>
<td>-------------------------------------------------------------------------------------</td>
<td>----------------------</td>
<td>-----------------------------</td>
<td></td>
</tr>
<tr>
<td>Sweden</td>
<td>Anneal hydride layer</td>
<td>ELTRA-OH 800 hot gas extraction, thermal conductivity</td>
<td>Machined notch, fatigued in reducing load steps 5 to 10 Hz, final maximum load 120 N</td>
<td>DC potential drop</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Annealed at 350 and 375°C</td>
<td></td>
<td></td>
<td>Load reduction in steps</td>
<td></td>
</tr>
<tr>
<td>Switzerland</td>
<td>Gaseous, 380°C Homogenise, 4 cycles to 400°C</td>
<td>Volume of gas; hot gas extraction</td>
<td>Single machined notch, 1 mm; fatigued 0.5 mm</td>
<td>Extensometer on diameter; camera and microscope</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Continuous with holds</td>
<td></td>
</tr>
<tr>
<td>Ukraine</td>
<td>Gaseous, 380°C</td>
<td>Weighing; volume absorbed; light metallography</td>
<td>Spark machined notch; fatigued at 0.01 Hz</td>
<td>Crack opening displacement</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Not done</td>
<td></td>
</tr>
</tbody>
</table>
3. RESULTS

3.1. INITIAL TEST DATA

The preliminary information from which $K_{III}$ is derived from each test method is illustrated in this section. Both the incubation times between cracking stages and the progress of crack growth may be inferred from the instrumentation during a test. Crack growth is observed directly from the fracture surfaces after test completion.

3.1.1. Fracture surfaces

A set of typical fracture surfaces of CWSR Zircaloy-4 cladding tested at four temperatures is depicted in Figure 22. The variation in colour is produced by the differences in oxide thickness on the fracture surface caused by the cracking times at the four test temperatures. The amount of cracking by fatigue and DHC is summarised for these four specimens in Table 7; the total crack length includes the notch.

**TABLE 7. SUMMARY OF CRACK EXTENSION ON BOTH CRACKS BY FATIGUE AND DHC BASED ON THE FRACTURE SURFACES DEPICTED IN FIG. 22**

<table>
<thead>
<tr>
<th>Specimen</th>
<th>Temperature, $^\circ$C</th>
<th>Crack</th>
<th>Fatigue, mm</th>
<th>DHC, mm</th>
<th>Total, mm</th>
<th>Difference in crack length, %</th>
</tr>
</thead>
<tbody>
<tr>
<td>In5</td>
<td>250</td>
<td>Upper</td>
<td>1.92</td>
<td>2.75</td>
<td>6.28</td>
<td>13.7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lower</td>
<td>1.41</td>
<td>2.56</td>
<td>5.46</td>
<td></td>
</tr>
<tr>
<td>In13</td>
<td>267</td>
<td>Upper</td>
<td>1.33</td>
<td>3.28</td>
<td>6.16</td>
<td>6.1</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lower</td>
<td>1.66</td>
<td>3.38</td>
<td>6.55</td>
<td></td>
</tr>
<tr>
<td>In17</td>
<td>282</td>
<td>Upper</td>
<td>1.1</td>
<td>2.6</td>
<td>5.21</td>
<td>0.2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lower</td>
<td>0.86</td>
<td>2.74</td>
<td>5.22</td>
<td></td>
</tr>
<tr>
<td>Inmag25</td>
<td>295</td>
<td>Upper</td>
<td>1.06</td>
<td>3.05</td>
<td>5.72</td>
<td>5.9</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lower</td>
<td>1.56</td>
<td>2.98</td>
<td>6.07</td>
<td></td>
</tr>
</tbody>
</table>
In 110 cracks in CWSR Zircaloy-4 LWR cladding the mean amount of fatigue cracking was 1.43 mm with a standard deviation of 0.40 mm and range of 0.56 to 2.67 mm. The amount of DHC was much more variable having a mean of 2.14 mm with standard deviation of 1.10 mm and range of 0 to 5.6 mm. The total crack length (including the notch) reflected
these variations having a mean value of 5.2 mm with a standard deviation of 1.3 mm and a range of 2.8 to 9.0 mm. The difference between the two cracks on each specimen indicated the variation of the initial notches and how well the specimen was aligned during loading, as well as the inherent variation in the fatigue and DHC processes. The total variation is shown in Fig. 23. In 62% of the specimens, the difference between the two cracks was <20%. The remainder had a greater variation and three specimens had a difference of 75%.

![Graph showing frequency distribution of difference in crack lengths](image)

*FIG. 23. Frequency distribution of the difference in the two crack lengths in PLT specimens of CWSR Zircaloy-4 at all test temperatures.*

The information used to evaluate $K_{IH}$ by each method is illustrated in the following examples.

### 3.1.2. Multiple specimen method

The dependence on $K_1$ of crack growth rate, $V$, in CANDU cladding at 250°C for nine individual specimens is shown in Fig. 24.
The crack growth rate is independent of $K_I$ above about 10 MPa√m then declines suddenly with little cracking below 8 MPa√m indicating a value of $K_{II}$ of 7.0 MPa√m. This behaviour is identical to that in cold-worked Zr-2.5Nb, as shown in Fig. 15. The value of $V$ in the plateau region was about $2.2 \times 10^{-8}$ m/s, nearly four times larger than the previous mean value of $5.9 \times 10^{-9}$ m/s [15]. This difference may be attributed to differences in the Zircaloy-4 batches and peak temperature before loading.

**3.1.3. Constant displacement method**

Two typical results are shown in Fig. 25. As the cracks grew the loads declined until a constant value was withstood, indicating a halt in cracking and representing $K_{II}$. The average crack growth rate over small time intervals remained almost constant with increase in crack length and reduction in load but decreased sharply over a narrow range in $K_I$ as $K_{II}$ was approached. Fig. 26 is an example for a test on CWSR Zircaloy-4 at 282°C indicating a constant crack growth rate of about $1.0 \times 10^{-7}$ m/s between $K_I$ values from 20 down to 7.5 MPa√m followed by an abrupt drop and no cracking below 6.73 MPa√m. This behaviour is similar to that reported for cracking of Zr-2.5Nb in hydrogen gas, Fig. 17.
FIG. 25. Basic test data on CWSR Zircaloy-4 cladding at 250°C showing decline in load as the crack extends under constant displacement; (a) Russian Specimen In-7 providing $K_{IH}$ of 5.5 MPa√m, (b) Indian Specimen SKIH 13 providing $K_{IH}$ of 5.2 MPa√m.
FIG. 26. Crack growth rates as a function of $K_I$ derived from Russian constant displacement test Inmag-23 at 282°C on CWSR Zircaloy-4 cladding indicating $K_{II}$ of 6.73 MPa√m.

A specimen containing a fatigue sharpened notch but no extra hydrogen was loaded to 200 N at 300°C. The conditions for DHC were absent. The load remained constant for over 8 h, Fig. 27, showing that the load drop in Fig. 25 was caused by cracking rather than stress relaxation from plastic deformation.

FIG. 27. Russian specimen of Zircaloy-4 cladding containing a notch and fatigue crack but no extra hydrogen loaded to 200 N at 300°C showing no drop in load indicating no stress relaxation in over 8 h.
The load values in Fig. 25 fluctuated because small variations in room temperature caused the tension rod in the testing machine to expand and contract thus affecting the applied load. The effect is illustrated in Fig. 28 with loading at room temperature (a) and at 282°C close to $K_{III}$ (b).

(a)

(b)

FIG. 28. Variation of load with change in room temperature: a) the Indian specimen was loaded at room temperature with a force of 80 N and then allowed to relax at room temperature; b) fluctuation in load corresponding with change in room temperature at end of constant displacement test (Russian Specimen In 16) at 282°C.
3.1.4. Uploading method

The load is low at the start of a test using the uploading method and it is increased in steps after 24 h of no cracking until a load is reached where cracking is detected. A typical sequence at 292°C for CANDU cladding was 113, 118, 124, 130, 137, 144 and 151 N, where cracking started. This load corresponded to a $K_I$ of 11.6 MPa√m. $K_{IH}$ was taken from the highest load without cracking, that is, 144 N corresponding to 11.1 MPa√m. The amount of DHC in this type of test is very small, usually in the range 0.2 to 0.5 mm.

3.1.5. Unloading method

Typical histories for tests at 250 and 284°C on LWR Zircaloy-4 are provided in Fig. 29. After loading, a short period with no cracking was observed representing an incubation time. Once cracking started, the load was gradually reduced in 35 to 37 steps of 3 to 4.5% after crack increments of 0.03 mm until cracking stopped. No cracking was detected after 24 h with the load corresponding to $K_{IH}$ of 5.2 MPa√m at 250°C and 18 hours at 7.2 MPa√m at 284°C.

![Graph showing temperature, load, and crack length over time.](image.png)
FIG. 29. Test history for DHC test on LWR Zircaloy-4 (a) Romanian specimen S2-4, b) Pakistani specimen SIF3) showing decline in crack growth and unloading to constant value when the crack stops to represent a $K_{IH}$ of a) 5.2 MPa√m at 250°C and b) 7.2 MPa√m at 284°C.

The form of the crack growth rate vs. $K_I$ curve, Fig. 15, was derived from test data. Typical examples for CWSR Zircaloy-4 are illustrated in Fig. 30 for two tests at 250°C; the crack growth rate has a low dependence on $K_I$ above 6 MPa√m but drops suddenly as $K_{IH}$ is approached between 5 and 6 MPa√m. Crack growth rate in the $K_I$ independent region was in the range 7 to $10 \times 10^{-8}$ m/s, about two to three times faster than in the previous study [15]. Again this difference may be attributed to differences in the Zircaloy-4 batches and peak temperature before loading.

Another way to represent the approach to $K_{IH}$ is to plot the incubation time after each load decrement. Close to $K_{IH}$ the time to reinitiate cracking increases markedly, as illustrated in Fig. 31 for a sample of CWSR Zircaloy-4 tested at 250°C, indicating a value of $K_{IH}$ of 4.2 MPa√m. This behaviour is as expected from Fig. 18.
FIG. 30. Dependence of crack growth rate at 250°C derived from unloading tests on CWSR cladding (Indian specimens SKIH2 and SKIH4). Values of $K_{IH}$ straddle the mean value of the whole population of 5.5 MPa√m.

FIG. 31. Increase in incubation time as $K_I$ is decreased in CWSR Zircaloy-4 tested at 250°C (Lithuanian specimen S8-9). $K_{III}$ is 4.2 MPa√m.
3.1.6. Specimens with a single crack

The method used was equivalent to the unloading method on the PLT specimens and the experimental problems were similar. When a high force was applied for a long time, crack initiation was difficult and this behaviour was attributed to blunting of the crack tip that prevented attaining a high enough stress concentration at the crack tip to initiate DHC. Three successful tests were reported at 250°C.

3.2. VALUES OF $K_{IH}$

3.2.1. PWR Zircaloy-4

3.2.1.1. Tests at 250°C

The values of $K_{IH}$ from tests at 250°C are summarised in Table 8.

TABLE 8. VALUES OF $K_{IH}$ FOR PWR ZIRCALOY-4 AT 250°C (HIGHLIGHTED VALUES MAY BE UNRELIABLE AND NOT INCLUDED IN MEAN AND STANDARD DEVIATION OF ACCEPTABLE VALUES)

<table>
<thead>
<tr>
<th>Brazil</th>
<th>Canada</th>
<th>India</th>
<th>Lithuania</th>
<th>Pakistan</th>
<th>Romania</th>
<th>Russia</th>
<th>Sweden</th>
<th>Switzerland</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.3</td>
<td>7</td>
<td>5.8</td>
<td>5.48</td>
<td>5.11</td>
<td>3.7</td>
<td>5.69</td>
<td>3.47</td>
<td>5.72</td>
</tr>
<tr>
<td>9.6</td>
<td>7.1</td>
<td>6.7</td>
<td>4.2</td>
<td>5.26</td>
<td>5.2</td>
<td>7.14</td>
<td>2.87</td>
<td>6.577</td>
</tr>
<tr>
<td>7.3</td>
<td>4.7</td>
<td>4.64</td>
<td>5.18</td>
<td>5.3</td>
<td>7.91</td>
<td>3.74</td>
<td>5.25</td>
<td></td>
</tr>
<tr>
<td>6.1</td>
<td>6.7</td>
<td>4.95</td>
<td>7.25</td>
<td>3.4</td>
<td>4.99</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9.6</td>
<td>5.1</td>
<td>2.91</td>
<td>9.6</td>
<td>4.1</td>
<td>5.42</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12.4</td>
<td>5.2</td>
<td>6.43</td>
<td>8.81</td>
<td>4.8</td>
<td>8.07</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12.7</td>
<td>4.4</td>
<td>2.55</td>
<td>7.13</td>
<td>1.23</td>
<td>10.06</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>3.7</td>
<td>6.92</td>
<td>10.63</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>7.27</td>
<td>10.81</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>7.67</td>
<td>5.97</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

They are very scattered, partly because of experimental vagaries and partly because the cracking process is variable. About 60% of the tests were judged by the individual experimenters to be acceptable. The sources of concern for high values of $K_{IH}$ were cracks that started to propagate then suddenly stopped or could not be restarted after an over-night shut-down; if the starting load was too high the crack could be blunted by plastic deformation; if equipment malfunctioned in the middle of a test the value of $K_{IH}$ was suspect. Similarly,
low values were obtained with very long cracks, especially when the limit of unloading was reached. Despite this perceived effect, using the pooled data it was difficult to definitively show that low values were caused by long cracks, Fig. 32.

FIG. 32. Lack of dependence of $K_{IH}$ on the total crack length in specimens of CWSR Zircaloy-4 fuel cladding tested at 250°C.

Similarly, one might expect that asymmetric cracking may contribute to the wide dispersion of the results but the data do not support such a conclusion, Fig. 33.

FIG. 33. Lack of dependence of $K_{IH}$ on the difference in the lengths of the two cracks on each specimen in tests on CWSR Zircaloy-4 fuel cladding at 250°C.
The other acceptance criteria based on crack shape, Figs. 20 and 21, were derived from testing cantilever beams of Zr-2.5Nb pressure tubes. Here the cracks are through the tube wall of thickness 4 mm and the specimen width is 3.8 mm. The relative uncracked ligaments and the variation of crack length induce more stringent criteria in specimens of pressure tubes than with the PLT loading arrangement in fuel cladding because the ratio of crack length to wall thickness is small: about 0.5 in pressure tubes and 10 in fuel cladding. Although clear uncracked ligaments are developed during DHC testing of fuel cladding, Fig. 22, their contribution to the cracking process is small, usually about 1% with a maximum value of 2.5%, considerably smaller than the 10% rejection criterion for a test on a pressure tube. Similarly, the criterion based on variability of crack length is smaller than the 10% rejection criterion, being usually about 2%, with a maximum value of 5%.

The dispersion of the total population is presented in Fig. 34. The mean value is 5.88 MPa√m with a standard deviation of 2.20 MPa√m. When the results considered unacceptable were eliminated, the dispersion (Fig. 35), mean (5.20 MPa√m) and standard deviation (1.31 MPa√m), Table 8, were all smaller than with the whole population.

FIG. 34. Distribution of the whole population of values of $K_{IH}$ of PWR Zircaloy-4 tested at 250°C.
3.2.1.2. Tests at other temperatures

Tables 9 to 12 list the values of $K_{IH}$ obtained at other temperatures in the range 227 to 313°C.

TABLE 9. VALUES OF $K_{IH}$ FOR PWR ZIRCALOY-4 AT 227°C (HIGHLIGHTED VALUES MAY BE UNRELIABLE AND NOT INCLUDED IN MEAN AND STANDARD DEVIATION OF ACCEPTABLE VALUES)

<table>
<thead>
<tr>
<th></th>
<th>Brazil</th>
<th>Russia</th>
<th>Sweden</th>
</tr>
</thead>
<tbody>
<tr>
<td>20.3</td>
<td>6</td>
<td>2.9</td>
<td></td>
</tr>
<tr>
<td>16.2</td>
<td>6.12</td>
<td>2.42</td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>5.13</td>
<td>2.52</td>
<td></td>
</tr>
<tr>
<td>8.5</td>
<td>3.3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12.9</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Number of acceptable specimens 6
Mean value MPa√m 4.74
Standard deviation MPa√m 2.50

FIG. 35. Distribution of acceptable values of $K_{IH}$ of PWR Zircaloy-4 tested at 250°C.
<table>
<thead>
<tr>
<th>Lithuania</th>
<th>Russia</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.07</td>
<td>6.88</td>
</tr>
<tr>
<td>5.55</td>
<td>7.21</td>
</tr>
<tr>
<td>5.61</td>
<td>10.15</td>
</tr>
<tr>
<td><strong>6.43</strong></td>
<td><strong>12.95</strong></td>
</tr>
<tr>
<td>6.38</td>
<td>7.07</td>
</tr>
<tr>
<td></td>
<td>8.27</td>
</tr>
</tbody>
</table>

Number of acceptable specimens: 6
Mean value MPa√m: 6.46
Standard deviation MPa√m: 1.24

**TABLE 11. VALUES OF $K_{ih}$ FOR PWR ZIRCALOY-4 AT 282 AND 288°C (HIGHLIGHTED VALUES MAY BE UNRELIABLE AND NOT INCLUDED IN MEAN AND STANDARD DEVIATION OF ACCEPTABLE VALUES)**

<table>
<thead>
<tr>
<th>Canada</th>
<th>India</th>
<th>Pakistan</th>
<th>Romania</th>
<th>Russia</th>
<th>Sweden (288 °C)</th>
</tr>
</thead>
<tbody>
<tr>
<td>8.8</td>
<td>6.8</td>
<td>7.21</td>
<td>3.7</td>
<td>6.73</td>
<td>4.8</td>
</tr>
<tr>
<td>9.2</td>
<td>6.84</td>
<td>6.81</td>
<td>2.8</td>
<td><strong>10.81</strong></td>
<td>6.46</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>1.8</td>
<td>7.41</td>
<td>5.52</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>2.3</td>
<td>5.49</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>7.02</td>
</tr>
</tbody>
</table>

Number of acceptable specimens: 282°C:12; 288°C: 3
Mean value MPa√m: 282°C: 5.37; 288°C: 5.59
Standard deviation MPa√m: 282°C: 2.11; 288°C: 0.83
TABLE 12. VALUES OF \( K_{III} \) FOR PWR ZIRCALOY-4 AT 295 AND >300°C (HIGHLIGHTED VALUE MAY BE UNRELIABLE AND NOT INCLUDED IN MEAN AND STANDARD DEVIATION OF ACCEPTABLE VALUES)

<table>
<thead>
<tr>
<th></th>
<th>Russia</th>
<th>Sweden</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>7.66</td>
<td>7.44</td>
</tr>
<tr>
<td></td>
<td>7.52</td>
<td>9.85</td>
</tr>
<tr>
<td></td>
<td><strong>7.79</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>13.75</td>
<td></td>
</tr>
<tr>
<td></td>
<td>[296.5 °C]</td>
<td></td>
</tr>
<tr>
<td></td>
<td>18.4</td>
<td></td>
</tr>
<tr>
<td></td>
<td>[303 °C]</td>
<td></td>
</tr>
<tr>
<td></td>
<td>18.9</td>
<td></td>
</tr>
<tr>
<td></td>
<td>[302 °C]</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Number of acceptable specimens</th>
<th>4</th>
<th>3</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(295 to 296.5°C)</td>
<td>(302 to 313°C)</td>
</tr>
</tbody>
</table>

| Mean value MPa√m              | 9.125   | 15.72   |

| Standard deviation MPa√m     | 3.09    | 5.1     |

Fig. 36 shows the temperature dependence of the whole population of tests while Fig. 37 depicts the mean and standard deviation of the values considered acceptable. The few measurements of crack growth rate and those from the previous study [15] exhibit a maximum value around 290°C followed by a sudden drop in rate; this drop coincides with the rapid increase in \( K_{III} \), Fig. 38, and is in agreement with the behaviour of Zr-2.5Nb, Fig. 3.

**FIG. 36. Temperature dependence of \( K_{III} \) of PWR Zircaloy-4 showing whole population.**
FIG. 37. Temperature dependence of $K_{IH}$ of PWR Zircaloy-4 based on values deemed acceptable showing mean values and a single standard deviation.

FIG. 38. Temperature dependence of $K_{IH}$ and crack growth rate of PWR Zircaloy-4 (data from TECDOC-1649) showing the temperature for the rise in $K_{IH}$ coinciding with that for the drop in crack growth rate, $V$. 
3.2.2. CANDU Zircaloy-4

Tables 13 to 16 list the small number of values of $K_{IH}$ in CANDU Zircaloy-4 obtained at temperatures in the range 250 to 296°C.

**TABLE 13. $K_{IH}$ DATA OF CANDU ZIRCALOY-4 CLADDING AT 250 °C (HIGHLIGHTED VALUES MAY BE UNRELIABLE AND NOT INCLUDED IN MEAN AND STANDARD DEVIATION OF ACCEPTABLE VALUES)**

<table>
<thead>
<tr>
<th></th>
<th>Brazil</th>
<th>Canada</th>
<th>India</th>
<th>Lithuania</th>
<th>Romania</th>
</tr>
</thead>
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<tr>
<td>41.7</td>
<td>8.2</td>
<td>28.4</td>
<td>20.5</td>
<td>8.2</td>
<td></td>
</tr>
<tr>
<td>73.3</td>
<td>7.4</td>
<td>26.88</td>
<td>18.5</td>
<td>7.8</td>
<td></td>
</tr>
<tr>
<td>61.6</td>
<td>8.1</td>
<td>23.2</td>
<td>14.6*</td>
<td></td>
<td>13.8*</td>
</tr>
<tr>
<td>61.6</td>
<td>7.3</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td></td>
<td>7.3</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Number of acceptable specimens 7
Mean value MPa√m 7.76
Standard deviation MPa√m 0.42

$K_{IH} = 14.6*$ - crack did not start
$K_{IH} = 13.8*$ - crack stopped after 3 steps

**TABLE 14. $K_{IH}$ DATA OF CANDU ZIRCALOY-4 CLADDING AT 282 °C**

<table>
<thead>
<tr>
<th></th>
<th>Canada</th>
<th>Romania</th>
</tr>
</thead>
<tbody>
<tr>
<td>9.3</td>
<td>12.8</td>
<td></td>
</tr>
<tr>
<td>9.3</td>
<td>5.2</td>
<td></td>
</tr>
<tr>
<td>9.8</td>
<td>7.9</td>
<td></td>
</tr>
<tr>
<td>10.4</td>
<td>7.5</td>
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</tr>
<tr>
<td></td>
<td>6.7</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>17.3</strong>*</td>
<td></td>
</tr>
</tbody>
</table>

Number of acceptable specimens 9
Mean value MPa√m 8.8
Standard deviation MPa√m 2.2

$K_{IH} = 17.3*$ - crack did not re-start after overnight unloading

**TABLE 15. $K_{IH}$ DATA OF CANDU ZIRCALOY-4 CLADDING AT 292 °C (HIGHLIGHTED VALUE MAY BE UNRELIABLE AND NOT INCLUDED IN MEAN AND STANDARD DEVIATION OF ACCEPTABLE VALUES)**

<table>
<thead>
<tr>
<th></th>
<th>Canada</th>
</tr>
</thead>
<tbody>
<tr>
<td>11.6</td>
<td></td>
</tr>
<tr>
<td>10.8</td>
<td></td>
</tr>
<tr>
<td><strong>9.5</strong></td>
<td></td>
</tr>
</tbody>
</table>

Number of acceptable specimens 2
Mean value MPa√m 11.2
Standard deviation MPa√m 0.4
TABLE 16. $K_{\text{IH}}$ DATA OF CANDU ZIRCALOY-4 CLADDING AT 296 °C

<table>
<thead>
<tr>
<th>Canada</th>
<th></th>
</tr>
</thead>
<tbody>
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<td></td>
<td>17.2</td>
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<tr>
<td></td>
<td>15.7</td>
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<td>18.9</td>
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</table>

Number of acceptable specimens | 3  
Mean value MPa√m              | 17.2  
Standard deviation MPa√m      | 1.6  

As with the LWR cladding, the temperature dependence is low below 280°C then increases rapidly above 290°C, Fig. 39, and coincides with a drop in crack growth rate in the same temperature range, Fig. 40. $K_{\text{IH}}$ appears larger and approaches the high value at a lower temperature in CANDU cladding compared with LWR cladding, Fig. 41.

**FIG. 39. Temperature dependence of $K_{\text{IH}}$ of CANDU Zircaloy-4 based on values deemed acceptable, showing mean values and a single standard deviation.**
FIG. 40. Temperature dependence of \( K_{IH} \) and crack growth rate of CANDU Zircaloy-4 and data from TECDOC-1649) showing the temperature for the rise in \( K_{IH} \) coinciding with that for the drop in crack growth rate, \( V \).

FIG. 41. Comparison of values of \( K_{IH} \) measured on CANDU and LWR Zircaloy-4 fuel cladding.
3.2.3. VVER alloy E635M

Three tests were performed at 250°C on this alloy using the constant displacement method. The values had a mean of 7.59 MPa√m in the range 7.07 to 7.91 MPa√m, being about 40% greater than in CWSR Zircaloy-4.

3.3. FRACTOGRAPHY

During testing of (α+β) alloys, a common feature on the fracture surface after DHC is a series of striations, observed by light microscopy, corresponding with cracking of a line of hydrides being halted by ductile fracture. This feature is seldom observed after DHC in Zircaloy. In this study only one specimen exhibited striations, Fig. 42(a) but no others have been reported. Striations were highly visible after DHC of E635M, Fig. 42(b).

(a)

(b)

FIG. 42. (a) A rare sighting of striations on a fracture surface caused by DHC at 267°C in Zircaloy-4 – Russian specimen Inmag-31; (b) Clear striations on E635M after DHC at 250°C.

When examined in a scanning electron microscope, the main features are patches of cleaved hydride and small ductile regions between the broken hydrides, Fig. 43.
FIG. 43. Cleaved hydride on the fracture surface of CANDU Zircaloy-4 after DHC testing at 250°C.
4. DISCUSSION

The results summarised in Section 3 demonstrate that each of the four test methods can be applied to the Pin-Loading Tensile (PLT) test configuration to measure $K_{IH}$ in fuel cladding made from zirconium alloys. Each method has advantages and disadvantages:

**Multiple specimen method.** This method is the simplest. It can be implemented with no instrumentation. Rupture time is measured in several specimens loaded to a range of $K_I$. $K_{IH}$ is approached when the rupture time is very large. More useful information, for example, crack growth rate, requires the ability to detect the start of cracking so the duration of DHC can be obtained. Now $K_{IH}$ is estimated from the graph of crack growth rate as a function of $K_I$, as in Figs. 15 and 24. The main drawback of the method is the need for much material for each test temperature, although several values of crack growth rate are gained.

**Constant displacement method.** The load automatically declines as $K_{IH}$ is approached, requiring no attention from an operator or need for computer feedback control. $K_{IH}$ is calculated from the crack length and the final load. A full crack history may be obtained if the specimen is instrumented or the crack is directly observable. The ambient conditions need careful control so the expansion or contraction of the tension rods do not interfere with the load applied to the specimen.

**Uploading method.** Although in principle one could perform this test without instrumentation by using rupture as an indication of cracking, at low temperatures, where the crack growth rate is low, the loads to start cracking could be missed during some steps of increasing load and the resulting value of $K_{IH}$ would be optimistic. Preferably, some method for detecting cracking is required to indicate that $K_{IH}$ has been exceeded. Once detected, only a small amount of cracking is needed to confirm that $K_{IH}$ has been exceeded, thus avoiding any problems with long cracks.

**Unloading method.** This method is the most complicated although it has been very successful with specimens of CANDU Zr-2.5Nb pressure tube. Acoustic emission, direct current potential drop (DCPD) and crack opening are used to indicate cracking and provide clear feedback for software to control unloading. Unfortunately, acoustic emission does not provide a clear signal in Zircaloy (for unknown reasons) but the other two techniques work well. Computer control minimises operator involvement and avoids the need for unloading the specimen over-night and during weekends. Choosing the size of the load drops and the extent of cracking between steps are important for an acceptable test. Since many of the tests in this project were done using this method, the problems with implementing adequate feedback for load control led to several unacceptable tests. The instrumentation can provide good information on crack growth rates and incubation times. Tests on Zr-2.5Nb using the uploading and unloading methods on the same materials indicated that each technique produced similar values of $K_{IH}$ at 130 and 250°C, Fig. 44 [33], in agreement with the current study.
In several analyses of $K_{IH}$ a hydride fracture stress is invoked [34-38]. If cracking is confined to breaking hydride, a lower bound, $K_{IH}^\infty$, is estimated from:

$$
(K_{IH}^\infty)^2 = \frac{E^2 \varepsilon_{\perp} t}{8\pi(1-\nu^2)^2}(\frac{1}{1-2\nu}) - \frac{\sigma_f}{\sigma_y}
$$

(5)

where

- $E$ is the Young’s modulus of the Zr alloy;
- $\varepsilon_{\perp}$ is the transformation strain normal to hydride platelet;
- $t$ is the thickness of hydride;
- $\nu$ is the Poisson’s ratio of hydride;
- $\sigma_y$ is the yield strength of the Zr alloy;
- $\sigma_f$ is the fracture strength of hydride.

$K_{IH}^\infty$ increases as $t^{1/2}$; some of the variation in measured values may be the result of different hydride configurations at the crack tip. $K_{IH}^\infty$ decreases with increase in strength; LWR cladding is stronger and $K_{IH}$ is lower than in CANDU cladding, Fig. 41. The temperature dependence of $K_{IH}^\infty$ is mainly controlled by the temperature dependence of the elastic properties and strength of the zirconium alloy, and the fracture strength of the hydride precipitate. The crack tip described by Equation (5) is assumed to be completely covered by hydride and low values of the threshold are predicted. With a variation of hydride configuration at the crack tip, a contribution to the cracking resistance will be because of the presence of some zirconium alloy between hydrides. This material has higher toughness, $K_{IC}$,
than the hydride so it will contribute to raising the threshold for cracking. If $f$ represents the fraction of the crack front covered by hydride, using a rule-of-mixtures, a practical evaluation of the threshold would be:

$$K_{IH} = fK_{IH}^\infty + (1-f)K_{IC}. \quad (6)$$

Evaluation of Equations 5 and 6 are provided as a function of temperature, $T$ (°C), in Fig. 45 for both versions of Zircaloy-4 with a crack front containing 0.5% zirconium alloy.

![FIG. 45. The temperature dependence of $K_{IH}$ for LWR and CANDU CWSR Zircaloy-4 fuel cladding with a crack front with 0.5% zirconium alloy present: comparison of calculation with experiment.](image)

The values of the variables are $t$ of 2 μm, $\sigma_t = 650 - 0.091T$ [39], the strength of the cladding is from Table 5 and $K_{IC} = 76.8-0.035T+0.0002T^2$ [40]. The values of $K_{IH}$ at low temperature are predicted to be small and have weak temperature dependence as found in the experiments, Fig. 41. The value of $K_{IH}$ for CANDU cladding is predicted to be slightly higher than that for LWR cladding. With both materials the experimental values deviate upwards from the prediction close to 290°C, and, along with the measurements of crack growth rate, indicate that DHC is diminishing towards zero at some high temperature, $T_0$. The cladding then becomes immune from DHC above about 320°C.

Critical temperatures above which DHC declines to zero can result from:

(a) Lack of hydride. If the hydrogen concentration is insufficient to produce hydrides at the crack tip, DHC will be absent.

(b) Heating to the loading temperature. Above a certain temperature, DHC will not occur if the temperature is attained by heating, even if hydrides are present; above $T_2$ in Fig. 2. This effect is caused by the stress gradient at the crack tip being insufficient to increase the hydrogen concentration above the solubility limit and precipitate hydrides.
(c) Inability to fracture the hydride. This effect results when the tensile stress normal to the hydride plate is insufficient to fracture a hydride formed at the crack tip, even when hydrides are present at the crack and the temperature is reached by cooling from above the solubility limit where all the hydrogen is in solution. This effect results from a combination of:

(i) the temperature dependence of the yield strength being much lower than that of the fracture strength of the hydride, and

(ii) at temperatures about 300°C, the high stresses at the crack tip can relax by high stress creep leading to the stress concentrating effect of the crack being much diminished and the crack being blunted.

In the current experiments, the hydrogen concentration was sufficient for hydrides to be present at the test temperatures and the test temperatures were attained by cooling from above the solubility limit. Reason c) is a partial explanation for the observed behaviour. The temperature dependence of the fracture strength of the hydride is smaller than that of the flow strength of a zirconium alloy. Fig. 46 schematically shows the temperature where the metal strength, $\sigma_y$, and hydride fracture strength, $\sigma_f$, intersect at $T_0$, a temperature above which DHC should be absent. Based on the tensile properties of several materials – Zr-1Nb, Zr-2.5Nb, E635M and Zircaloy-4 fuel cladding [25], and unirradiated and irradiated Zr-2.5Nb pressure tubes [20] – $T_0$ is expected to have a range from about 150 to 540°C, Fig. 47. The experimental values of $T_0$ only agree with this analysis around 300°C. With CANDU cladding the experimental value of $T_0$ – 296°C - is much higher than the calculated value of 150°C, whereas in irradiated Zr-2.5Nb pressure tubing the measurement of $T_0$ - 365°C - is much lower than the calculated value, 540°C. Further work is required to explain $T_0$.

**FIG. 46. Schematic representation of temperature $T_0$ where the hydride fracture strength and flow strength intersect; above $T_0$ DHC is absent.**
FIG. 47. Comparison of strength dependence of $T_0$, the temperature limit for DHC, with the temperature where the flow stress of the zirconium alloy, $\sigma_H$, equals the fracture stress of zirconium hydride, $\sigma_f$.

The recommended highest temperature for dry storage of spent nuclear fuel is 400°C [41]. About 275 ppm hydrogen are required to exceed TSSP at 400°C and such high hydrogen concentrations have been observed in LWR cladding, for example, after a fuel burn-up of about 57 MWd/kg U, low-tin Zircaloy-4 contained between 600 and 820 ppm hydrogen [42]. Thus DHC would not be limited by lack of hydrogen during dry fuel storage.

Even with favourable stressing conditions, a maximum temperature, $T_0$, exists above which DHC cannot start. This temperature is around 300°C in unirradiated cladding material but may be as high as 360°C in irradiated material. This phenomenon suggests that spent fuel that starts storage at the NRC-stipulated maximum temperature of 400°C will be immune from DHC until the temperature reaches the critical temperature. This period of immunity is about three years, using the expected cooling rates [43]. If $T_0$ depends on irradiation damage, its value may be reduced if the damage is annealed out during early storage. Thus the period of immunity may be much longer than estimated; if $T_0$ returns to 300°C, the period of immunity increases to about 12 years [43]. The hoop stress will be simultaneously lowered, providing further protection. Once the period of absolute immunity is over, one then has to examine the stress conditions.

Since the wall thickness, $w$, of fuel cladding is small, normally that would disqualify the application of Linear Elastic Fracture Mechanics (LEFM). With cladding of strength $\sigma_S$, for validity:

$$K_I < \sigma_S \sqrt{(w/2.5)}$$

A value of $K_I < 7.7 \text{ MPa}\sqrt{\text{m}}$ meets this criterion for LEFM so the current mean value below 290°C of 5.4 MPa\sqrt{m} can be used directly to evaluate fuel cladding. For a crack to be initiated, the combination of tensile stress and flaw size must exceed $K_{II}$. Assuming the
current value of $K_{IH}$ applies to a radial crack, the maximum depth of sharp surface flaw, $a$, that can be tolerated without crack growth can be estimated from [44]:

$$a = \left(\frac{K_{IH}}{\sigma}\right)^2 \cdot \frac{Q}{1.2 \pi}$$  \hspace{1cm} (8)

where

$\sigma$ is the applied stress

$Q$ is the shape factor.

For elliptical flaws $Q$ is about 1.5 while for long flaws, for example, a scratch, $Q$ is about 1.0. During dry storage of spent PWR fuel, the hoop stress imposed by the internal pressure is limited by the US NRC to 90 MPa [41]. Applying Equation (8) with the mean value of $K_{IH}$ suggests that the critical flaw size is between 1.7 to 2.5 times the cladding wall thickness, that is, a through-wall crack. Neutron irradiation reduces $K_{IH}$ by about 30%, as measured in Zircaloy-2 fuel cladding [10] and Zr-2.5Nb pressure tubes [45]. Consequently, if the irradiation damage is not annealed out during the high temperature part of fuel storage, the critical flaw size is reduced to between 0.82 to 1.23 times the cladding wall thickness. A through-wall crack would stop growing because the internal pressure disappears. Also, such a crack would be detected before the fuel is placed in storage or should have been detected during surveillance of spent fuel that had been stored for several years. Similarly, a crack mostly through the wall should extend easily during the first stages of storage leading to leakage of filler gas and gasses from fission products. No cracks have been observed in spent fuel after 15 years [46] and 20 years [47] of dry storage suggesting that the combination of flaws and the internal pressure were insufficient to cause DHC.

Safety issues associated with spent fuel storage is the main topic of a long term research programme at EC JRC-ITU aiming for a better understanding of mechanisms affecting the properties of spent fuel and the mechanical integrity of the cladding. Strongly connected to the present CRP scope is the investigation being carried out at the hot cells of this Institution, which is focusing on the assessment of the hydride distribution, defect evolution and cracking processes.

Similar calculations to [43] suggest that at the start of dry storage for a fuel after a burn up of 55 MWd/kg and after about 6 years pool storage, 10 years of dry storage will decrease the temperature from 350-360°C to 230-240°C with corresponding hoop stresses starting from an initial value of 60-80 MPa declining to 45-60 MPa [48]. Immediately after removal from pool storage, the conditions in the cladding are at their most severe: the temperature increases several hundred degrees and the pressure of the filler and fission gas mixture increases accordingly. An additional long term contribution to the stress arises from fuel lattice swelling from $\alpha$-decay, especially when Pu isotopes are present. Some estimations of the contribution are 0.3 to 0.8% swelling in the first 100 years. Therefore, researchers at EC JRC-ITU are attempting to verify the safe behaviour of irradiated cladding under realistic storage conditions.

Pressurization tests will be carried out on cladding specimens containing radial hydrides from LWR irradiated fuel rods with medium and high burn up. The objective of this study is to determine the threshold pressure for crack initiation and follow its growth with time under constant temperature and load. The experiments will be performed at 140°C approaching the temperature range of spent fuel rods after a few decades. After preheating to 240°C, the specimens will be cooled to the desired temperature, the pressure will be increased stepwise
until a defect is detected and then it will be kept constant to follow the development of the crack.

The defect evolution and growth will be monitored by means of a high resolution eddy current device. The hot extraction technique will be employed to determine the hydrogen concentration in the cladding, whereas the morphology of the hydrides and defects and their population will be characterised by metallographic analysis before (at a nearby position) and after the experiment. The results of these experiments will be reported later.
5. CONCLUSIONS AND RECOMMENDATIONS

5.1. CONCLUSIONS

1. Four methods have been demonstrated and evaluated for measuring $K_{IH}$, the limiting stress intensity factor for delayed hydride cracking (DHC) in Zircaloy-4 fuel cladding.

2. The value of $K_{IH}$ of CWSR LWR Zircaloy-4 cladding has little temperature dependence between 227°C and 285°C rising from 5 MPa\(\sqrt{\text{m}}\) to 8 MPa\(\sqrt{\text{m}}\) but increasing rapidly at higher temperature reaching 13 MPa\(\sqrt{\text{m}}\) at 300°C. In the same temperature range, $K_{IH}$ in CANDU cladding is a little higher than in LWR cladding and increases to a high value at a slightly lower temperature.

3. At 310°C values of both $K_{IH}$ and crack growth rate suggest that only a small increase in temperature would be needed for Zircaloy-4 to be immune from DHC.

4. Theory based on exceeding the fracture strength of the hydride provides a description of the results for the low temperatures, <285°C, but is less successful at high temperatures.

5. In the first part of dry storage of spent nuclear fuel, the temperature can be up to 400°C and DHC is an improbable cause of failure because of immunity. After several years’ storage when the temperature of spent fuel has declined sufficiently for DHC, cracking requires the initiating flaw to be greater than the wall thickness. If such a flaw exists it should be detectable and lead to the gas pressure responsible for the stress being dissipated.

5.2. RECOMMENDATIONS

1. For further evaluation of the influence of DHC on storage of spent fuel, it is recommended to implement respective program of testing at EC JRC-ITU.

2. To support recommendation 1, it is needed to measure the effect of neutron irradiation on $K_{IH}$ of Zircaloy-4 fuel cladding.

3. The analysis and application of the results to dry storage of spent fuel assumes that $K_{IH}$ in the radial and axial directions were similar but this assumption needs to be demonstrated.

4. It is recommended to evaluate the effect of Widmanstätten microstructure on DHC properties of Zircaloy-4 since CANDU fuel elements contain heat-affected zones from brazing.
REFERENCES


<table>
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<tr>
<th>Abbreviation</th>
<th>Description</th>
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<tr>
<td>ASTM</td>
<td>American Society for Testing and Materials</td>
</tr>
<tr>
<td>BARC</td>
<td>Bhabha Atomic Research Centre (India)</td>
</tr>
<tr>
<td>BWR</td>
<td>boiling water reactor</td>
</tr>
<tr>
<td>CANDU</td>
<td>Canadian type pressurised heavy water reactor (Canadian Deuterium Uranium)</td>
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<tr>
<td>CNL</td>
<td>Canada Nuclear Laboratories</td>
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<tr>
<td>CRP</td>
<td>coordinated research project</td>
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<tr>
<td>CW</td>
<td>cold-worked</td>
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<td>CWSR</td>
<td>cold-worked stress-relieved</td>
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<tr>
<td>DCPD</td>
<td>direct current potential drop</td>
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<tr>
<td>DHC</td>
<td>delayed hydride cracking</td>
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<td>(EC) JRC-ITU</td>
<td>(European Commission) Joint Research Centre - Institute for Transuranium Elements (Germany)</td>
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<tr>
<td>LEFM</td>
<td>linear elastic fracture mechanism</td>
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<tr>
<td>LLD</td>
<td>load line displacement</td>
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<td>LWR</td>
<td>light water reactor</td>
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<td>NNFD</td>
<td>Nippon Nuclear Fuel Development (Japan)</td>
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<tr>
<td>PD</td>
<td>potential drop</td>
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<tr>
<td>PHWR</td>
<td>pressurised heavy water reactor</td>
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<tr>
<td>PLT</td>
<td>pin loading tension (technique)</td>
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<tr>
<td>PSI</td>
<td>Paul Scherrer Institute (Switzerland)</td>
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<tr>
<td>PWR</td>
<td>pressurised water reactor</td>
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<tr>
<td>RBMK</td>
<td>high power channel type reactor (Russian graphite moderated light water cooled reactor)</td>
</tr>
<tr>
<td>RCM</td>
<td>research coordination meeting</td>
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<tr>
<td>VNIINM</td>
<td>A.A. Bochvar High-Technology Research Institute of Inorganic Materials (Russian Federation)</td>
</tr>
<tr>
<td>VVER</td>
<td>water cooled water moderated power reactor (Voda Voda Energo Reactor, Russian pressurized light water cooled reactor)</td>
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CONTRIBUTORS TO DRAFTING AND REVIEW

Aioanei, L. Regia Autonoma Tehnologii pentru Energia Nucleara, Romania
Ali, K.L. Pakistan Institute of Nuclear Science and Technology, Pakistan
Alvarez-Holston, A.M. Studsvik Nuclear, Sweden
Astrakhantsev, M. A.A. Bochvar High-Technology Research Institute of Inorganic Materials, Russian Federation
Bekrenev, S. A.A. Bochvar High-Technology Research Institute of Inorganic Materials, Russian Federation
Buyers, A. Canadian Nuclear Laboratories, Canada
Castagnet, M. Instituto de Pesquisas Energéticas e Nucleares, Brazil
Chakravartty, J. Bhabha Atomic Research Centre, India
Chernyayeva, T. Kharkov Institute of Physics and Technology, Ukraine
Coleman, C.E. Canadian Nuclear Laboratories, Canada
Correa, O. Instituto de Pesquisas Energéticas e Nucleares, Brazil
Grigoriev, V. Studsvik Nuclear, Sweden
Grybenas, A. Lithuanian Energy Institute, Lithuania
He, Z. Canadian Nuclear Laboratories, Canada
Inozemtsev, V. International Atomic Energy Agency
Johansson, B. Studsvik Nuclear, Sweden
Kim, Y.S. KAERI, Republic of Korea
Kotov, P. A.A. Bochvar High-Technology Research Institute of Inorganic Materials, Russian Federation
Kriukiene, R. Lithuanian Energy Institute, Lithuania
Makarevicius, V. Lithuanian Energy Institute, Lithuania
Markelov, V. A.A. Bochvar High-Technology Research Institute of Inorganic Materials, Russian Federation
McDonald, D. Canadian Nuclear Laboratories, Canada
Mizrahi, R. Comisión Nacional de Energia Atómica, Argentina
Nitu, A. Regia Autonoma Tehnologii pentru Energia Nucleara, Romania
Novikov, V. A.A. Bochvar High-Technology Research Institute of Inorganic Materials, Russian Federation
Ostapov, A. Kharkov Institute of Physics and Technology, Ukraine
Papaioannou, D. Joint Research Centre – Institute for Transuranium Elements, European Union
Ramanathan, L. Instituto de Pesquisas Energéticas e Nucleares, Brazil
Roth, M. Regia Autonoma Tehnologii pentru Energia Nucleara, Romania
Sakamoto, K. Nippon Nuclear Fuel Development, Japan
Saburov, N. A.A. Bochvar High-Technology Research Institute of Inorganic Materials, Russian Federation
Singh, R.N. Bhabha Atomic Research Centre, India
Sunil, S. Bhabha Atomic Research Centre, India
Stjärnsäter, J. Studsvik Nuclear, Sweden
Vallence, S. Paul Scherrer Institute, Switzerland

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FINLAND
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PO Box 128 (Keskuskatu 1), 00101 Helsinki, FINLAND
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GERMANY
Goethe Buchhandlung Teubig GmbH
Schweitzer Fachinformationen
Willstätterstrasse 15, 40549 Düsseldorf, GERMANY
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