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Causal Factors Guide for the Evaluation of Accidents in Research Reactors

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- > Prevention
- > Process evaluation
- > Management of Operational Experience





- > Prevention
 - Evolution of parameters and conditions
 - Detection of precursors
 - Preventive actions





- > Process evaluation (3 components)
 - Equipment and systems,
 - documents and procedures,
 - human factors





- > Management of Operational Experience
 - Evaluation of events and abnormal situations
 - determination of causal factors,
 - definition of corrective measures,
 - control of application of these measures





Management of operational experience:

With the purpose of management, two types of events have been classified:

- Low Level Events

- High Level Event





Management of operational experience:

(Analytical event reports)

Weaknesses observed

- Depth of analysis: tendency to identify direct causes but not root causes,
- Scope of analysis: tendency to identify only internal faults,
- Spectrum of analysis:

 Lack in the identification of contributor factors





Management of operational experience:

(Analytical event reports)

Corrective Measures

- The reactors personnel was trained in techniques related to analysis of events

A guide was written for the evaluation of events, and the reactors personnel was trained in its use

A guide was written for the evaluation of causal factors, and the reactors personnel was trained in its use





GUIDE OF CONTENT: ANALYTICAL REPORTS OF EVENTS

1. DESCRIPTION OF EVENT

- General reactor information
- Identification of systems, components and/or devices involved in the inciden
- Status of the reactor prior to the incident; tasks under development





GUIDE OF CONTENT: ANALYTICAL REPORTS OF EVENTS

2. INVESTIGATION OF THE EVENT

- Collection of data and information
- Analysis of data and information

Description of Facts

Evaluation of causal factors

Presentation of conclusions and summary of root causes.





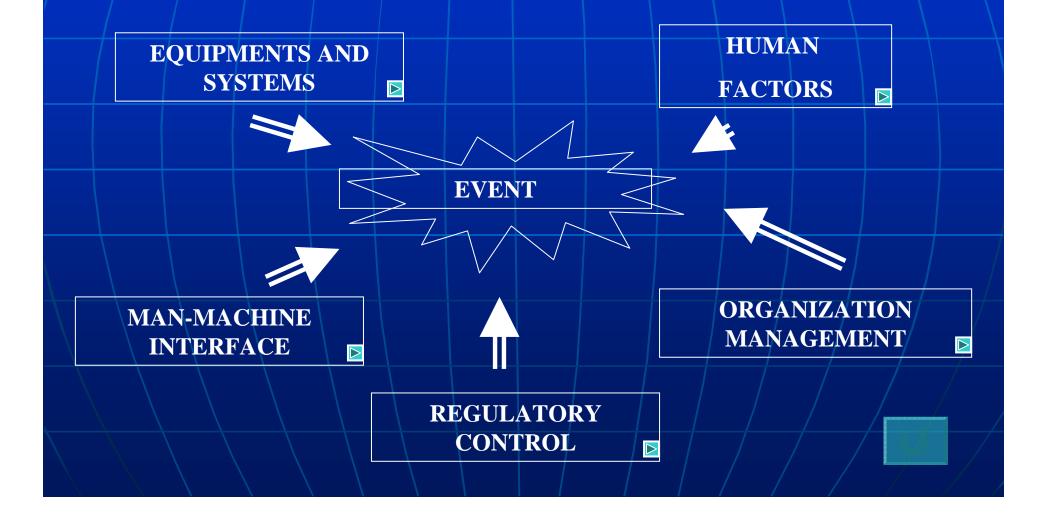
GUIDE OF CONTENT: ANALYTICAL REPORTS OF EVENTS

3. MANAGEMENT OF CORRECTIVE ACTIONS

- Equipment and systems
- Personnel
- Procedures
- Management and supervision

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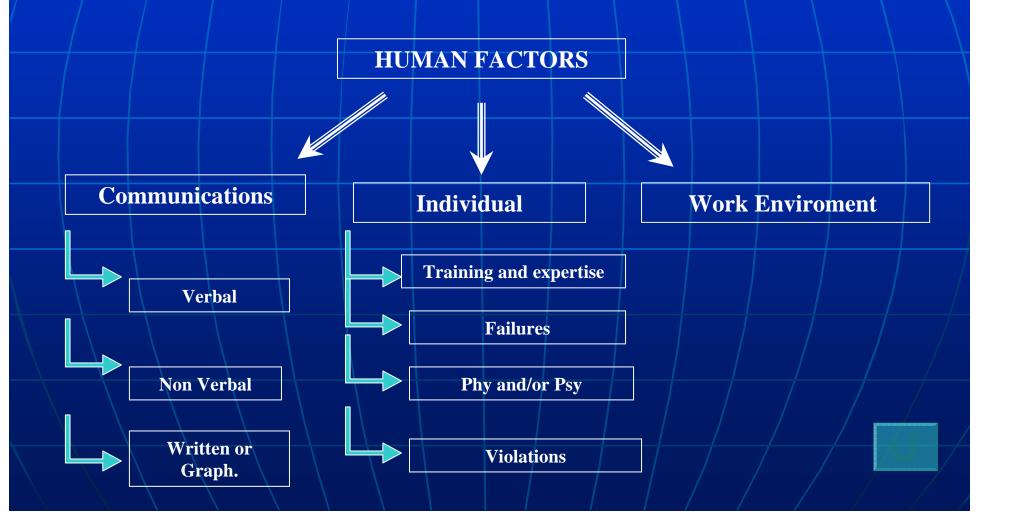




EQUIPMENTS AND SYSTEMS EXTERNAL FACTORS INTERNAL FACTORS **Design and specifications** Natural Construction/manufacture Assembly **Technological Operation** Maintenance

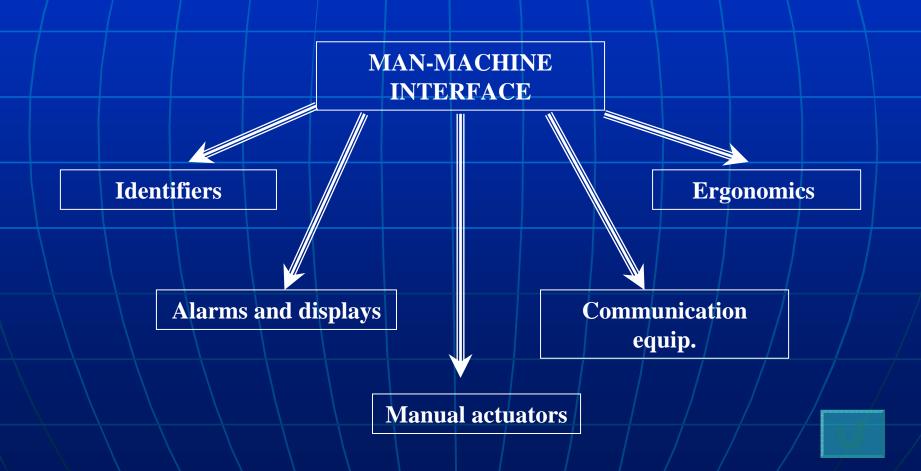
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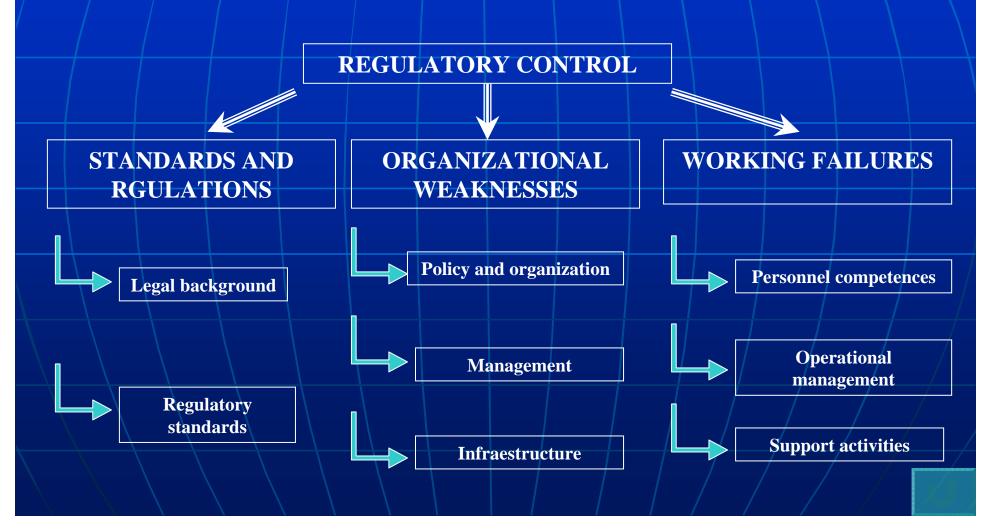
















Conclusions

- Investigation and managing of events improves safety
- The armonization of the IME process is a need (guides and procedure)
- IME is an important and usefull regulatory tool





Thank you for your attention