

Study of Turbulence and Radial Electric field Transitions in ASDEX Upgrade using Doppler Reflectometry

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- Doppler reflectometry basics
- E_r and E_r shear profiles
- Core ITG \leftrightarrow TEM turbulence transitions
- Flow perturbations (GAM / zonal flow studies)
- Summary and outlook

Introduction - Why Doppler Reflectometry ?

- Hybrid diagnostic = localization of reflectometry + k selectivity of scattering
- Microwave beam oblique to plasma cutoff
- Density fluctuations \rightarrow Forward scattering + Reflection
- Fluctuations move \rightarrow Doppler shift f_D

when $k_{\parallel} \ll k_{\perp}$

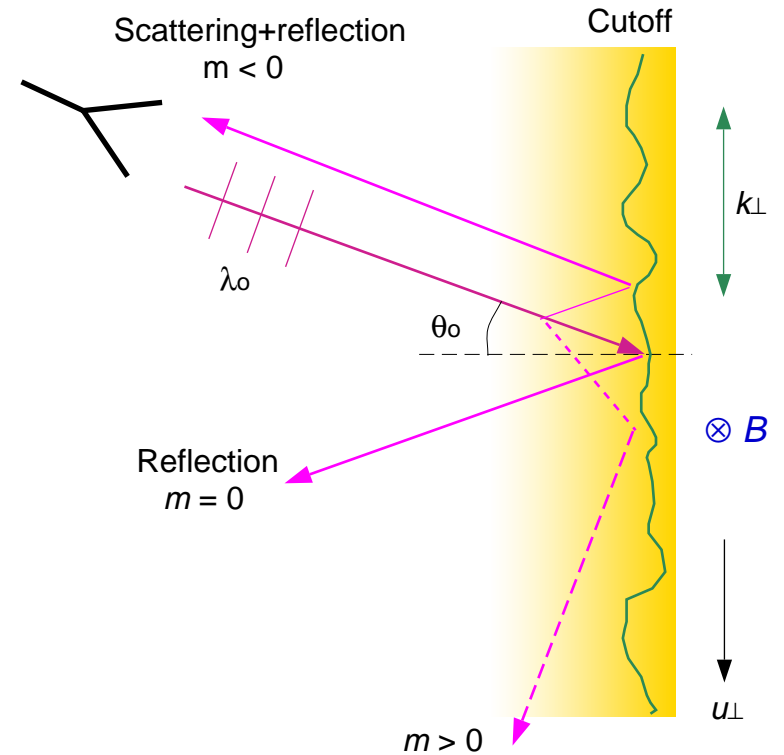
$$2\pi f_D = \mathbf{u} \cdot \mathbf{k} \approx u_{\perp} k_{\perp} + \cancel{u_{\parallel} k_{\parallel}}$$

$$u_{\perp} = v_{E \times B} + v_{ph}$$

$$k_{\perp} = 2 N k_o \approx 2 k_o \sin \theta_o \text{ (slab)}$$

$$E_r = -v_{E \times B} B$$

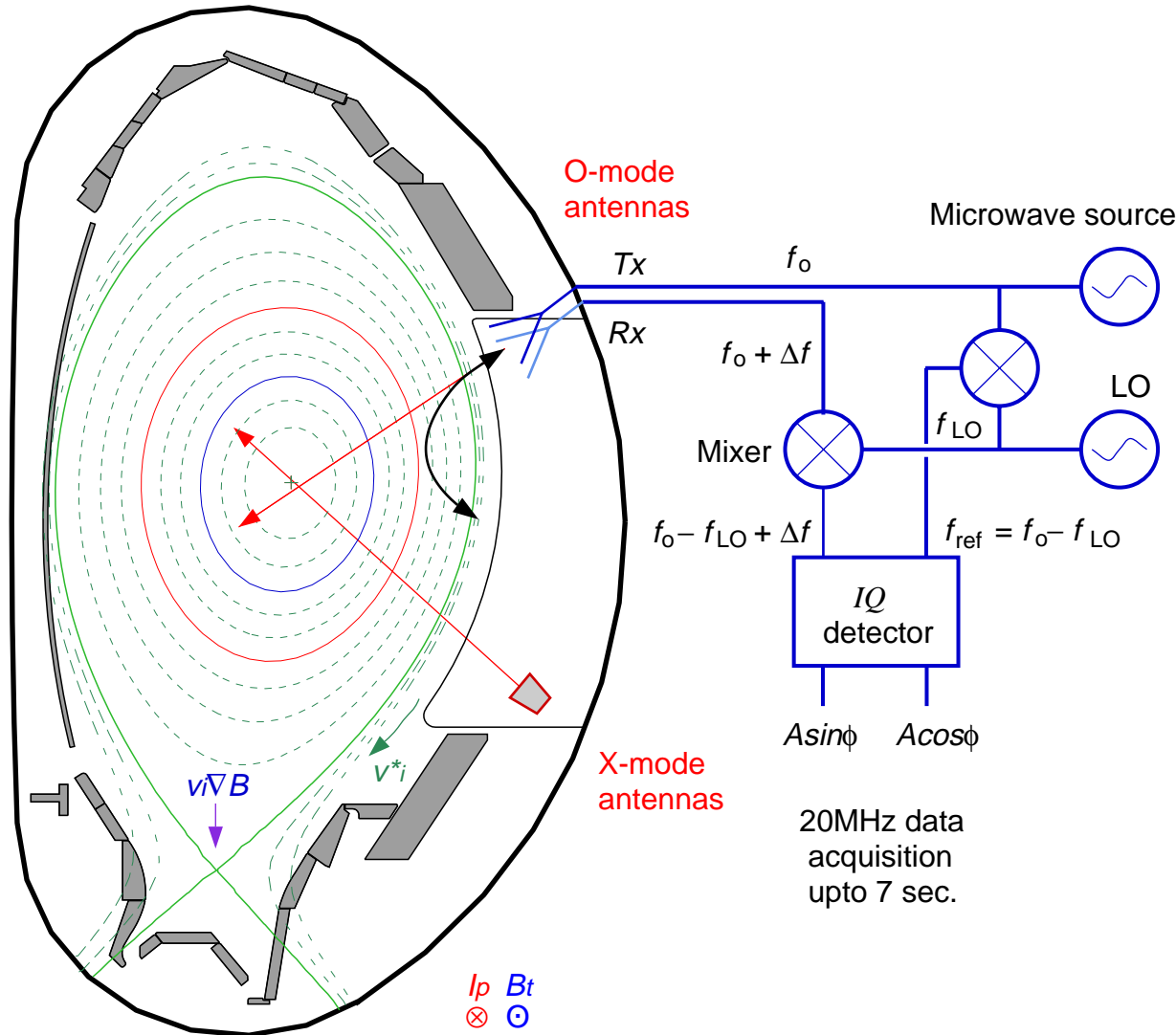
Theory / modelling \sim few 100m/s



- Case 1: When $v_{E \times B} \gg v_{ph} \rightarrow E_r$
- Case 2: When $v_{E \times B} \sim v_{ph} \rightarrow$ identify turb.
- Case 3: $\tilde{E}_r \Rightarrow \tilde{f}_D \rightarrow$ GAMs & zonal flows
- Case 4: Vary $\theta_o \rightarrow$ probe turb. k_{\perp} spectrum

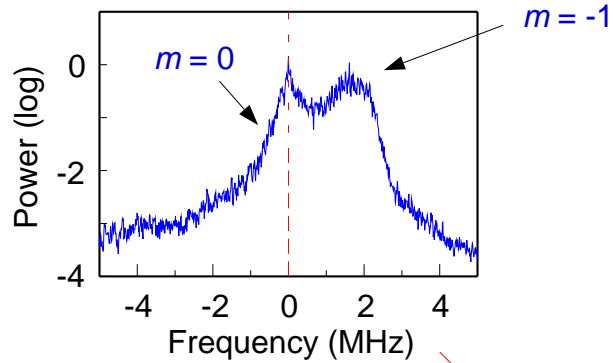
\Rightarrow Important parameters for plasma confinement

AUG Doppler Reflectometer systems



- 2 channels: V-band 50 – 75 GHz stepped
- Standard heterodyne detection with I & Q
- Fixed tilt O & X-mode antennas: $\theta \approx 12^\circ - 20^\circ$
- Doppler shift from Gaussian fit to complex amplitude spectra: $f_D \leq 4 \text{ MHz}$
- Cutoff position & $k_\perp = 2N k_0$ from TORBEAM ray-trace
 $k_\perp \approx 6 - 15 \text{ cm}^{-1}$
- Fitted n_e profile using DCN interf. TS, Li-beam, FM refl.
- CLISTE equilibrium reconstruction

Case 1: $v_{E \times B} \gg v_{ph}$ - H-mode E_r radial profile

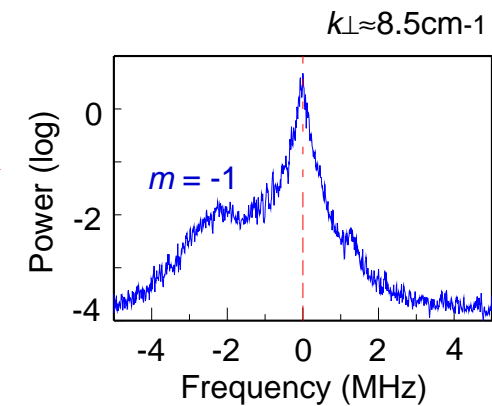
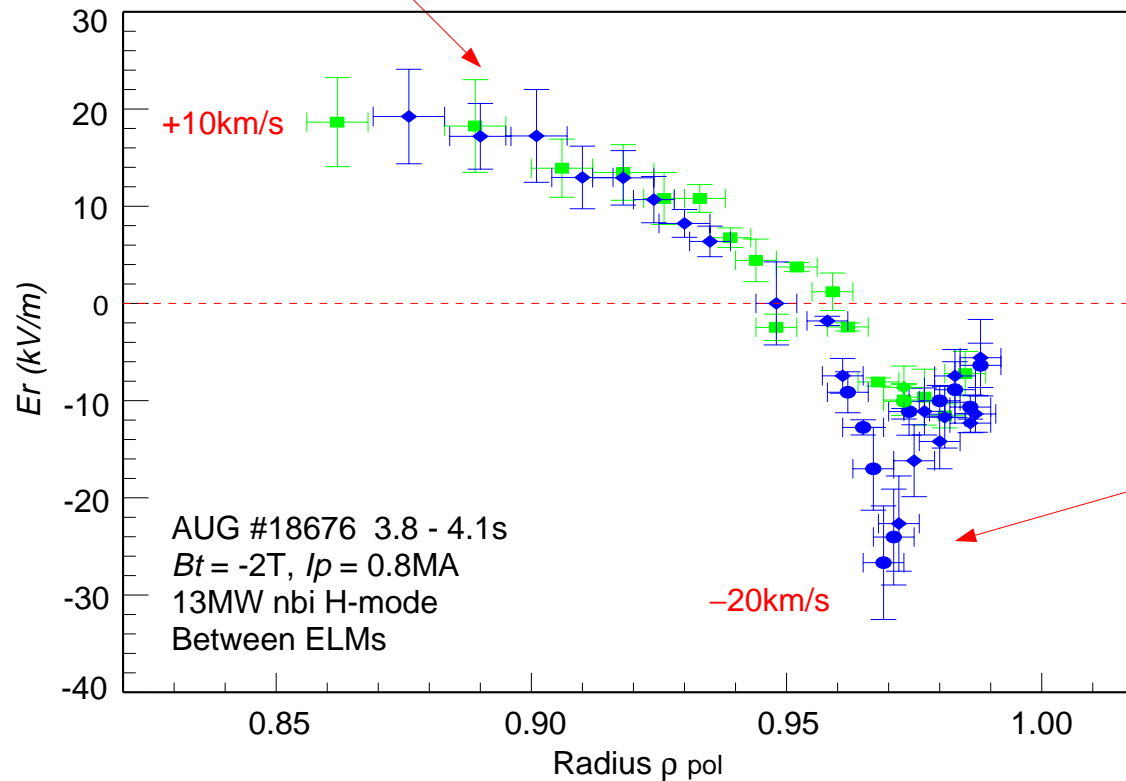


NBI momentum drive dominates H-mode core: u_{\perp} follows toroidal fluid component in radial force balance eqn.

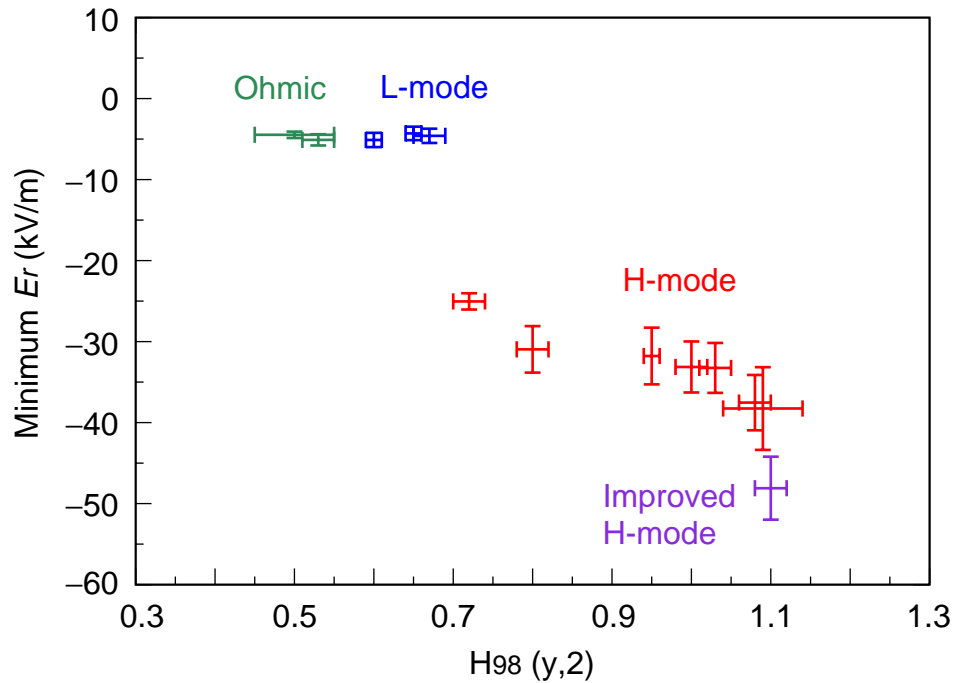
$$v_{E \times B} = u_{\perp} - v_{ph} = v_{\phi} B_{\theta} / B - v_{\theta} B_{\phi} / B - \nabla P / qnB$$

But ∇P dominant in edge barrier \rightarrow negative radial electric field well

$$E_r = -v_{E \times B} B$$

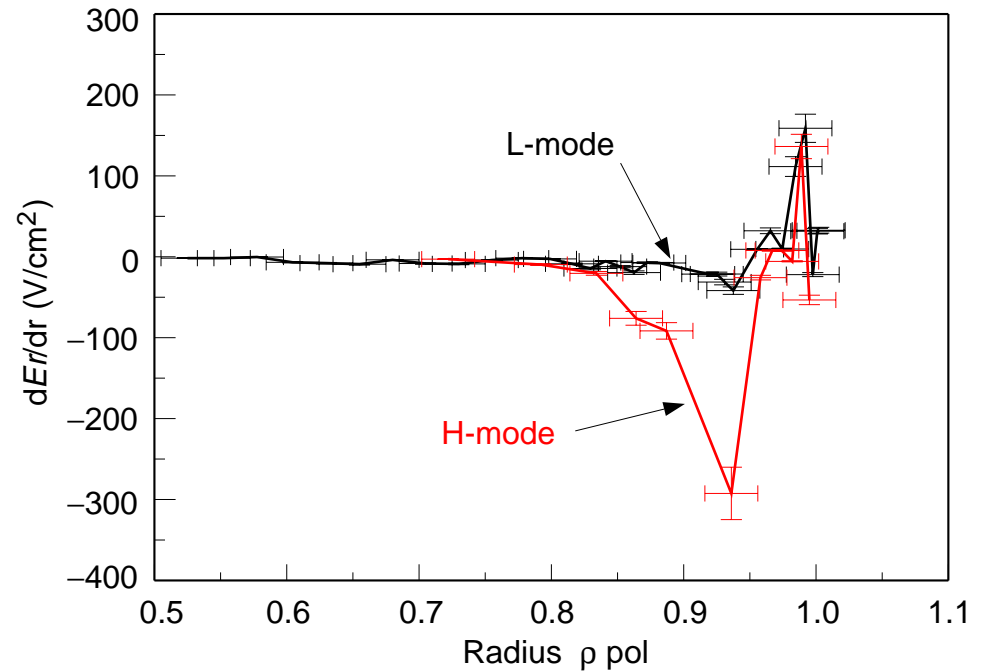


Doppler reflectometry - Edge E_r well



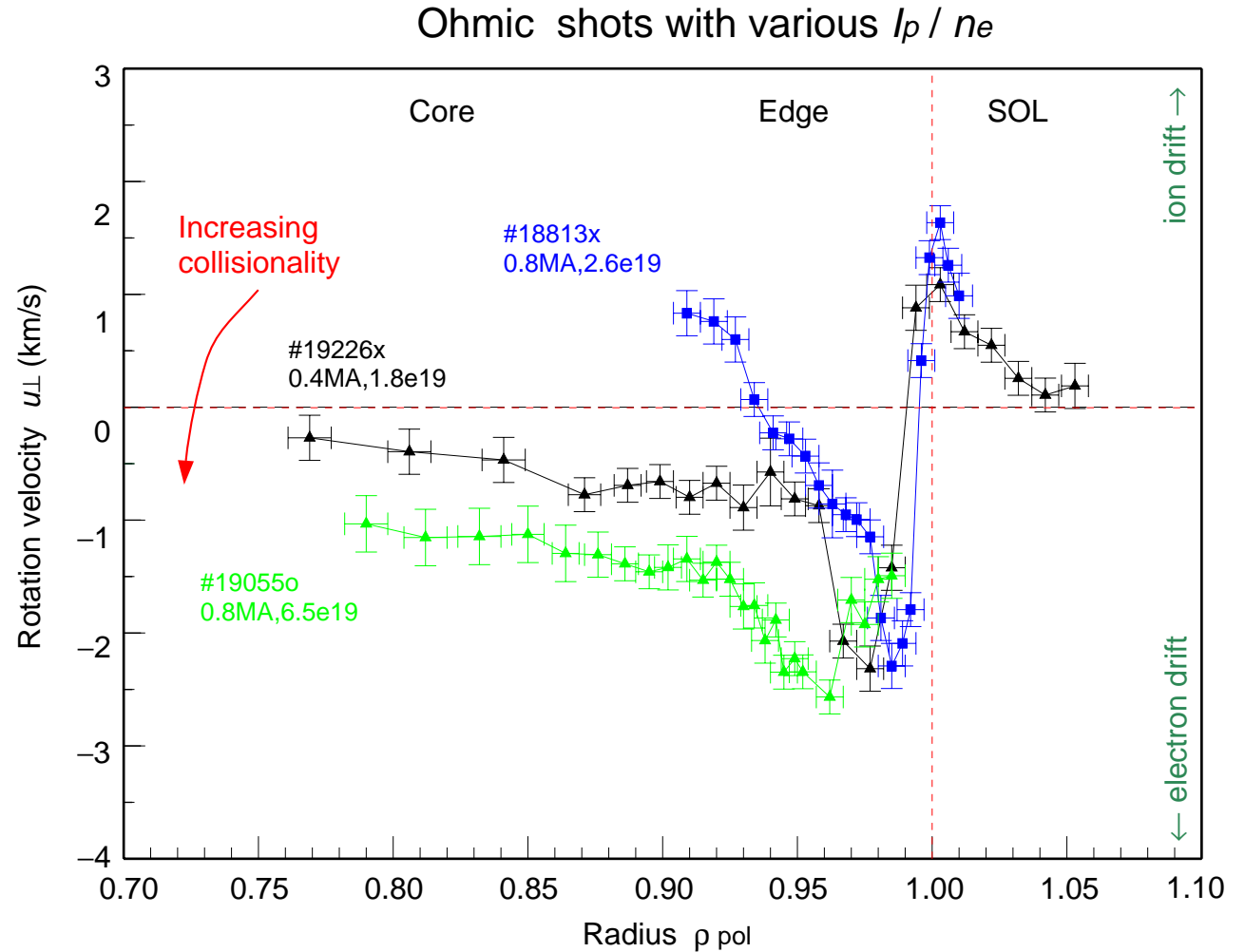
- E_r well increases with confinement
- Ohmic & L-mode: -5 kV/m
- Bifurcates in H-mode: -30 to -35 kV/m
- Rises in Imp. H-mode: -50 kV/m
- Deeper in QH-mode: -60 kV/m or more

- 2 channel Doppler - fixed $\Delta f \sim 2$ GHz, scan radially \rightarrow instantaneous E_r shear profile
- Positive shear (SOL), Negative shear (Ped)
- Negative shear increases with confinement
- Shear width ≤ 5 cm



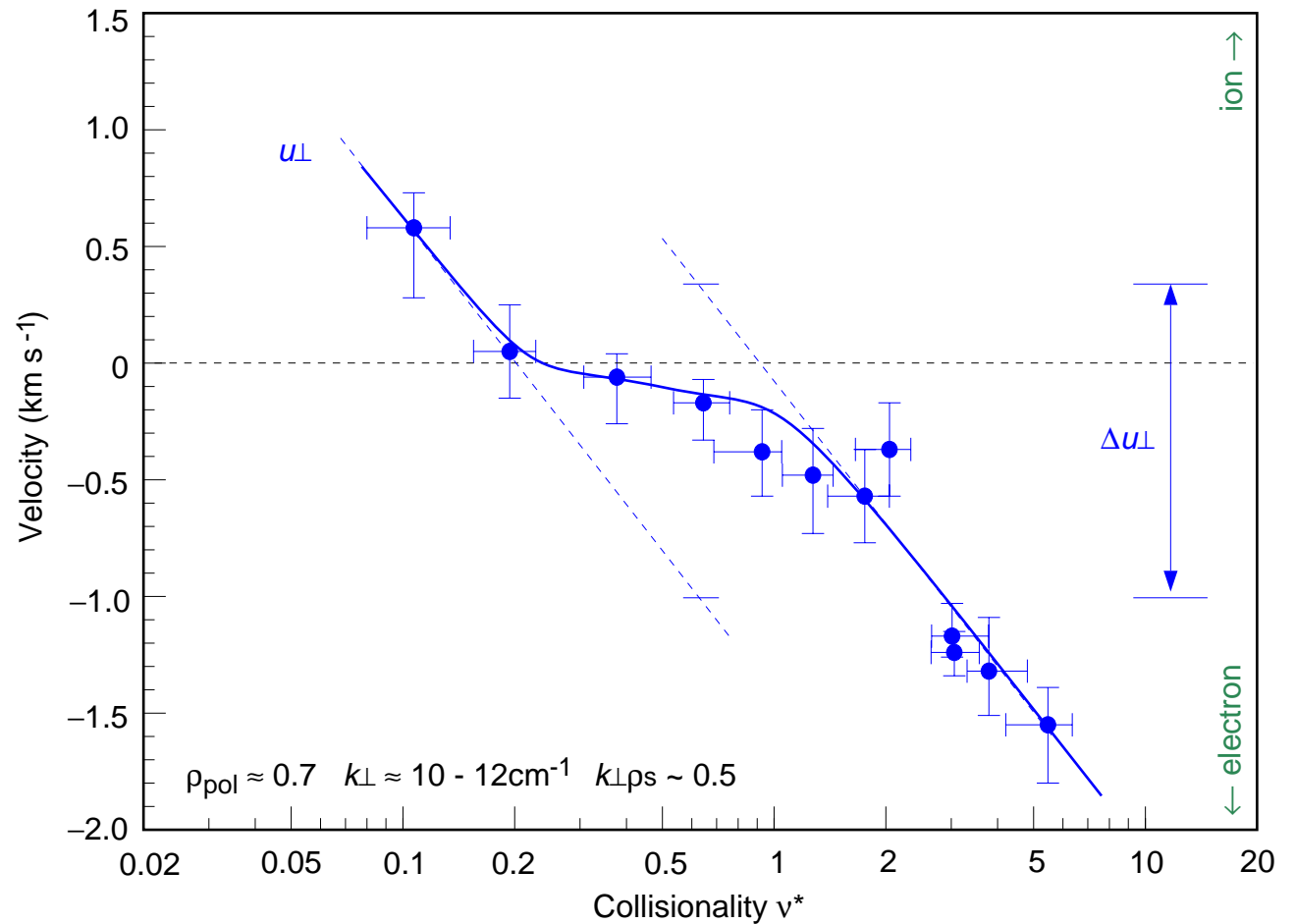
Case 2: $v_{E \times B} \sim v_{ph}$ - ohmic core u_{\perp} profile

- Without NBI, no strong fluid rotation: core $u_{\perp} \sim$ few km/s
 $\rightarrow u_{\perp} \sim v_{E \times B} \sim v_{ph}$
 \rightarrow See turbulence effect
- Edge \rightarrow Robust positive & negative peak structure: High v^* + EDW turb.
- Core $\rightarrow u_{\perp}$ varies with collisionality
- Due to $v_{E \times B}$ or v_{ph} ?



Core u_{\perp} velocity vs collisionality

- Core u_{\perp} rotation at $\rho_{pol} \approx 0.7$ vs local collisionality v^* from various I_p & n_e ohmic shots
- u_{\perp} reverses direction with $v^* \rightarrow$ two branches Δu_{\perp}

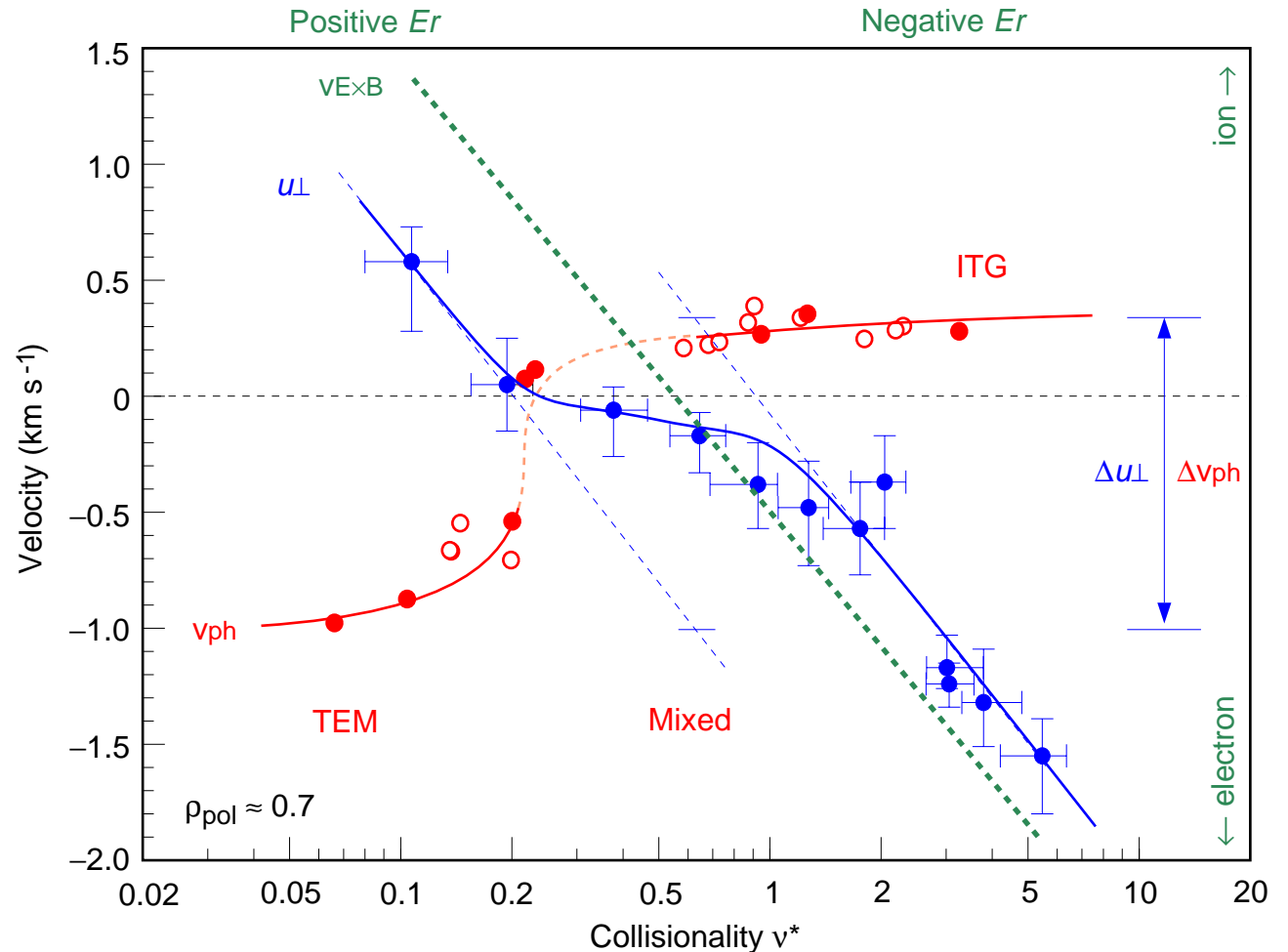


$$v^* = v_{ei} / (\epsilon \omega_{pe}) \approx 6.9 \times 10^{-18} q \ln \Lambda R_o Z_{eff} n_e T_e^{-2} \epsilon^{-3/2}$$

↙ Bounce freq.
↙ 1.68m
↙ 2
↙ 0.07

Core u_{\perp} velocity vs collisionality

- Core u_{\perp} rotation at $\rho_{pol} \approx 0.7$ vs local collisionality v^* from various I_p & n_e ohmic shots
- u_{\perp} reverses direction with $v^* \rightarrow$ two branches Δu_{\perp}
- Simulate shots with GS2 linear gyrokinetic code $\rightarrow v_{ph} = \omega_r/k_{\theta}$ at max γ/k_{\perp}^2
- TEM suppressed at high v^*
- $\Delta u_{\perp} \approx \Delta v_{ph} \rightarrow$ validates code
- $v_{E \times B} = u_{\perp} - v_{ph}$ uniform
- No double peak (line-splitting) in mixed turb. region
- Similar results with ECRH to perturb v^* via T_e



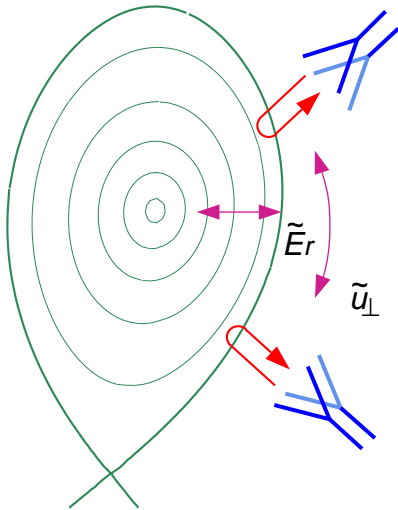
$$v^* = v_{ei}/(\epsilon\omega_{pe}) \approx 6.9 \times 10^{-18} q \ln\Lambda R_o Z_{eff} n_e T_e^{-2} \epsilon^{-3/2}$$

↙
↙
↙
↙

Bounce freq.
1.68m
2
0.07

Case 3: $\tilde{V}_{E \times B}$ - Plasma flow perturbations

⊙ BT



$$f_D = 2 (v_{E \times B} + v_{ph}) \sin \theta_t / \lambda_o$$

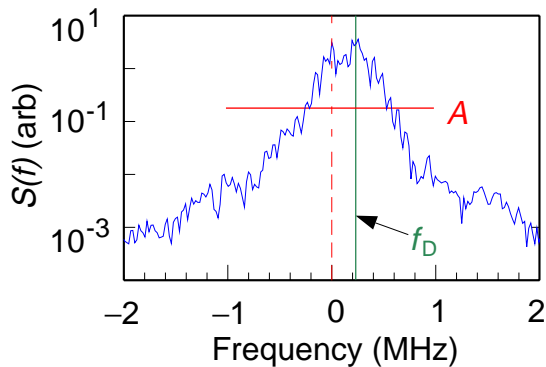
$$\tilde{v}_{ph} = 0$$

$\tilde{\theta}_t$ & \tilde{B} small (no MHD)

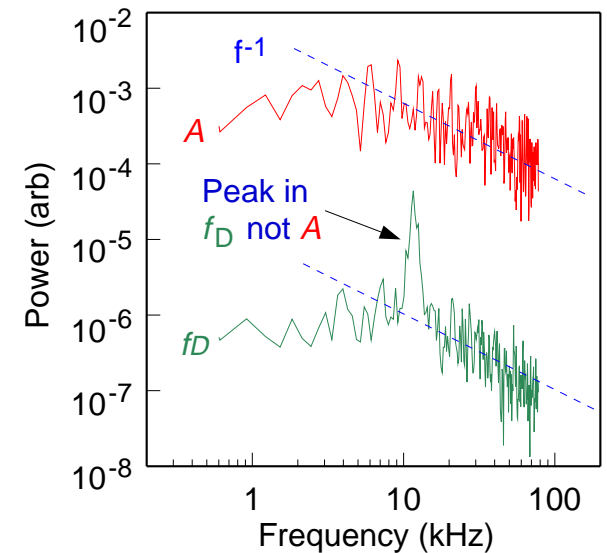
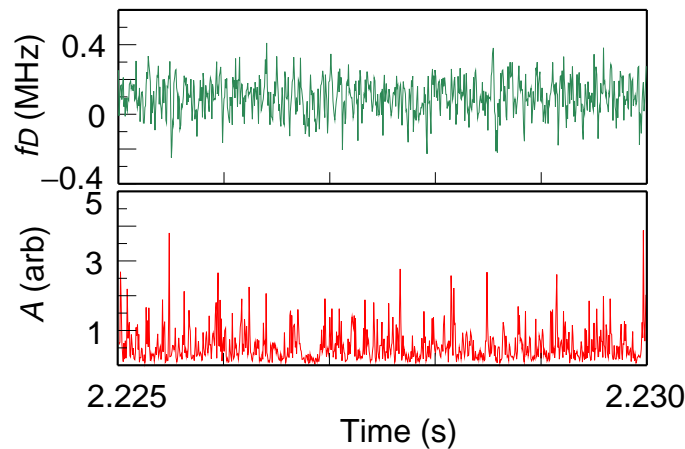
- $\tilde{E}_r \rightarrow \tilde{u}_\perp \rightarrow \tilde{f}_D$ while $\tilde{n}/n \rightarrow \tilde{A}$ at selected k_\perp
- MHD appears in both \tilde{f}_D and \tilde{A}
- Coherent oscillations \rightarrow Geodesic Acoustic Mode (Zonal flow)
- Important : Turbulence drives ZF \rightarrow regulate turb. (saturation mechanism) \rightarrow transport

Complex spectra $A \exp(i\phi)$
sliding FFT

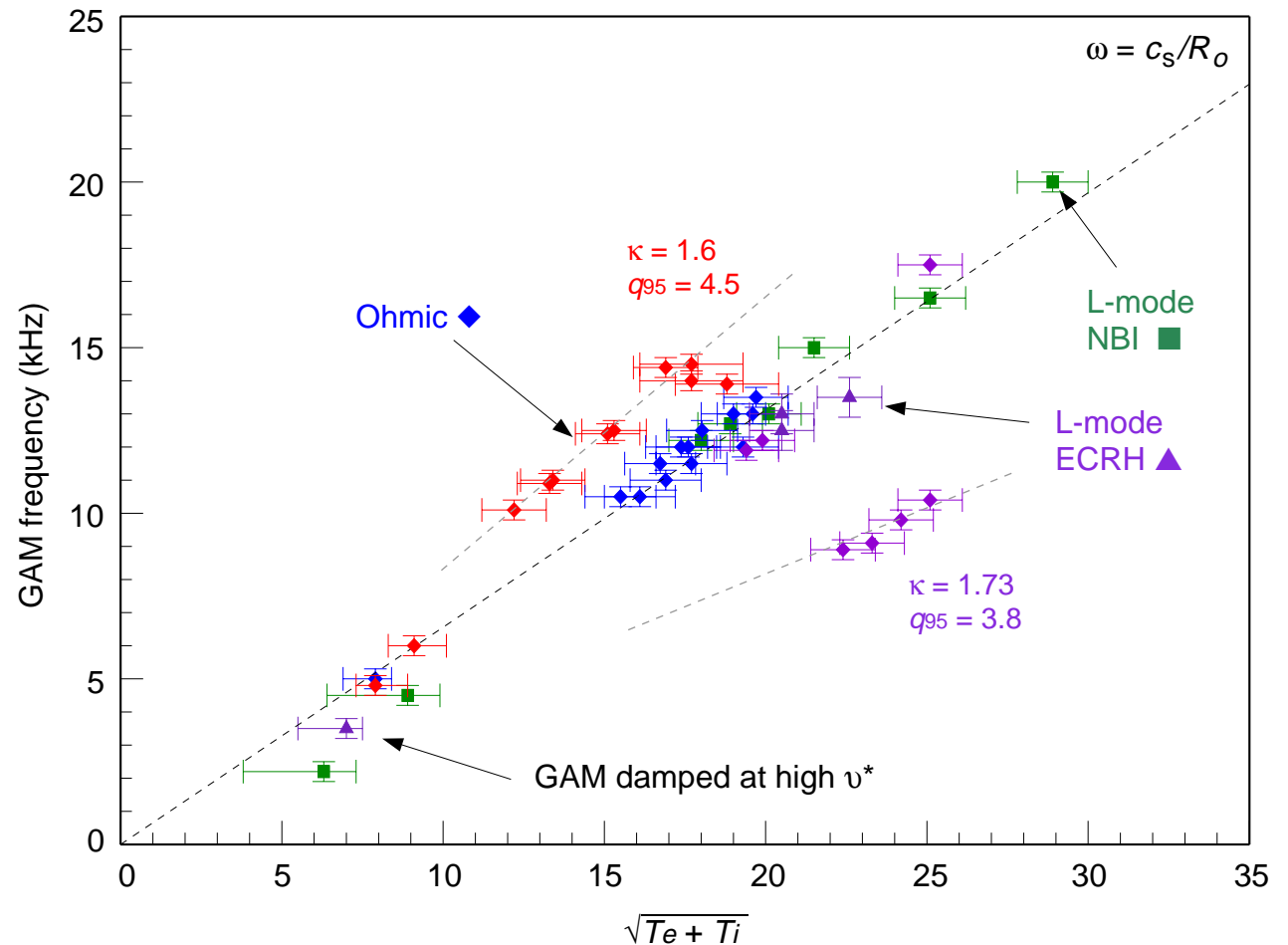
$$\tilde{f}_D = \Sigma f.S(f) / \Sigma S(f), \quad A = \Sigma S(f)$$



Generate time series of \tilde{f}_D and \tilde{A}



- No magnetic signature
Weak density signature
- Frequency scales linear
with $T_{e/i}^{0.5} B^0, n_e^0 \rightarrow$ **Acoustic**
- Rigid poloidal flow oscillation:
 $m = n = 0, k_r \neq 0$
- Seen in ohmic & L-mode
edge. **No GAM in H-mode**
- $\omega = G c_s / R_0$ (*theory*)
sound speed / maj. radius
- Scale factor G depends
on geometry: κ and q



GAM parameter dependence

- No magnetic signature
Weak density signature
- Frequency scales linear with $T_{e/i}^{0.5} B^0, n_e^0 \rightarrow$ Acoustic
- Rigid poloidal flow oscillation: $m = n = 0, k_r \neq 0$
- Seen in ohmic & L-mode edge. No GAM in H-mode

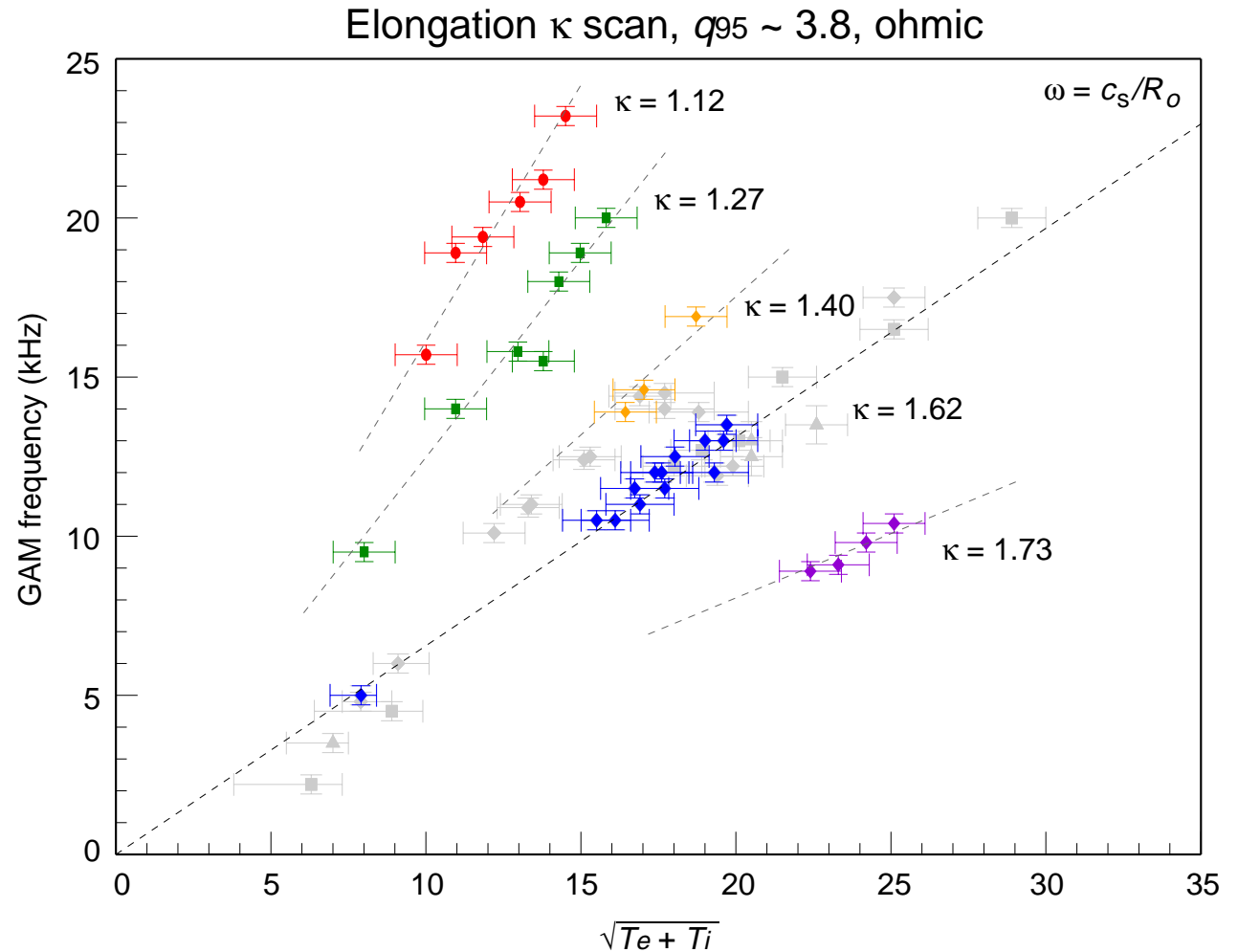
- $\omega \approx \frac{c_s S}{R_0} \left[\frac{1}{1 + \kappa} - \frac{1}{q} \right]$

- $S \approx 4\pi$, varies with radius

- Min. q threshold:
falling q profile pushes
GAM outward

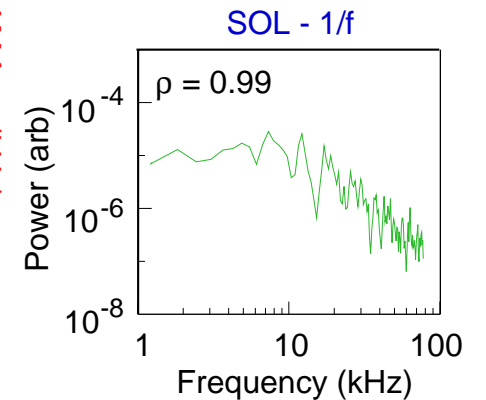
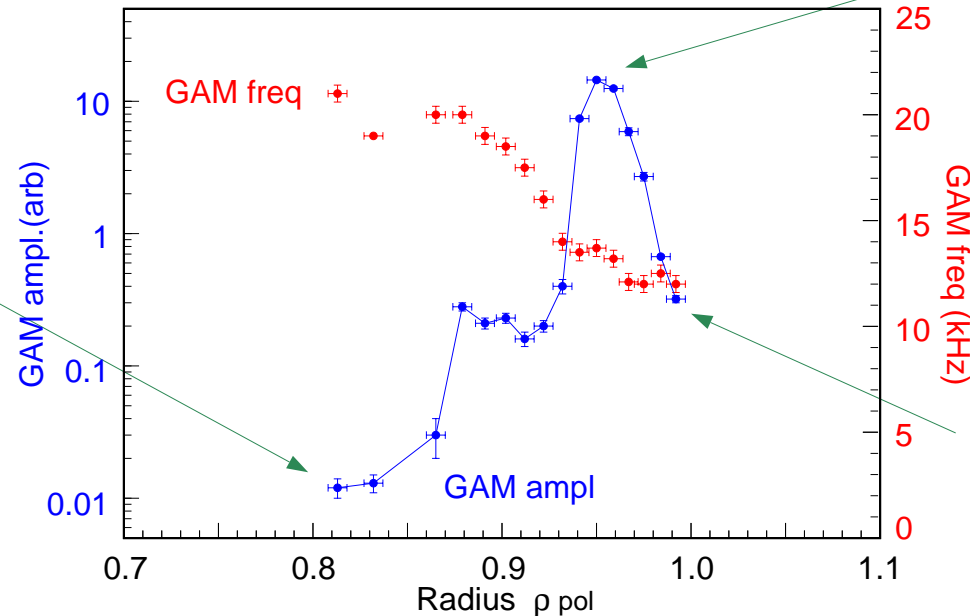
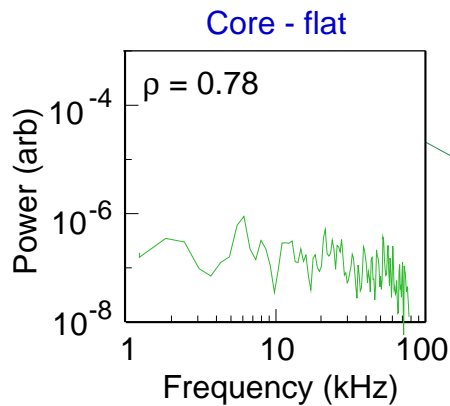
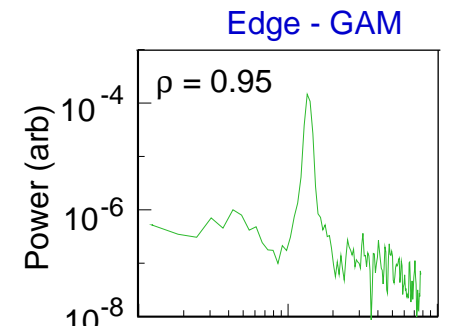
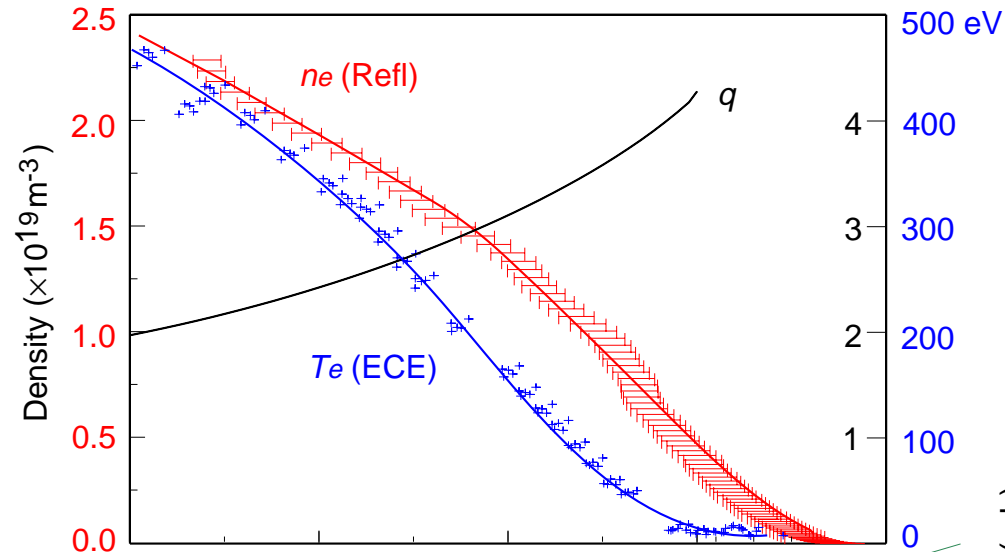
- GAM damped at high collisionality ν^*

- GAM intensity intermittent



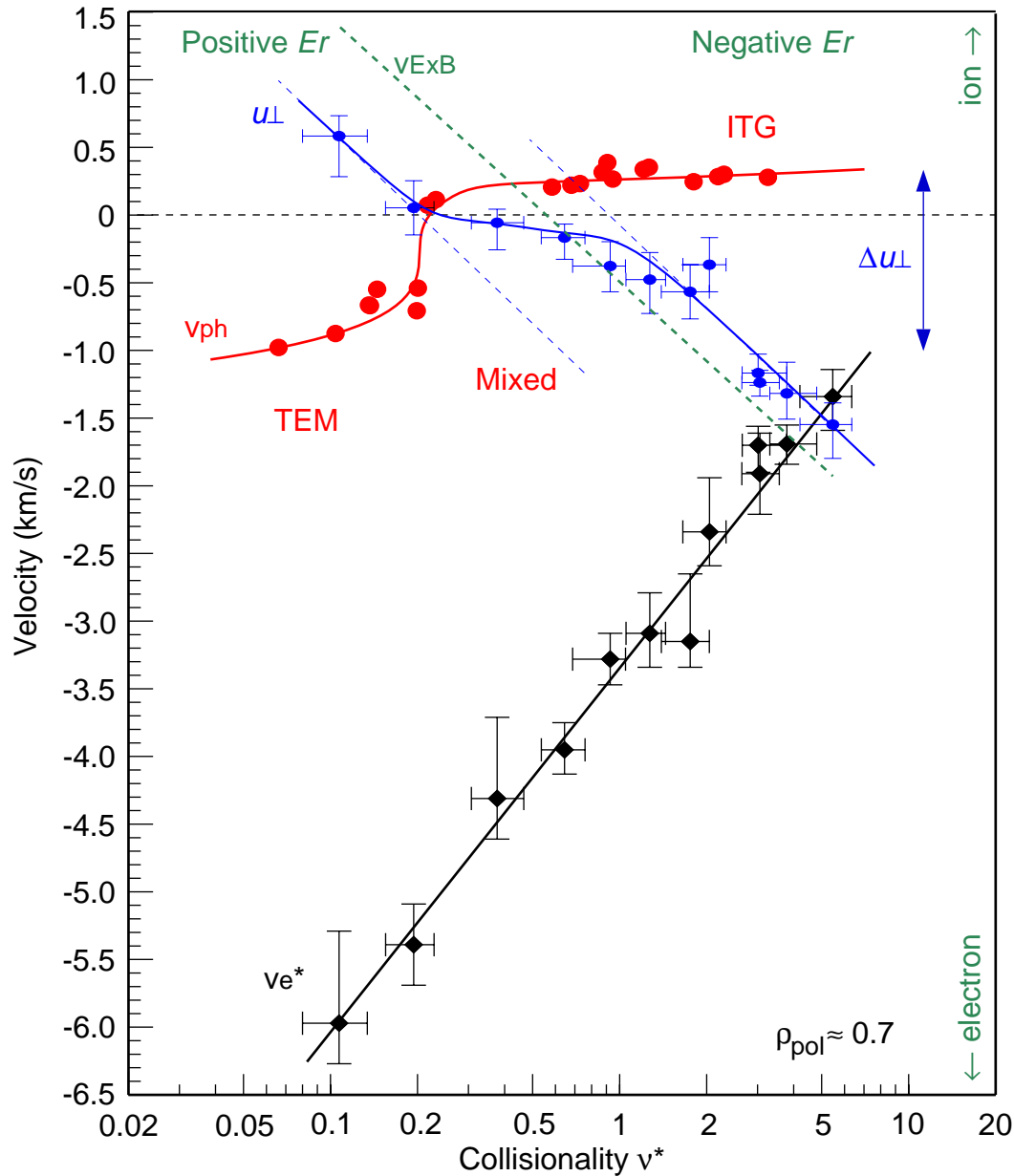
GAM radial localization

- GAM seen only in plasma edge
- GAM radially bounded by q -profile and ∇n_e
 - large $\partial^2/\partial r^2$
 - E_r shear / vorticity
- GAM frequency locks across intensity peaks → zones



- High resolution E_r and E_r shear profiles
 - ◆ Edge E_r well scales with confinement
 - ◆ Negative shear appears to be dominant parameter
 - ◆ Doppler correlation refl. → Simultaneous turbulence L_r reduced with inc. E_r shear
- Core turbulence
 - ◆ Observations consistent with ITG \leftrightarrow TEM transition - depends on collisionality & gradients
 - ◆ Measured v_{ph} jump matches linear gyrokinetic simulations
 - ◆ No double peak seen in mixed turb. region → Investigate different k_{\perp} regions
- Zonal flows & GAMs
 - ◆ Strong evidence of edge localized ($k_r \neq 0$) GAMs → Radially bounded by ∇n_e and q
 - ◆ Frequency scaling with κ and q → Other parametric dependence suspected → Theory
 - ◆ Intermittent → Turbulence modulation. Mode structure + pressure sideband indications
- Outlook & upgrades
 - ◆ New channels in W-band (75 - 110GHz) → Wider coverage + multi-point radial correlation
 - ◆ Remote steerable antenna → Investigate turb. k_{\perp} spectrum (**Case 4**)
 - ◆ Investigate high k_{\perp} → ETG signature
 - ◆ Search for core low frequency zonal flows

E×B velocity and turbulence transitions



- $V_{E \times B} = U_{\perp} - V_{ph}$
 - ↑ measure
 - ↑ code

- $\Delta u_{\perp} = \Delta v_{ph} \rightarrow$ validates GS2 code

- E_r reverses with turbulence

- Radial force balance eqn.

$$V_{E \times B} = V_{\perp} - v^*$$

- ↑ perp fluid
- ↑ diamag. (measure)

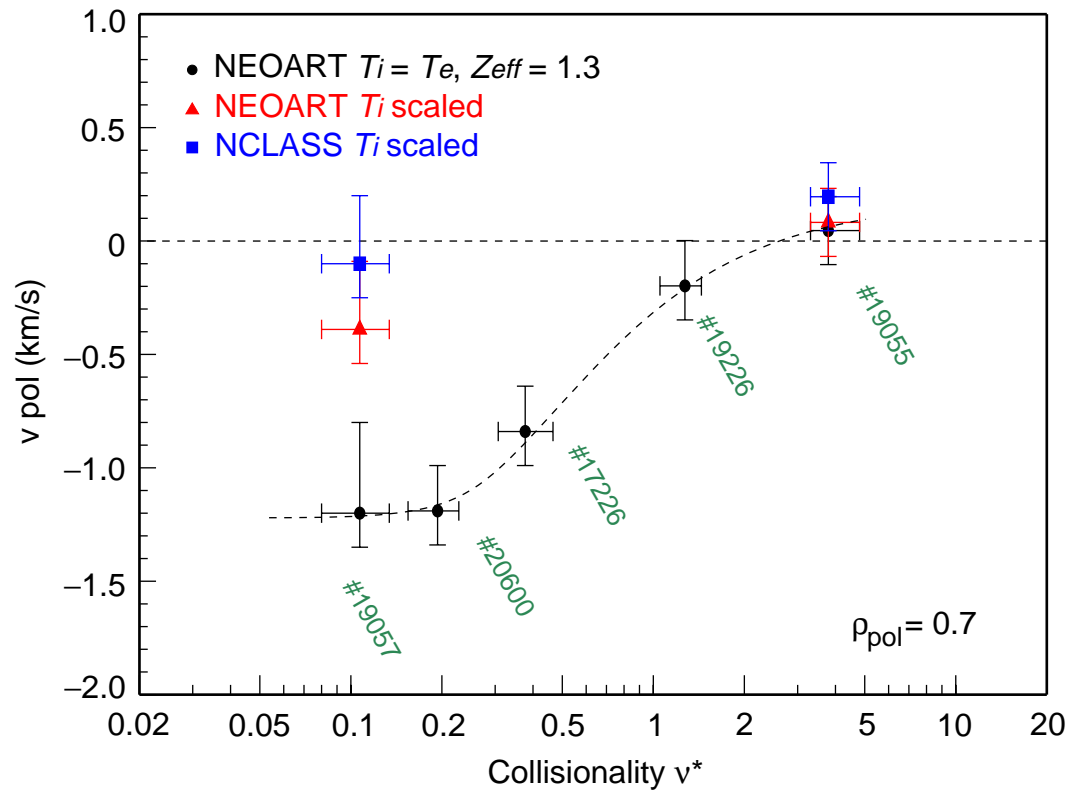
- $v_{\perp} = v_{\phi} B_{\theta}/B - v_{\theta} B_{\phi}/B$
 - ↑ $O(0.16)$
 - ↑ $O(0.98)$

- $v_i^* < v_e^*$

- $v_{i\perp} \approx +4.8\text{km/s}$ (ion) low v^*
 -0.7km/s (elec) high v^*

- If $v_{\phi} \rightarrow 0$ then require $v_{i\theta} \approx -v_{i\perp}$

Deuterium ion poloidal fluid velocity



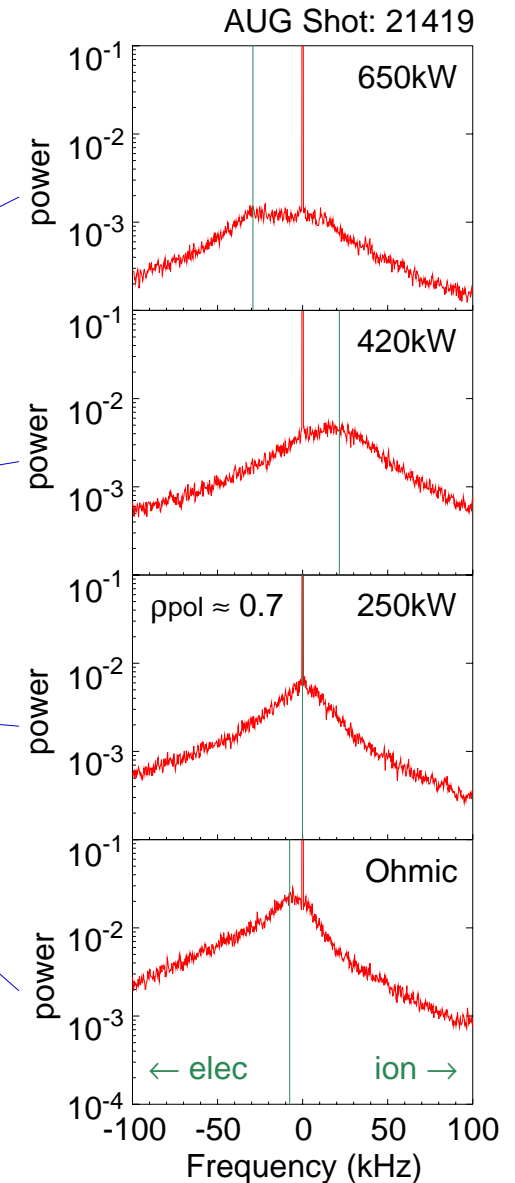
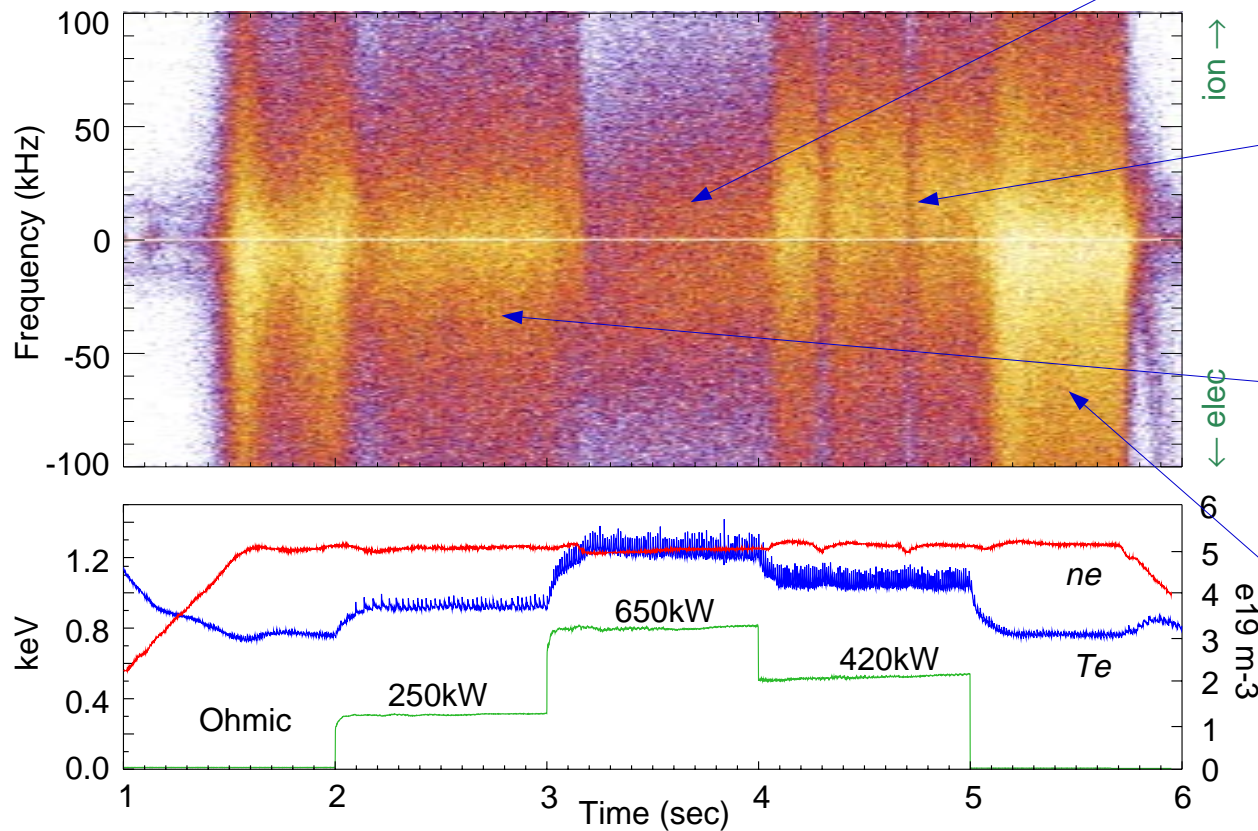
#19057 $T_i = 0.55 T_e$ $Z_{eff} = 2.5$

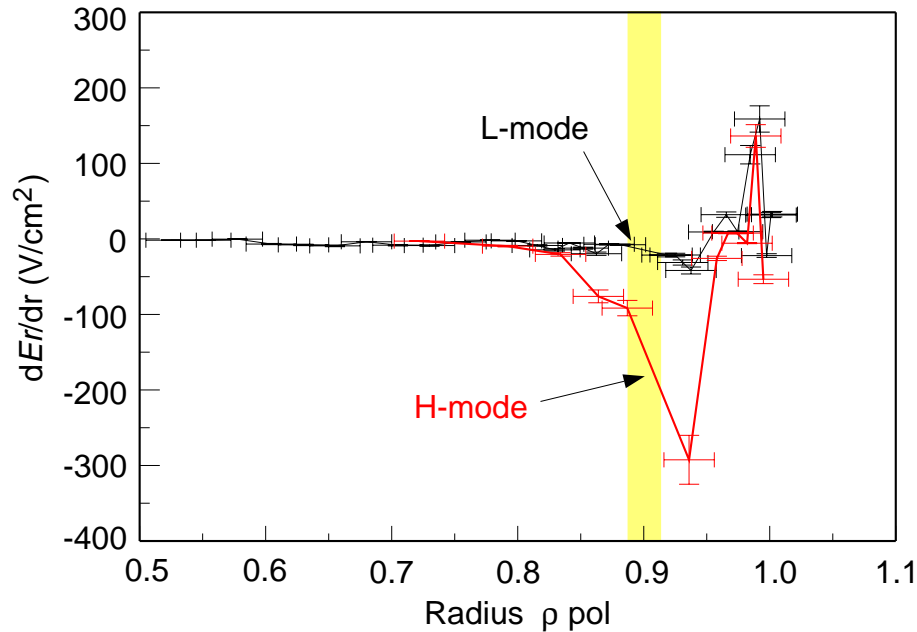
#19055 $T_i = 0.85 T_e$ $Z_{eff} = 1.3$

- Neoclassical predictions using NEOART and NCLASS codes
- Full collisionality scan using $T_i = T_e$ and $Z_{eff} = 1.3$
 - v_{θ} monotonic with v^*
 - saturates at low v^*
 - reverses sign at high v^*
- Reducing $T_i \rightarrow v_{\theta}$ more positive
Increasing $Z_{eff} \rightarrow v_{\theta}$ smaller
- NCLASS results similar to NEOART but (electron) $v_{e\theta}$ too large
- $v_{i\theta}$ factor of 10 too small compared to measured $v_{i\perp}$ (ion)
 - v_{θ} is not neoclassical, or
 - $v_{\phi} \neq 0$ (but need $v_{\phi} \approx 30$ km/s !)

ITG - TEM core turbulence transition with ECRH

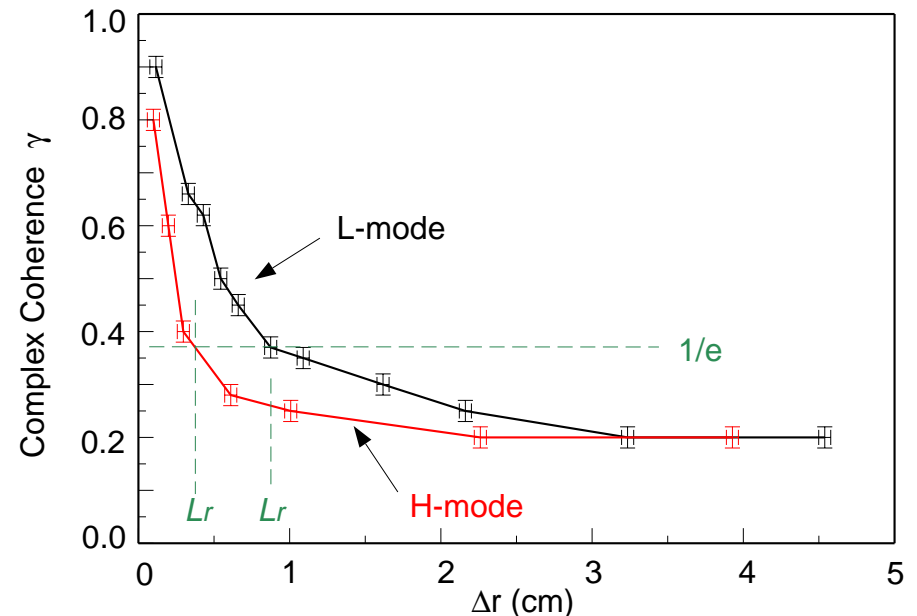
- Collisionality $\nu^* \propto n_e/T_e^2 \rightarrow n_e$ ramp or T_e perturbation
- ECRH power steps at $n_e \approx 5 \times 10^{19} \text{ m}^{-3}$ (ITG dominant)
- Low power $u_{\perp} \rightarrow$ ion drift (as per ohmic), TEM transition >600kW
- P_{ECRH} threshold rises with $n_e \rightarrow$ critical ν^* or T_i/T_e

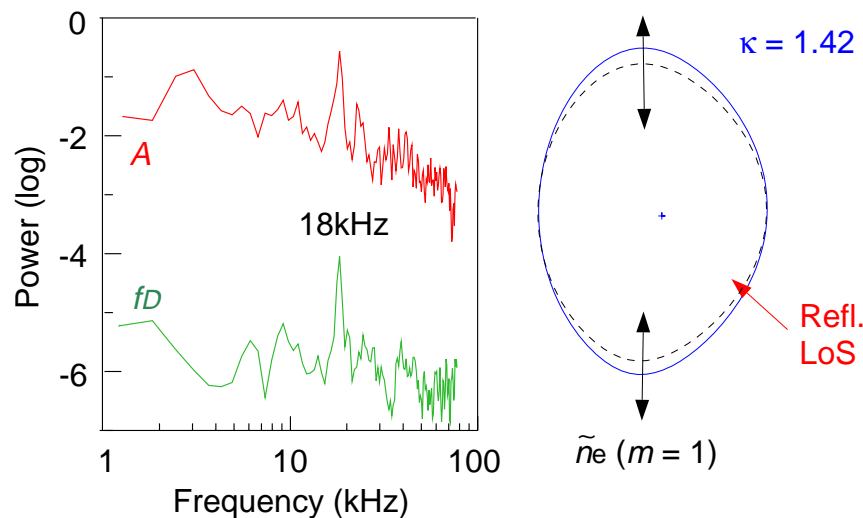
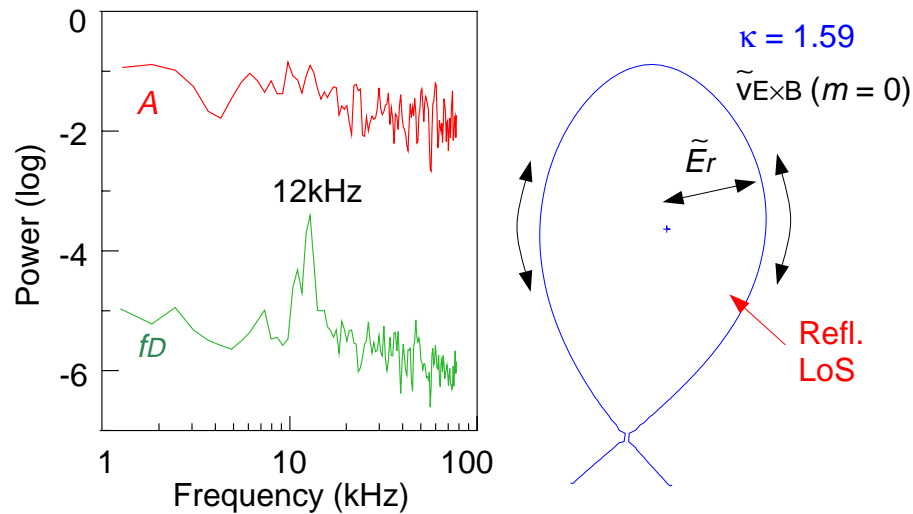




- 2 channel Doppler - fixed $\Delta f \sim 2\text{GHz}$, scan radially \rightarrow instantaneous E_r shear profile
- Positive peak (SOL) + negative peak (Ped) zero elsewhere
- Negative shear increases with confinement while positive peak constant
- Shear width $\leq 5\text{cm}$

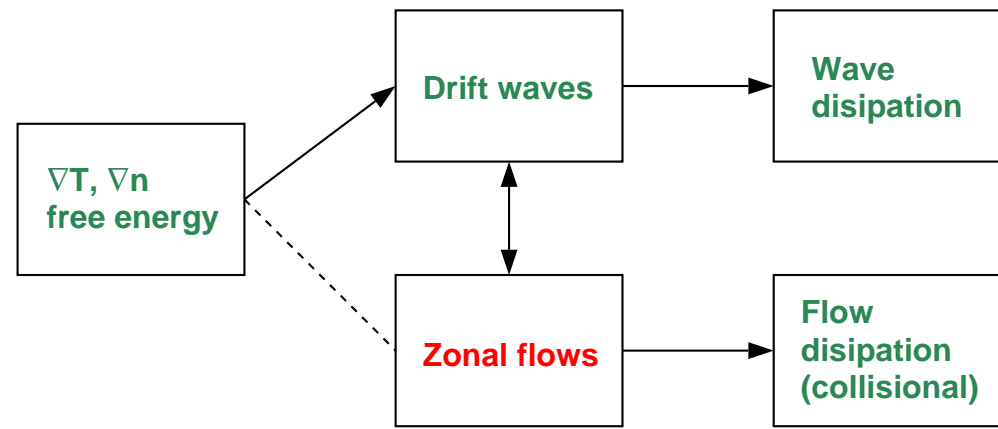
- 2 channel Doppler - step Δf logarithmic (Δr) fix f_1 radially, cross correlate complex ampl. signals \rightarrow radial correlation length L_r
- L_r decreases in H-mode (by half) in concert with increasing E_r shear
- L_r increases in core
- L_r decreases with increasing triangularity together with improving confinement





- Doppler X-mode line-of-sight (LOS) = lower mid-plane on LFS
- For diverted (high κ) shape: reflectometer insensitive to $m = 1$ pressure side-band mode \rightarrow no peak in $A (ne)$ spectrum
- For non-diverted (mid to low κ : circular) refl. LOS closer to $m = 1$ mode maxima \rightarrow peak appears in $A (ne)$ spectra
- ◇ c.f. TEXTOR results (Krämer-Flecken)
- Precise mode structure still to be measured with poloidally separated LOS
- GAM frequency vs radius shows plateaus, plus peaks in GAM intensity \rightarrow zones \sim a few cm wide $\rightarrow k_r \neq 0$

- Temperature & density gradients drive turbulence (e.g. Drift Wave)
- Non-linear turbulence interactions drive Zonal flows (ZF)
 - Electrostatic, potential/ E_r fluctuations
 - Rigid poloidal plasma flows
 - Poloidally symmetric, $m = n = 0$, $k_r \neq 0$
 - $0 \leq \omega \leq \omega_{\text{turb}}$
 - ZF regulate DW turbulence & transport



Diamond *etal.* *PPCF*, **47**, R35 (2005)

- ZF couple to $m = \pm 1$ pressure sideband modes
 - generate Geodesic Acoustic Oscillations (GAM)
 - Driven at all frequencies but resonant at $\omega_{\text{GAM}} \approx c_s/R_0$
- Sideband also couples to Parallel ion Sound Waves (SW)
 - $\omega_{\text{SW}} = c_s/qR_0$

- GAM generally continuous but --
- Intensity modulated:
 - Ampl. ~50%
 - Length few ms
 - Repetition ~200Hz→ looks like bursts
- Peak intensity behaves inversely to background f_D and A fluctuations
- Suggests GAM envelope time-modulated by low frequency zonal flow
- as predicted by simulations

