

DEFINITIONS

Contamination

214. *Contamination* shall mean the presence of a radioactive substance on a surface in quantities in excess of 0.4 Bq/cm² for beta and gamma emitters and *low toxicity alpha emitters*, or 0.04 Bq/cm² for all other alpha emitters.

215. *Non-fixed contamination* shall mean *contamination* that can be removed from a surface during routine conditions of transport.

216. *Fixed contamination* shall mean *contamination* other than *non-fixed contamination*.

Conveyance

217. *Conveyance* shall mean:

- (a) For transport by road or rail: any *vehicle*.
- (b) For transport by water: any *vessel*, or any hold, compartment, or *defined deck area* of a *vessel*.
- (c) For transport by air: any *aircraft*.

Criticality safety index

218. *Criticality safety index (CSI)* assigned to a *package*, *overpack* or *freight container* containing *fissile material* shall mean a number that is used to provide control over the accumulation of *packages*, *overpacks* or *freight containers* containing *fissile material*.

Defined deck area

219. *Defined deck area* shall mean the area of the weather deck of a *vessel*, or of a *vehicle* deck of a roll-on/roll-off ship or ferry, that is allocated for the stowage of *radioactive material*.

Design

220. *Design* shall mean the description of *fissile material* excepted under para. 417(f), *special form radioactive material*, *low dispersible radioactive material*, *package* or *packaging* that enables such an item to be fully identified. The description may include specifications, engineering drawings, reports

SECTION IV

- (c) *Uranium* with a maximum *uranium* enrichment of 5% by mass of uranium-235 provided:
 - (i) There is no more than 3.5 g of uranium-235 per *package*.
 - (ii) The total plutonium and uranium-233 content does not exceed 1% of the mass of uranium-235 per *package*.
 - (iii) Transport of the *package* is subject to the *consignment* limit provided in para. 570(c).
- (d) *Fissile nuclides* with a total mass not greater than 2.0 g per *package*, provided the *package* is transported subject to the *consignment* limit provided in para. 570(d).
- (e) *Fissile nuclides* with a total mass not greater than 45 g, either packaged or unpackaged, subject to the limits provided in para. 570(e).
- (f) A *fissile material* that meets the requirements of paras 570(b), 606 and 802.

418. The contents of *packages* containing *fissile material* shall be as specified for the *package design*, either directly in these Regulations or in the certificate of approval.

Uranium hexafluoride

419. Uranium hexafluoride shall be assigned to one of the following UN numbers only:

- (a) UN 2977, RADIOACTIVE MATERIAL, URANIUM HEXAFLUORIDE, FISSILE;
- (b) UN 2978, RADIOACTIVE MATERIAL, URANIUM HEXAFLUORIDE, non-fissile or fissile-excepted;
- (c) UN 3507, URANIUM HEXAFLUORIDE, RADIOACTIVE MATERIAL, EXCEPTED PACKAGE, less than 0.1 kg per package, non-fissile or fissile-excepted.

420. The contents of a *package* containing uranium hexafluoride shall comply with the following requirements:

- (a) The mass of uranium hexafluoride shall not be different from that allowed for the *package design*.
- (b) The mass of uranium hexafluoride shall not be greater than a value that would lead to an ullage of less than 5% at the maximum temperature of the *package*, as specified for the plant systems where the *package* might be used.

ACTIVITY LIMITS AND CLASSIFICATION

- (ii) The outside of the *package*, where it is impractical to mark an internal surface.
- (c) For transport by post, the total activity in each excepted *package* shall not exceed one tenth of the relevant limits specified in column 4 of Table 4.

425. Uranium hexafluoride not exceeding the limits specified in column 4 of Table 4 may be classified under UN 3507 URANIUM HEXAFLUORIDE, RADIOACTIVE MATERIAL, EXCEPTED PACKAGE, less than 0.1 kg per package, non-fissile or fissile-excepted, provided that:

- (a) The mass of uranium hexafluoride in the *package* is less than 0.1 kg.
- (b) The conditions of paras 420, 424(a) and 424(b) are met.

426. Articles manufactured of *natural uranium*, *depleted uranium* or natural thorium and articles in which the sole *radioactive material* is unirradiated *natural uranium*, unirradiated *depleted uranium* or unirradiated natural thorium may be classified under UN 2909, RADIOACTIVE MATERIAL, EXCEPTED PACKAGE — ARTICLES MANUFACTURED FROM NATURAL URANIUM or DEPLETED URANIUM or NATURAL THORIUM, provided that the outer surface of the *uranium* or thorium is enclosed in an inactive sheath made of metal or some other substantial material.

Additional requirements and controls for transport of empty packagings

427. An empty *packaging* that had previously contained *radioactive material* may be classified under UN 2908, RADIOACTIVE MATERIAL, EXCEPTED PACKAGE — EMPTY PACKAGING, provided that:

- (a) It is in a well-maintained condition and securely closed.
- (b) The outer surface of any *uranium* or thorium in its structure is covered with an inactive sheath made of metal or some other substantial material.
- (c) The level of internal *non-fixed contamination* does not exceed 100 times the levels specified in para. 508.
- (d) Any labels that may have been displayed on it in conformity with para. 538 are no longer visible.

Classification as Type A package

428. *Packages* containing *radioactive material* may be classified as *Type A packages* provided that the conditions of paras 429 and 430 are met.

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429. *Type A packages* shall not contain activities greater than either of the following:

- (a) For *special form radioactive material* — A_1 ;
- (b) For all other *radioactive material* — A_2 .

430. For mixtures of radionuclides whose identities and respective activities are known, the following condition shall apply to the *radioactive contents* of a *Type A package*:

$$\sum_i \frac{B(i)}{A_1(i)} + \sum_j \frac{C(j)}{A_2(j)} \leq 1$$

where

$B(i)$ is the activity of radionuclide i as *special form radioactive material*;

$A_1(i)$ is the A_1 value for radionuclide i ;

$C(j)$ is the activity of radionuclide j as other than *special form radioactive material*;

$A_2(j)$ is the A_2 value for radionuclide j .

Classification as Type B(U), Type B(M) or Type C package

431. *Type B(U)*, *Type B(M)* and *Type C packages* shall be classified in accordance with the *competent authority* certificate of *approval* for the *package* issued by the country of origin of *design*.

432. The contents of a *Type B(U)*, *Type B(M)* or *Type C package* shall be as specified in the certificate of *approval*.

433. *Type B(U)* and *Type B(M) packages*, if transported by air, shall meet the requirements of para. 432 and shall not contain activities greater than the following:

- (a) For *low dispersible radioactive material* — as authorized for the *package design* as specified in the certificate of *approval*;
- (b) For *special form radioactive material* — $3000A_1$ or 10^5A_2 , whichever is the lower;
- (c) For all other *radioactive material* — $3000A_2$.

SECTION V

- (j) For *fissile material*:
 - (i) Shipped under one exception of subparagraphs 417(a)–(f), reference to that para.;
 - (ii) Shipped under para. 417(c)–(e), the total mass of *fissile nuclides*;
 - (iii) Contained in a *package* for which one of para. 674(a)–(c) or 675 is applied, reference to that para.;
 - (iv) The *CSI*, where applicable.
- (k) The identification mark for each *competent authority* certificate of approval (*special form radioactive material, low dispersible radioactive material, fissile material* excepted under para. 417(f), *special arrangement, package design* or *shipment*) applicable to the *consignment*.
- (l) For *consignments* of more than one *package*, the information contained in para. 546(a)–(k) shall be given for each *package*. For *packages* in an *overpack, freight container* or *conveyance*, a detailed statement of the contents of each *package* within the *overpack, freight container* or *conveyance* and, where appropriate, of each *overpack, freight container* or *conveyance* shall be included. If *packages* are to be removed from the *overpack, freight container* or *conveyance* at a point of intermediate unloading, appropriate transport documents shall be made available.
- (m) Where a *consignment* is required to be shipped under *exclusive use*, the statement “EXCLUSIVE USE SHIPMENT”.
- (n) For *LSA-II, LSA-III, SCO-I* and *SCO-II*, the total activity of the *consignment* as a multiple of A_2 . For *radioactive material* for which the A_2 value is unlimited, the multiple of A_2 shall be zero.

Consignor’s certification or declaration

547. The *consignor* shall include in the transport documents a certification or declaration in the following terms:

“I hereby declare that the contents of this consignment are fully and accurately described above by the proper shipping name and are classified, packaged, marked and labelled/placarded, and are in all respects in proper condition for transport in accordance with the applicable international and national governmental regulations.”

548. If the intent of the declaration is already a condition of transport within a particular international convention, the *consignor* need not provide such a declaration for that part of the transport covered by the convention.

Section VII

TEST PROCEDURES

DEMONSTRATION OF COMPLIANCE

701. Demonstration of compliance with the performance standards required in Section VI shall be accomplished by any of the following methods listed below or by a combination thereof:

- (a) Performance of tests with specimens representing *LSA-III material*, or *special form radioactive material*, or *low dispersible radioactive material*, or with prototypes or samples of the *packaging*, where the contents of the specimen or the *packaging* for the tests shall simulate as closely as practicable the expected range of *radioactive contents* and the specimen or *packaging* to be tested shall be prepared as presented for transport.
- (b) Reference to previous satisfactory demonstrations of a sufficiently similar nature.
- (c) Performance of tests with models of appropriate scale, incorporating those features that are significant with respect to the item under investigation when engineering experience has shown the results of such tests to be suitable for *design* purposes. When a scale model is used, the need for adjusting certain test parameters, such as penetrator diameter or compressive load, shall be taken into account.
- (d) Calculation, or reasoned argument, when the calculation procedures and parameters are generally agreed to be reliable or conservative.

702. After the specimen, prototype or sample has been subjected to the tests, appropriate methods of assessment shall be used to ensure that the requirements of this section have been fulfilled in compliance with the performance and acceptance standards prescribed in Section VI.

LEACHING TEST FOR LSA-III MATERIAL AND LOW DISPERSIBLE RADIOACTIVE MATERIAL

703. A solid material sample representing the entire contents of the *package* shall be immersed for 7 days in water at ambient temperature. The volume of water to be used in the test shall be sufficient to ensure that at the end of the 7 day test period, the free volume of the unabsorbed and unreacted water remaining

SECTION VIII

- (c) The issue date and an expiry date;
- (d) List of applicable national and international regulations, including the edition of the IAEA Regulations for the Safe Transport of Radioactive Material under which the exception is approved;
- (e) A description of the excepted material;
- (f) Limiting specifications for the excepted material;
- (g) A specification of the applicable *management system*, as required in para. 306;
- (h) Reference to information provided by the applicant relating to specific actions to be taken prior to *shipment*;
- (i) If deemed appropriate by the *competent authority*, reference to the identity of the applicant;
- (j) Signature and identification of the certifying official;
- (k) Reference to documentation that demonstrates compliance with para. 606.

Certificates of approval for special arrangement

836. Each certificate of *approval* issued by a *competent authority* for a *special arrangement* shall include the following information:

- (a) Type of certificate.
- (b) The *competent authority* identification mark.
- (c) The issue date and an expiry date.
- (d) Mode(s) of transport.
- (e) Any restrictions on the modes of transport, type of *conveyance*, *freight container* and any necessary routeing instructions.
- (f) List of applicable national and international regulations, including the edition of the IAEA Regulations for the Safe Transport of Radioactive Material under which the *special arrangement* is approved.
- (g) The following statement: “This certificate does not relieve the consignor from compliance with any requirement of the government of any country through or into which the package will be transported”.
- (h) References to certificates for alternative *radioactive contents*, other *competent authority* validation, or additional technical data or information, as deemed appropriate by the *competent authority*.
- (i) Description of the *packaging* by reference to the drawings or a specification of the *design*. If deemed appropriate by the *competent authority*, a reproducible illustration not larger than 21 cm × 30 cm, showing the make-up of the *package*, should also be provided, accompanied by a brief description of the *packaging*, including materials of manufacture, gross mass, general external dimensions and appearance.

SECTION VIII

Certificates of approval for shipments

837. Each certificate of *approval* for a *shipment* issued by a *competent authority* shall include the following information:

- (a) Type of certificate.
- (b) The *competent authority* identification mark(s).
- (c) The issue date and an expiry date.
- (d) List of applicable national and international regulations, including the edition of the IAEA Regulations for the Safe Transport of Radioactive Material under which the *shipment* is approved.
- (e) Any restrictions on the modes of transport, type of *conveyance*, *freight container* and any necessary routeing instructions.
- (f) The following statement: “This certificate does not relieve the consignor from compliance with any requirement of the government of any country through or into which the package will be transported”.
- (g) A detailed listing of any supplementary operational controls required for preparation, loading, carriage, unloading and handling of the *consignment*, including any special stowage provisions for the safe dissipation of heat or maintenance of criticality safety.
- (h) Reference to information provided by the applicant relating to specific actions to be taken prior to *shipment*.
- (i) Reference to the applicable certificate(s) of *approval of design*.
- (j) A specification of the actual *radioactive contents*, including any restrictions on the *radioactive contents* that might not be obvious from the nature of the *packaging*. This shall include the physical and chemical forms, the total activities involved (including those of the various isotopes, if appropriate), mass in grams (for *fissile material* or for each *fissile nuclide*, when appropriate) and whether *special form radioactive material*, *low dispersible radioactive material* or *fissile material* excepted under para. 417(f), if applicable.
- (k) Any emergency arrangements deemed necessary by the *competent authority*.
- (l) A specification of the applicable *management system*, as required in para. 306.
- (m) If deemed appropriate by the *competent authority*, reference to the identity of the applicant.
- (n) Signature and identification of the certifying official.

SUMMARY OF APPROVAL AND PRIOR NOTIFICATION REQUIREMENTS

ANNEX I: SUMMARY OF APPROVAL AND PRIOR NOTIFICATION REQUIREMENTS (Part 4)

Key paragraphs in the Regulations	Class of <i>package</i> or material	Competent authority approval required		Consignor required to notify country of origin and countries en route ^a of each <i>shipment</i>
		Country of origin	Countries en route ^a	
<i>Special form radioactive material</i>				
803	— Design	Yes	No	No
825	— Shipment	(see Note 1)	(see Note 1)	(see Note 1)
<i>Low dispersible radioactive material</i>				
803	— Design	Yes	Yes	No
825	— Shipment	(see Note 1)	(see Note 1)	(see Note 1)
<i>Special arrangement</i>				
558, 802, 829	— Shipment	Yes	Yes	Yes
<i>Type B (U) packages for which design is approved under</i>				
820	— 1973 Regulations	Yes	Yes	(see Note 2)
820	— 1985 Regulations	Yes	Yes	(see Note 2)
805	<i>Fissile material</i> excepted from “FISSILE” classification, in accordance with para. 606	Yes	Yes	No
817	Exempt <i>consignment</i> of instruments or articles	Yes	Yes	No

^a Countries *through or into* which (but not over which) the *consignment* is transported (see para. 204 of the Regulations).

Note 1: See *approval* and prior notification requirements for applicable *package*.

Note 2: Before the first *shipment* of any *package* requiring *competent authority approval* of the *design*, the *consignor* shall ensure that a copy of the certificate of *approval* for that *design* has been submitted to the *competent authority* of each country (see para. 557 of the Regulations).

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