



# Stability boundaries for fast particle driven TAE in stellarators

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- 1. Introduction**
- 2. numerical 3D kinetic MHD model**
- 3. stability boundaries for TAE in W7-AS #39042 and W7-X**
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**Acknowledgments: S. Zegenhagen, J. Nührenberg, A. Weller**



## Introduction

### kinetic effects may interact with ideal MHD modes:

- destabilization of MHD gap modes by resonant interaction of fast particles
- source of free energy: gradient of fast particles
- experimental observations:
  - W7-AS: Weller et al. (1998, 2000, 2003)
  - CHS/LHD: Toi et al. (2000, 2004)
- theoretical approaches for three dimensions:
  - analytical approach for passing particles: Kolesnichenko et al. (2001, ...)
  - gyrofluid model 2D (Spong) - M3D nonlinear two fluid - kinetic hybrid model (Strauss, Park et al., 2002)



## Kinetic energy integral

there is an energy integral considering kinetic effects

$$\delta W_{\text{kin}} = \omega^2 \frac{1}{2} \int d^3 \vec{x} \left| \vec{\xi}_{\perp} \right|^2 \rho_M = \delta W_{\text{mag}} + \sum_{s=i,e,\text{fast}} \delta W_s(\omega)$$

(Kruskal/Oberman 1958 ... Antonsen/Lee 1984)

$$\delta W_{\text{mag}} = \frac{1}{2} \int d^3 \vec{x} \left\{ \left| B_{\perp}^{(1)} \right|^2 + \left| B_{\parallel}^{(1)} \right|^2 + \vec{j}_{\parallel} \cdot \left( \vec{\xi}_{\perp} \times \vec{B}_{\perp}^{(1)} \right) - \frac{B_{\parallel}^{(1)}}{B} \vec{\xi}_{\perp}^* \cdot \vec{\nabla} p + \left( \vec{\nabla} \cdot \vec{\xi}_{\perp}^* \right) \left( \vec{\xi}_{\perp} \cdot \vec{\nabla} p \right) \right\}$$

the non-adiabatic contributions from the hot and thermal component replace the MHD fluid compression term

the contributions from the thermal plasma ( $\delta W_{i,e}$ ) and the fast particles ( $\delta W_{\text{fast}}$ ) depend on the **perturbed particle Lagrangian**  $L^{(1)}$

# Kinetic contribution

particle- wave- energy- exchange by resonant interaction

$$\delta W_s = \frac{\pi}{M_s^2} \left\{ \sum_{\sigma} \right\} \int ds \int d\varphi \int d\mu d\epsilon \left( - \int \frac{d\vartheta}{|v_{||}|} \sqrt{g} B \right) \sum_{\substack{n,m \\ n',m'}} \sum_{p=-\infty}^{\infty} e^{-i \frac{2\pi}{N_p} (n'-n)\varphi} \times$$

$$\times \left( \frac{\partial F_s}{\partial \epsilon} \right)_{\mu} \frac{\omega - 2\pi \left( \frac{n}{N_p} J - m I \right) \omega^*}{m \langle \omega_d^{\vartheta} \rangle + \frac{n}{N_p} \langle \omega_d^{\varphi} \rangle + \left\{ \frac{\sigma(p+nq)}{p} \right\} \omega_{\{t\}} - \omega} L_{m'n'}^{(1)*} \mathcal{M}_{pn}^{m'n'*} L_{mn}^{(1)} \mathcal{M}_{pn}^{mn}$$

definition of  $\mathcal{M}_{pn}^{m'n'}$ :  
for passing particles:

$$\mathcal{M}_{pn}^{m'n'} = \left\langle e^{i[2\pi(m'+n'q)\vartheta'' - (p+nq)\omega t'']} \right\rangle_{\vartheta''}$$

for reflected particles:

$$\mathcal{M}_{pn}^{m'n'} = \left\langle e^{2\pi i(m'+n'q)\vartheta''} \left[ \cos^2\left(\frac{\pi}{2}p\right) \cos(p\omega_b t'') - i \sin^2\left(\frac{\pi}{2}p\right) \sin(p\omega_b t'') \right] \right\rangle_{\vartheta''}$$

$\langle \dots \rangle$  denotes the transit or bounce average

perturbed particle Lagrangian:

$$L^{(1)} = -(M v_{||}^2 - \mu B) \vec{\xi}_{\perp} \cdot \vec{\kappa} + \mu B \vec{\nabla} \cdot \vec{\xi}_{\perp}$$



## Approximations in CAS3D-K

**CAS3D-K: perturbative stability code based on a hybrid MHD-drift kinetic model**

- **3-dimensional**
- **general mode structure and equilibrium**
- **particle drifts are approximated as bounce averaged drifts**
- **zero radial orbit width and passing particles (at the moment)**
- **perturbative growth/damping rates from:**

$$\Delta\omega_s + i\gamma_s \approx \frac{1}{2} \frac{\delta W_s(\omega_0)}{\delta W_{\text{mag}}} \omega_0$$

using the MHD eigenfunctions and the MHD frequency  $\omega_0$

- $\delta W_{\text{mag}}$  from the ideal MHD stability code *CAS3D* (C. Nührenberg, 1996, 1998, 2000, ...)



## 3D analytical theory

valid in the limit of very localized modes and for an isotropic distribution of the hot particles (Kolesnichenko et al. 2001)

hot particle growth rate:

$$\gamma = \frac{3\pi\beta_\alpha}{64k^2r^2} \sum_{\nu,\mu,j} \left| \epsilon^{(\mu\nu)} \right|^2 \frac{w \int_w^{w/\sqrt{\epsilon_{eff}}} duu(u^2 + w^2)^2 (\omega \partial / \partial u^2 + \omega_d) f_0}{\int_0^\infty duu^4 f_0}$$

with

$$w = \left| v_{A*} \left( 1 + 2j \frac{\iota_* - \nu N}{\mu_0 \iota_* - \nu_0 N} \right) \right| / v_0 \quad u = v/v_0$$
$$\iota_* = (2n + \nu N) / (2m + \mu_0) \quad k = [(m + p)\iota - n + s] R_0^{-1}$$

## 3D analytical theory - What can we learn?

- proportionality to equilibrium quantities

$$\frac{\gamma}{\omega_0} \propto A^2 \sum_{\mu\nu} |\epsilon_{\mu\nu}^\kappa|^2 \approx A^2 \sum_{\mu\nu} |\epsilon_{\mu\nu}^B|^2$$

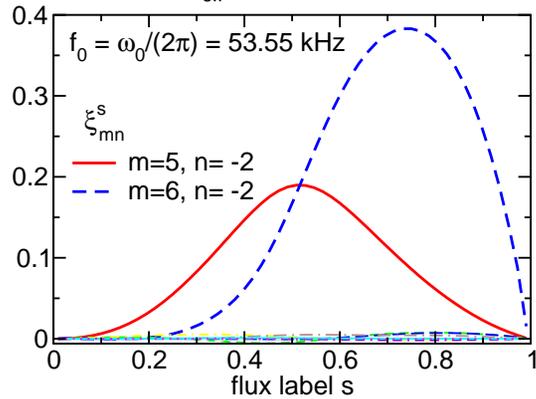
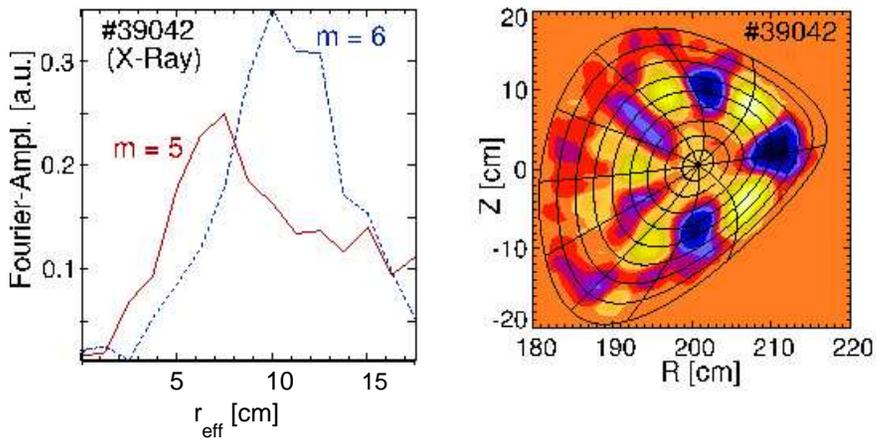
- coupling is approximately given by the structure of B  
⇒ investigate spectrum of B
- note, that for a TAE in a large aspect ratio tokamak:  $\frac{\gamma}{\omega_0}$  is independent of the equilibrium
- the resonance condition  $\omega - k_{||} v_{th} = 0$  determines

$$v_{m'n'}^{\text{res}} = v_A \left| 1 \pm \frac{m'\iota^* + n'N_p}{m\iota^* + n} \right|^{-1}$$

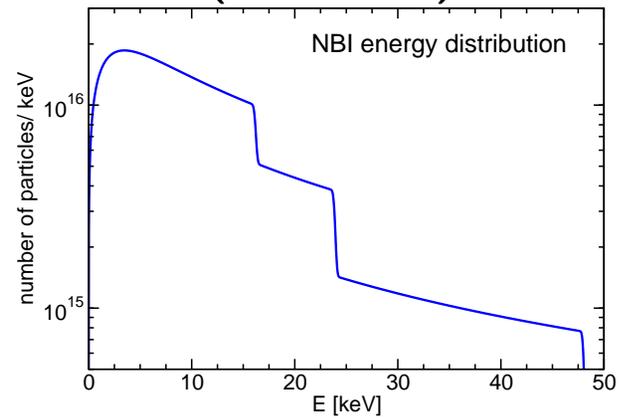
i.e. well known resonances at  $v_0 = v_A$  and  $v_0 = v_A/3$  for a Tokamak

# TAE in W7-AS #39042

A. Weller et al., Phys. Plasmas, 8, 931 (2001):



## fast ions (deuterium):



electrons: Maxwellian  $T_e = 518\text{eV}$

ions (deuterium): Maxwellian  $T_e = 400\text{eV}$

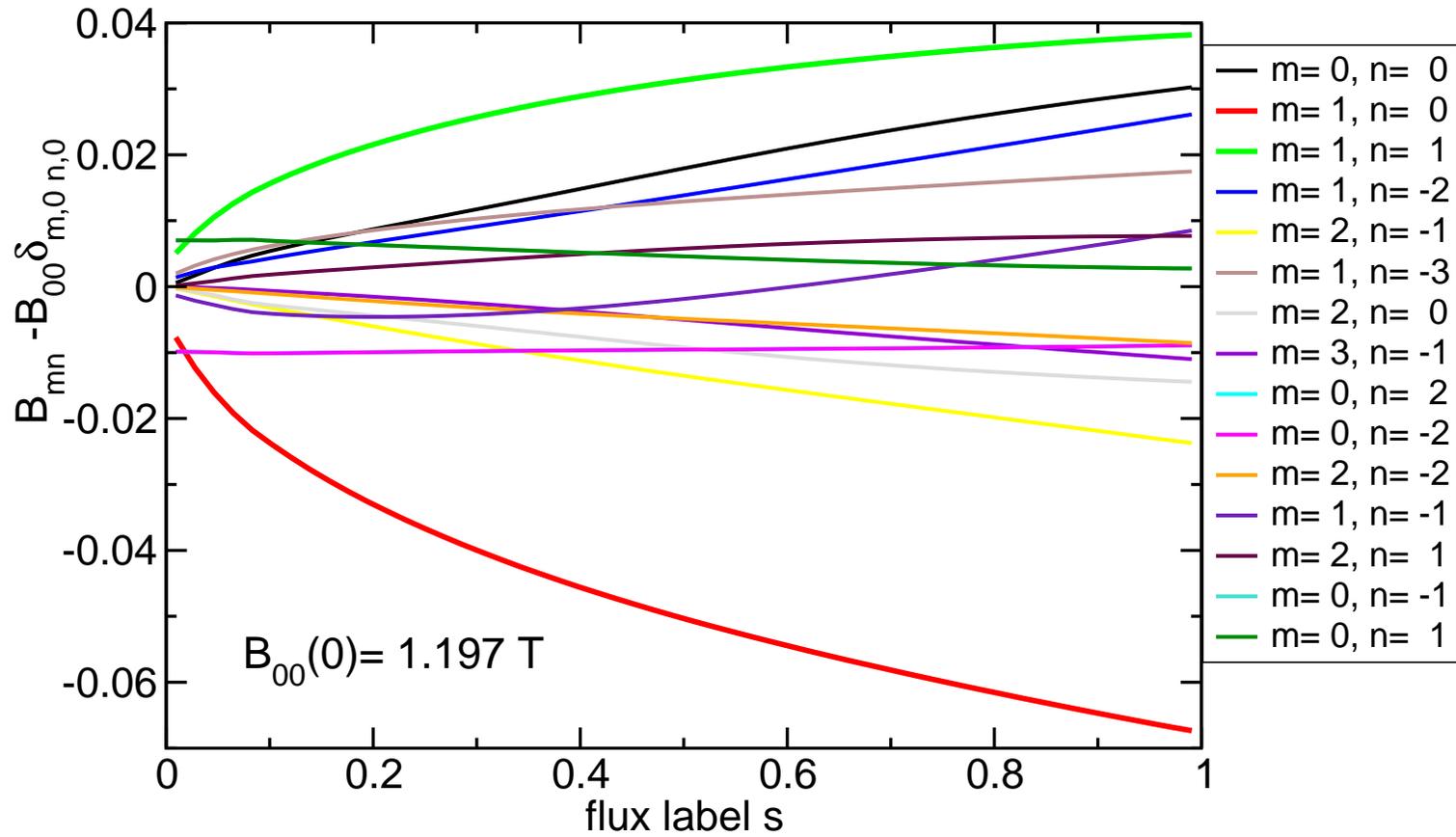
Alfvén velocity:  $v_A = 4.65 \cdot 10^6 \text{ms}^{-1}$

$\langle \beta \rangle = 2.5 \cdot 10^{-3}$

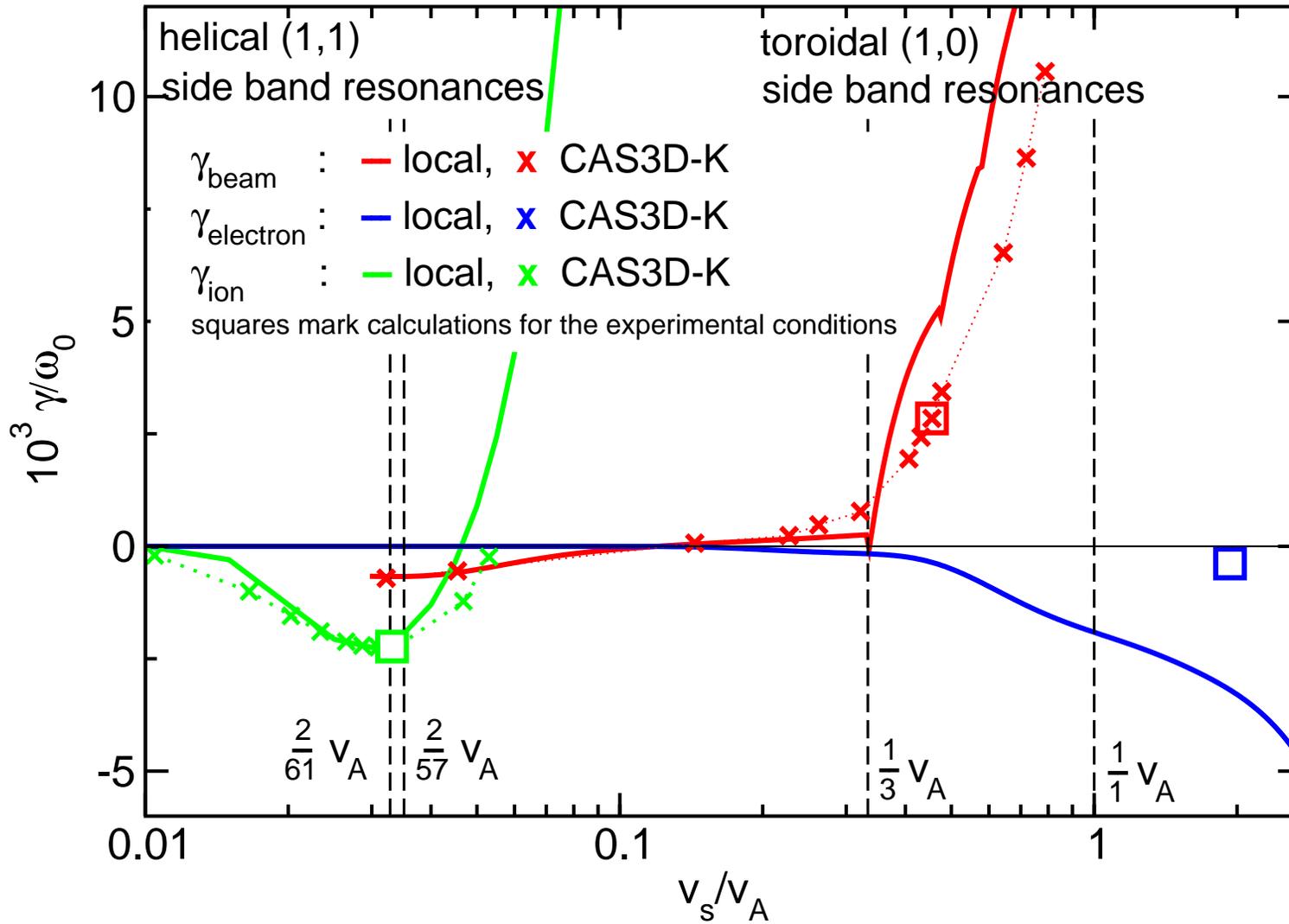
$\langle \beta_{\text{fast}} \rangle = 1.2315 \cdot 10^{-4}$

$\frac{v_{\text{fast}}}{v_A} = 0.239$

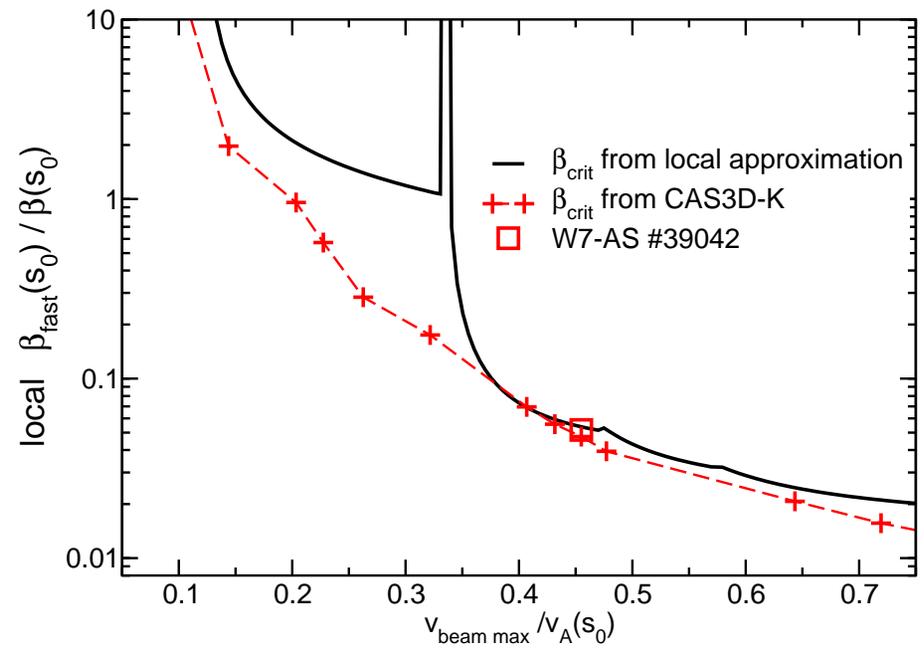
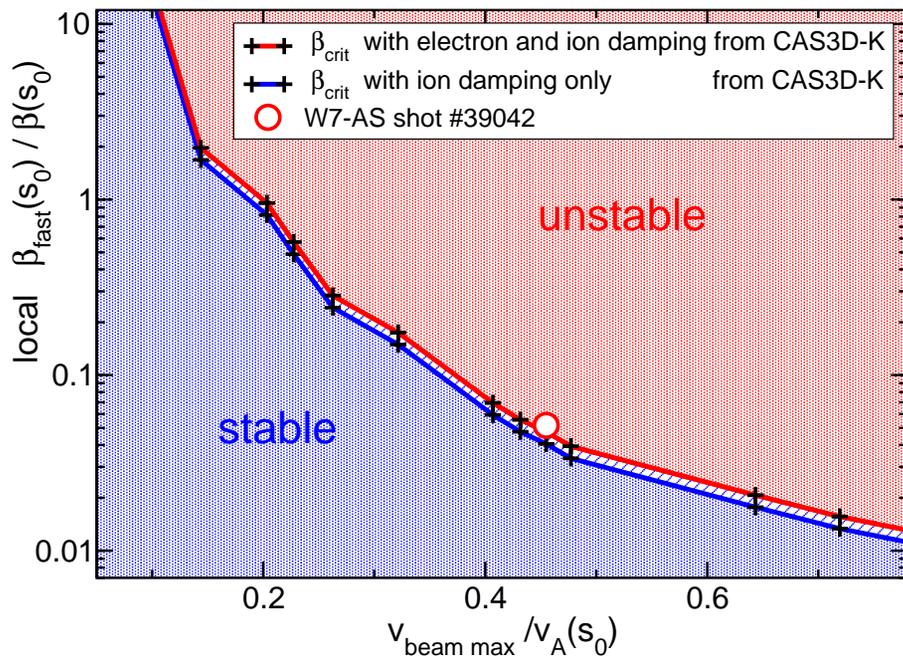
# extract possible coupling from B spectrum



# growth and damping rates for TAE in #39042



# stability diagram for (5,-2)/(6,-2) TAE

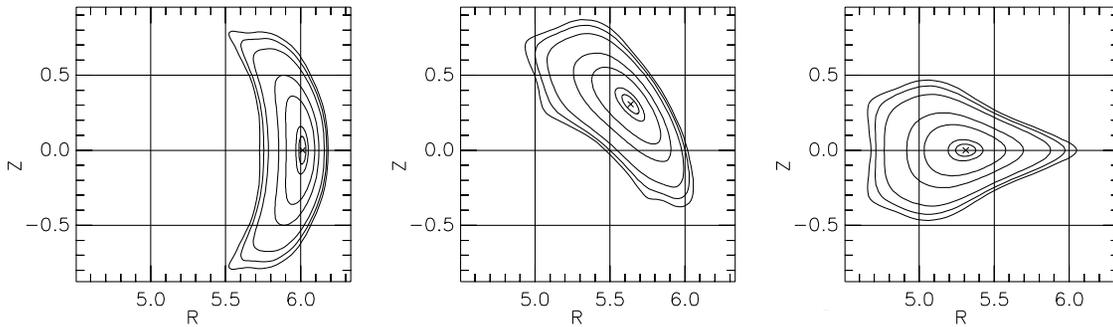


# (4,-4),(5,-4) TAE in W7-X

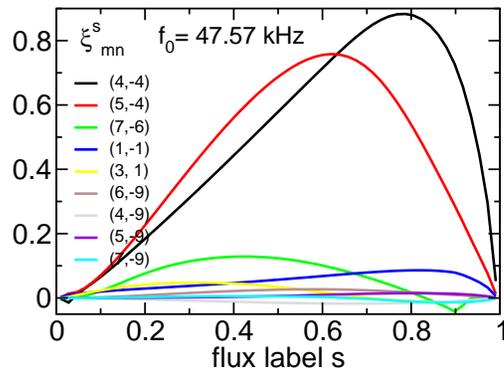
equilibrium:

M. Drevlak et al., Phys. Plasmas, 8, 931 (2001):  
 from **PIES** calculation: practically island free

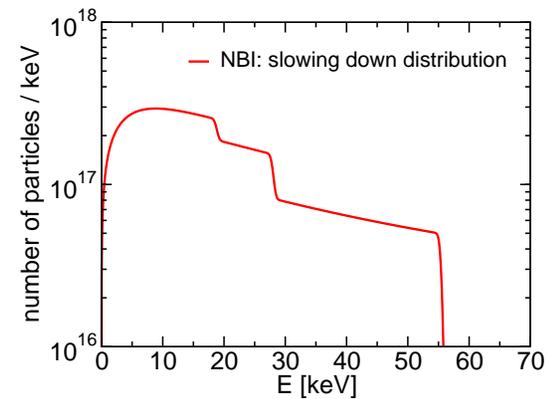
electrons: Maxwellian  $T_e = 3.8\text{keV}$   
 ions (hydrogen): Maxwellian  $T_e = 3.8\text{keV}$   
 $\langle \beta \rangle = 4.2 \cdot 10^{-2}$



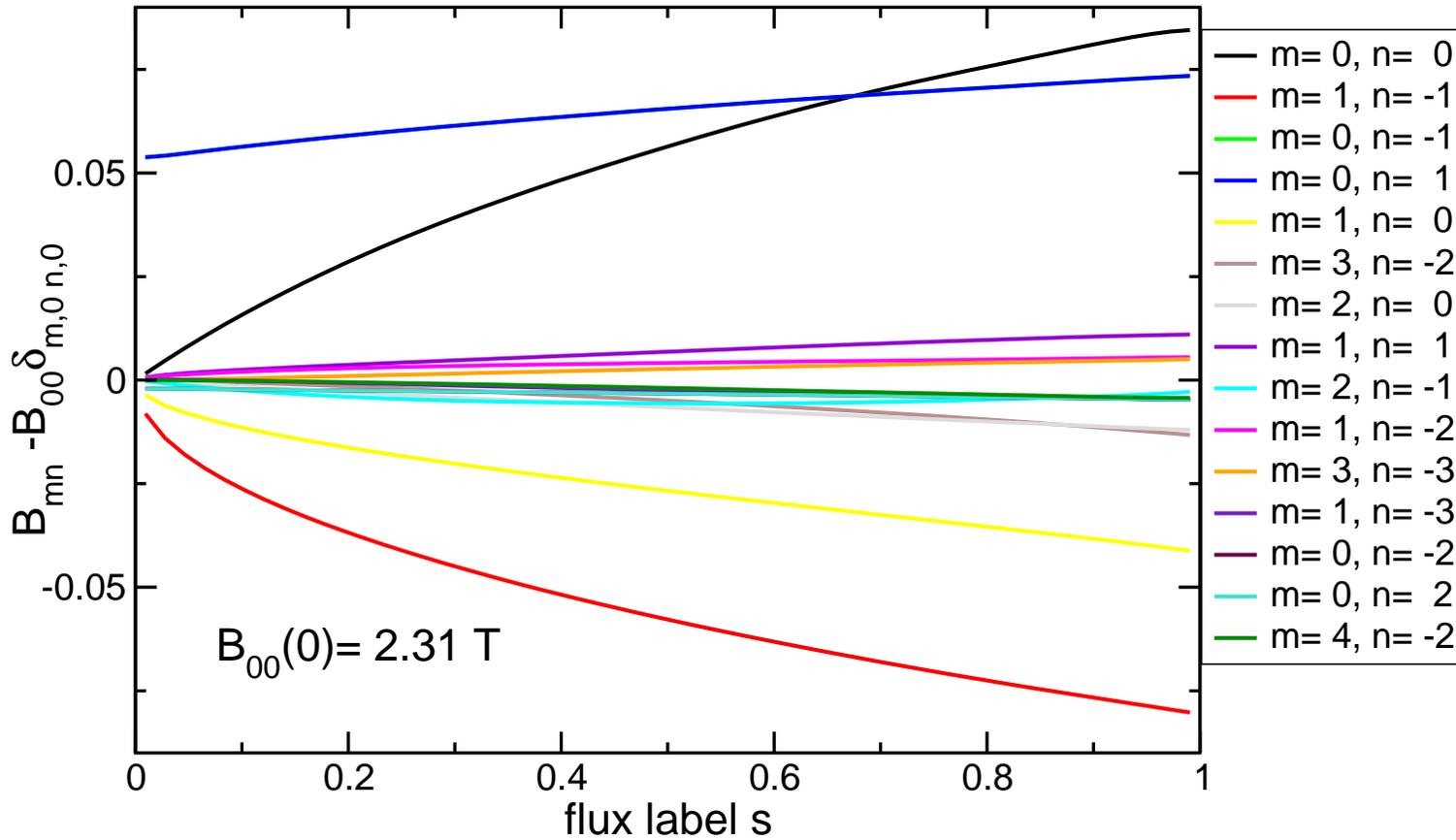
mode:



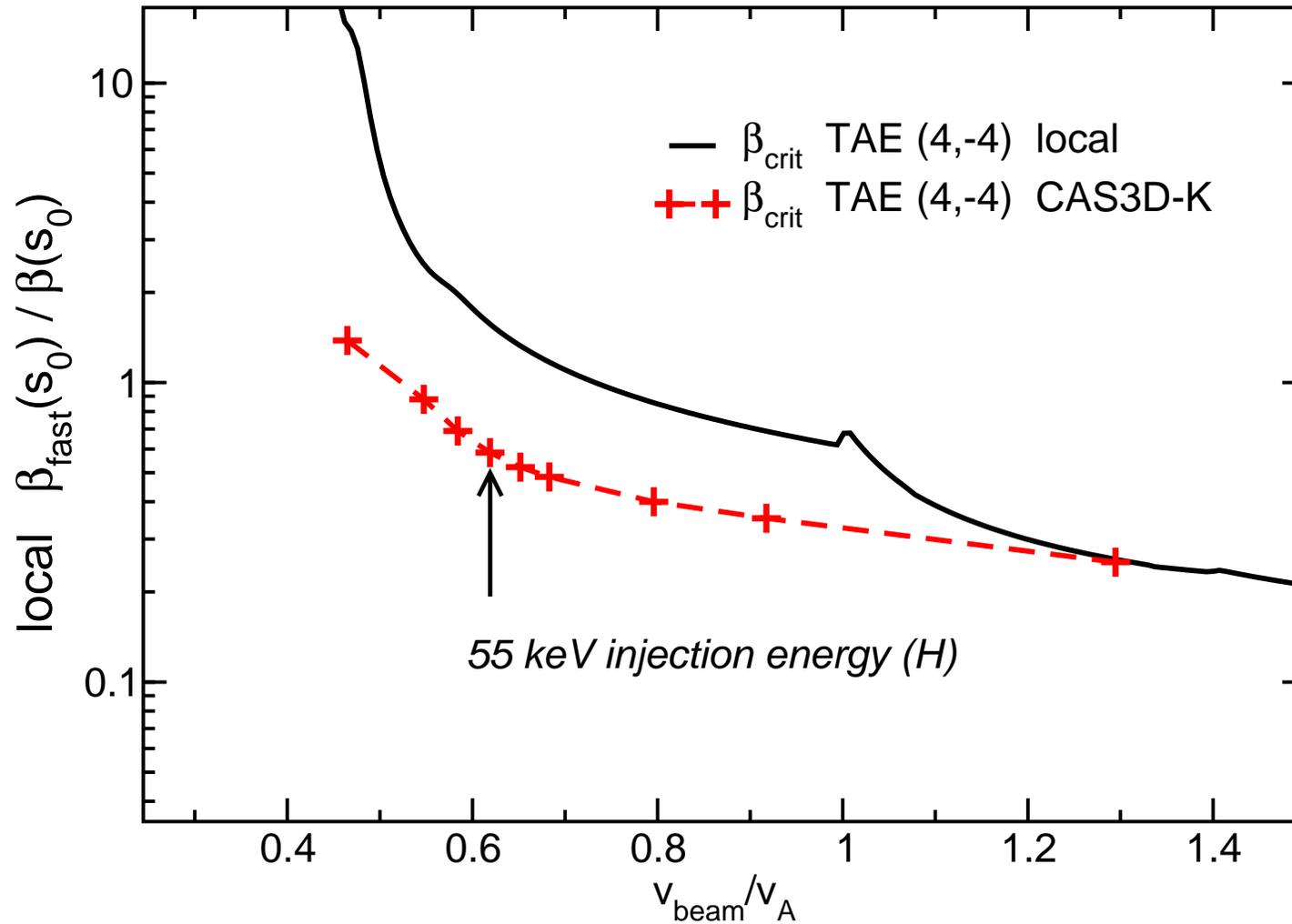
fast ions (hydrogen):



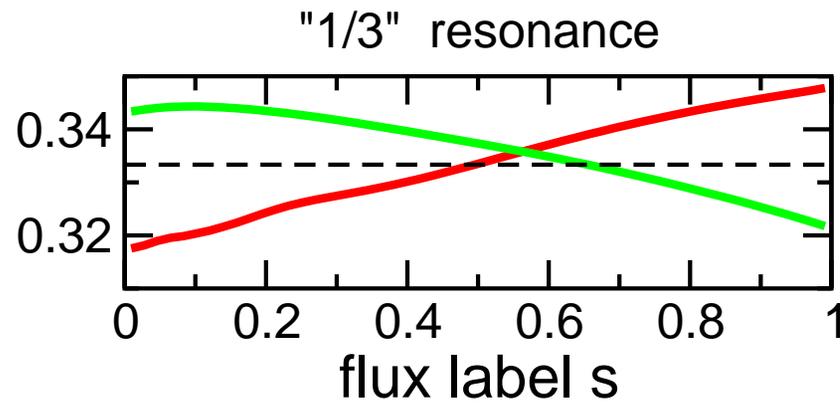
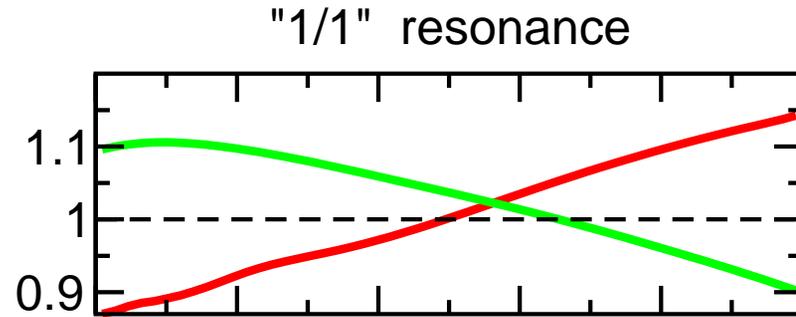
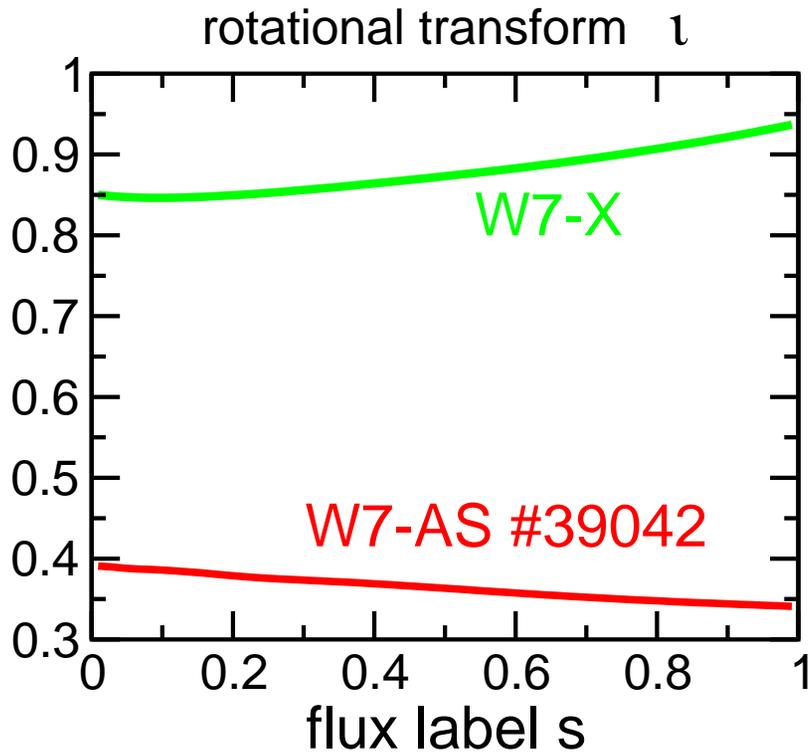
# again: extract possible coupling from B spectrum

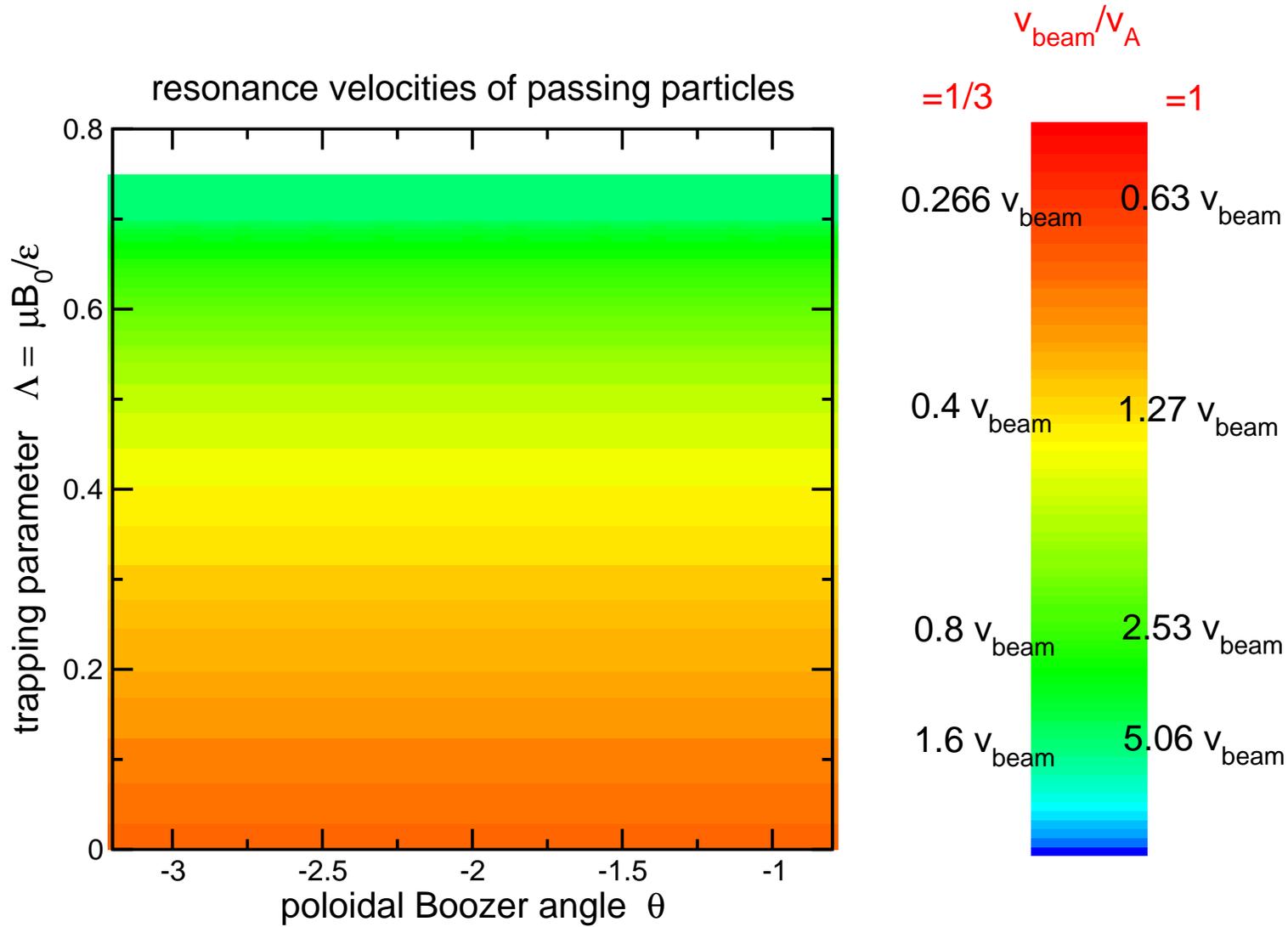


# Comparison of critical $\beta$ for mode in W7-X



# $\iota$ profile and resonance velocities



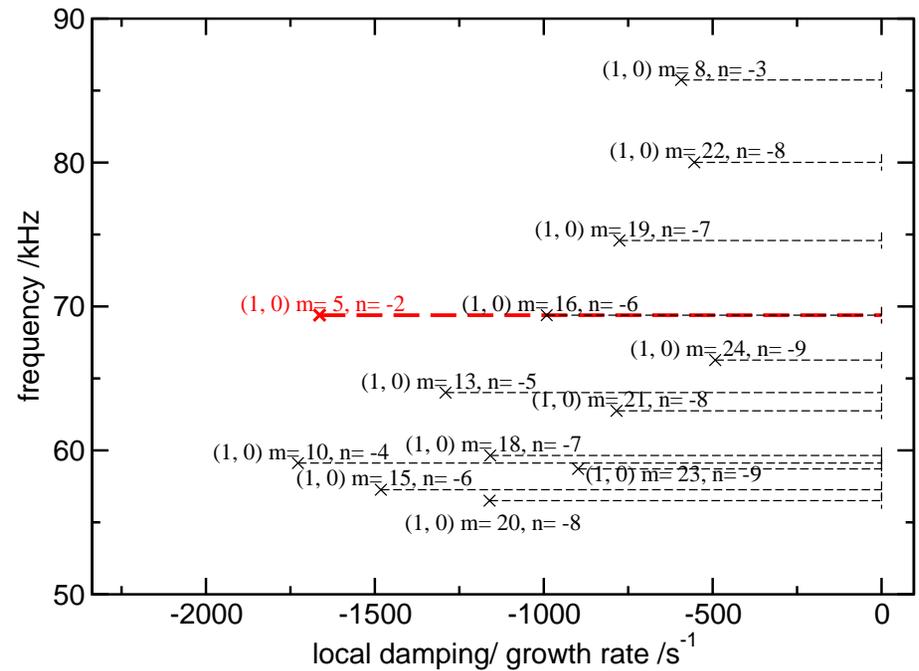
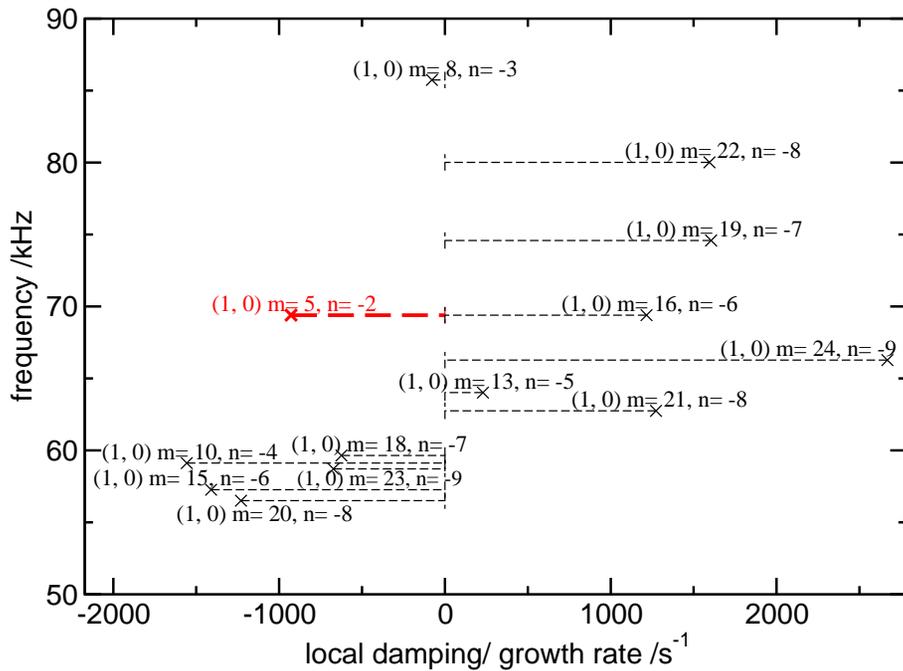


# destabilization by temperature gradients

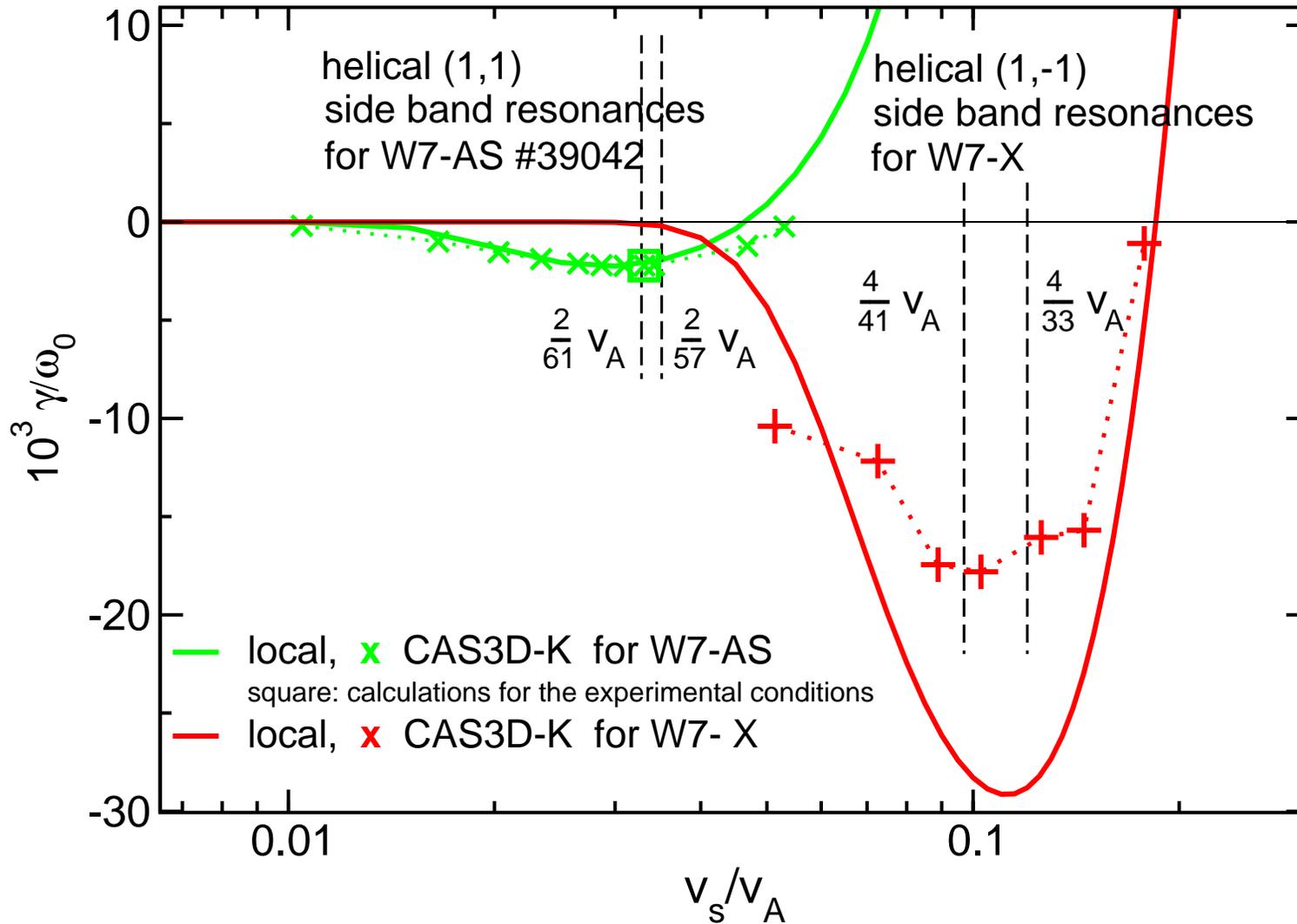
## TAE mode frequencies and growth/ damping rates from a local computation

with a temperature gradient:

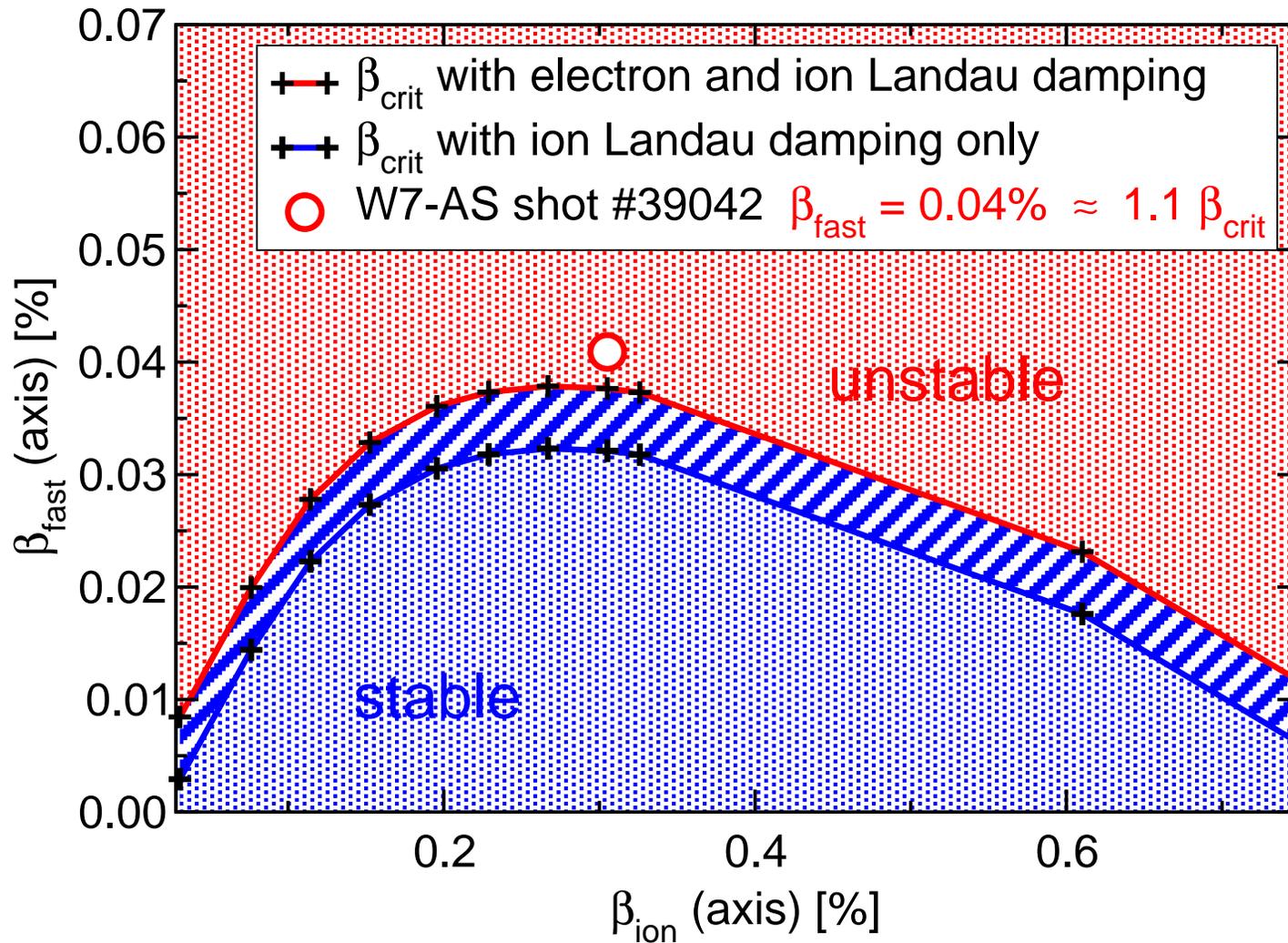
without a temperature gradient:



# damping by thermal ions



# stability diagram for (5,-2)/(6,-2) TAE





## Summary

- the stability boundaries obtained predict a weak instability of a TAE in agreement with the experiment (#39042) in spite of a very small  $\beta_{fast}$
- surprisingly good agreement between local and global approaches (presumably because of low shear of the equilibria)
- **stability diagrams shown allow a direct comparison with the experiment**
- **damping is mainly due to ions and caused by the helical resonances (genuine 3D effect)**
- for high plasma beta the TAE may be driven unstable by thermal ions
- thermal ion destabilization due ion temperature gradients (extension of the theory is necessary:  $E_{||}$ ,  $\rho_i$ , ...)