

# Applying PSA for CAP1400 and Additional R&D after the Fukushima Accident

IEM-8

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Shanghai Nuclear Engineering Research and Design Institute



# Chinese Spring Festival Gala Evening

2015 中央电视台  
春节联欢晚会 乙未年



## 福岛后的中国核电

Nuclear Power Development Strategy  
after Fukushima Accident

## SNERDI简介

Overview of SNERDI

## CAP1400 PSA概述

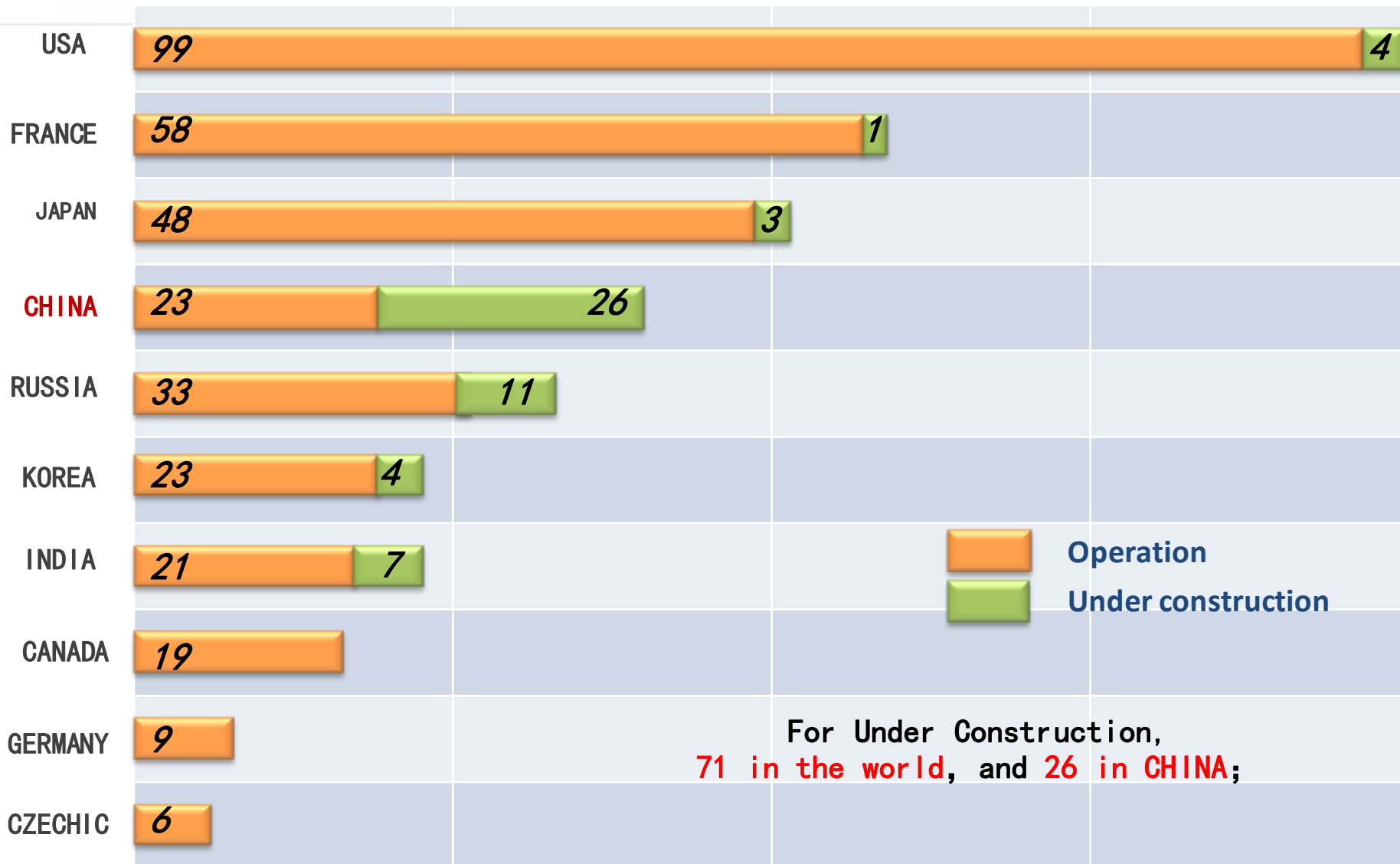
Brief introduction of CAP1400 PSA

# 福岛后的中国核电发展

## Nuclear Power Development Strategy after Fukushima Accident



# Nuclear Power Plant in the World



# NPPs in mainland China



2012年，国务院常务会议相继通过国家《核安全规划》和《核电中长期发展规划》等四个文件，明确了国家顶层规划：

**On Oct.24,2012, Chinese government issued a “Mid to long term nuclear development plan(2011-2020)”, decided national overall planning.**

- 坚持 “在安全的基础上高效发展核电” ；

**Safely And Effectively Develop Nuclear Power .**

- 采用最严格的安全标准，最先进的技术；

**The Most Strictest Safety Standard , The Most Advanced Technology.**

- 新建核电项目技术路线以AP1000及其再创新为主 ；

**GIII AP1000 technology and its successive re-innovation technology as future trends of nuclear power.**



- In Oct. 2012, the State Council approved Nuclear Safety Planning.

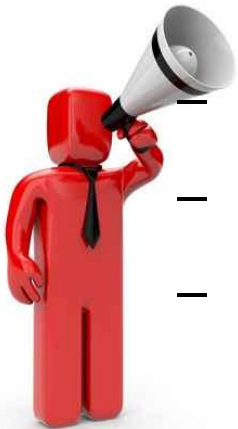


The high level safety goals for the Twelfth Five-Year (2010-2015) is described as follows: severe accident prevention and mitigation measures should be considered thoroughly in the design, and core damage frequency and large release frequency should be assessed to be lower than 1E-5/reactor-year, 1E-6/reactor-year respectively.

As for the NPPs which will be built in China's Thirteenth Five-Year (2016-2020) and later, the high level safety goal is described as “the possibility of the large radioactive release should be **practically eliminated** by design”

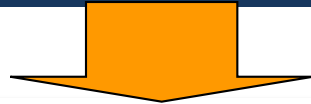
## 福岛事故后的安全裕度提高措施 Safety Enhancement after Fukushima Accident

- 防水封堵预案 Water proof plugging scheme (backup)
- 72小时后补水保障措施 Water supply after 72 hours
- 72小时后电源保障措施（增设移动式柴油发电机） **Power supply after 72 hours (moveable diesel generator)**
- 增强乏燃料池水位监测仪 Enhance spent fuel pool level monitor instrument
- 环境监测设计改进 Environment monitoring design improvement
- 强化应急指挥中心设计 Improve emergency command center design
- 全范围SAMG **Full scope SAMG**



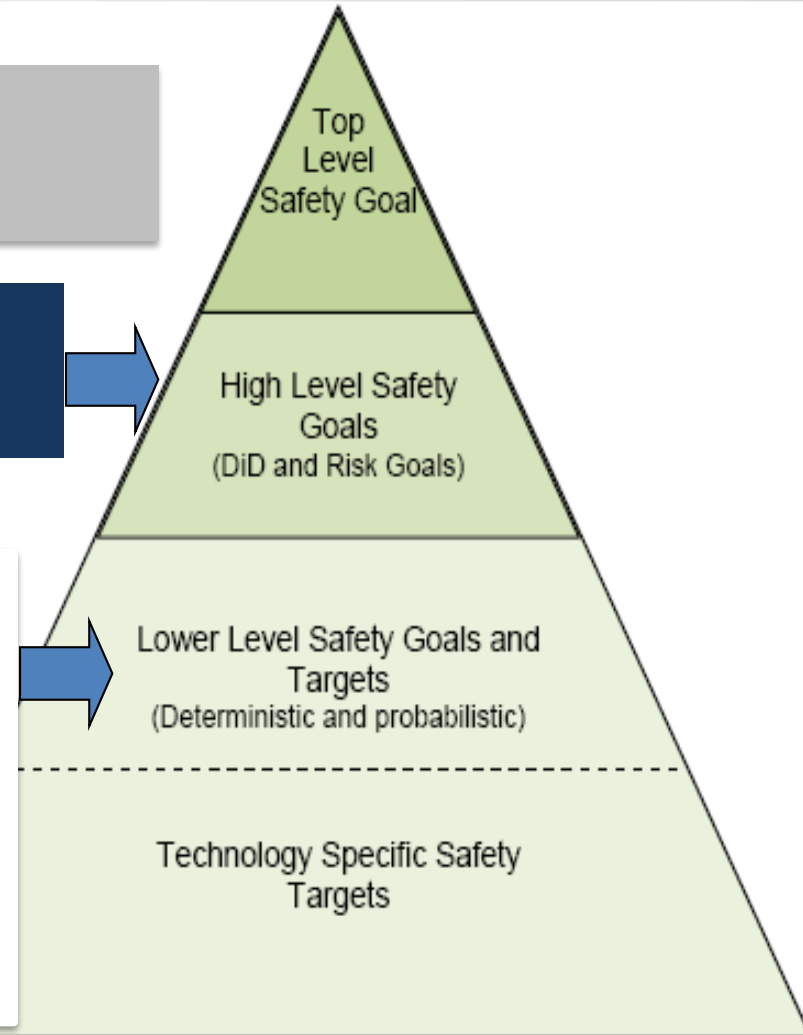
Warning: the insight discussed below are not formally published in China

large radioactive release should be practically eliminated by design



## Lower Level safety Goals and Targets

- Large radioactive release
- DiD Goals (**Deterministic**)
- Risk Goals (**Probabilistic**)



IAEA-CN-205 G. SHI have gave a detail information

## ● From technical point of view, the activities are related to the following areas

- ✓ Earthquake
- ✓ Flooding
- ✓ Improvement of power and water supply
- ✓ Spent fuel pool
- ✓ Hydrogen control
- ✓ Severe accident management
- ✓ Filtered venting
- ✓ **PSA**
  - seismic PSA (2013-2017)
  - Risk from spent fuel pool (2013-2015)
  - Level 2 PSA for shutdown condition (2013-2015)
- ✓ others

# 上海核工院简介

Overview of Shanghai Nuclear  
Engineering Research and Design  
Institute (SNERDI)



- Shanghai Nuclear Engineering Research and Design Institute (SNERDI), located in Xuhui district, Shanghai, east China, was established on Feb. 8<sup>th</sup>, 1970. ---@728



**SNERDI has become a subsidiary of the State Nuclear Power Technology Corporation (SNPTC) since June 2007.**

## □ Position of SNPTC

1. 代表国家对外签约，受让第三代先进核电技术

On behalf of Chinese government, to introduce advanced 3rd generation nuclear power technology;

2. 是通过消化、吸收、再创新形成中国核电技术品牌的主体；

To develop China's own brand name nuclear power technology through assimilation, absorption and innovation ;

3. 是实现第三代核电技术引进、工程建设和自主化发展的主要载体和研发平台。

SNPTC is a key entity and platform for 3rd generation nuclear power technology introduction, project construction and self-reliance development.

SNERDI是中国3家核电设计院之一，具备完整的核岛设计能力。  
SNERDI is one of the three research and design institutes on nuclear power plant in China.

1. Class A qualification of **engineering design**
2. Class A qualification of **engineering consulting**
3. Class A qualification of **project supervising**
4. Class A qualification of **radioactive protection evaluation**
5. Class A qualification of **environment impact evaluation**
6. Qualification of **nuclear pressure retaining component design** (issued by NNSA)
7. Class1, Class2, and Class 3 qualification of **pressurized vessel design**
8. Class A qualification of **architectural decoration design**.
9. In total, 16 Class A, 5 Class B **certificates and/or qualifications**.



➤ 中国大陆第一座商用核电厂—秦山核电厂的设计。 **The 1<sup>st</sup> NPP in mainland of China, Design of Qinshan 300MWe NPP with 2 loops PWR.**

➤ 中国第一个出口核电站—巴基斯坦恰希玛核电站的设计。 **Design of Pakistan Chashma NPP Unit 1.**

➤ 中国进口CANDU-6重水反应堆的技术总支持。 **Technical support to Chinese imported CANDU-6 HWR.**

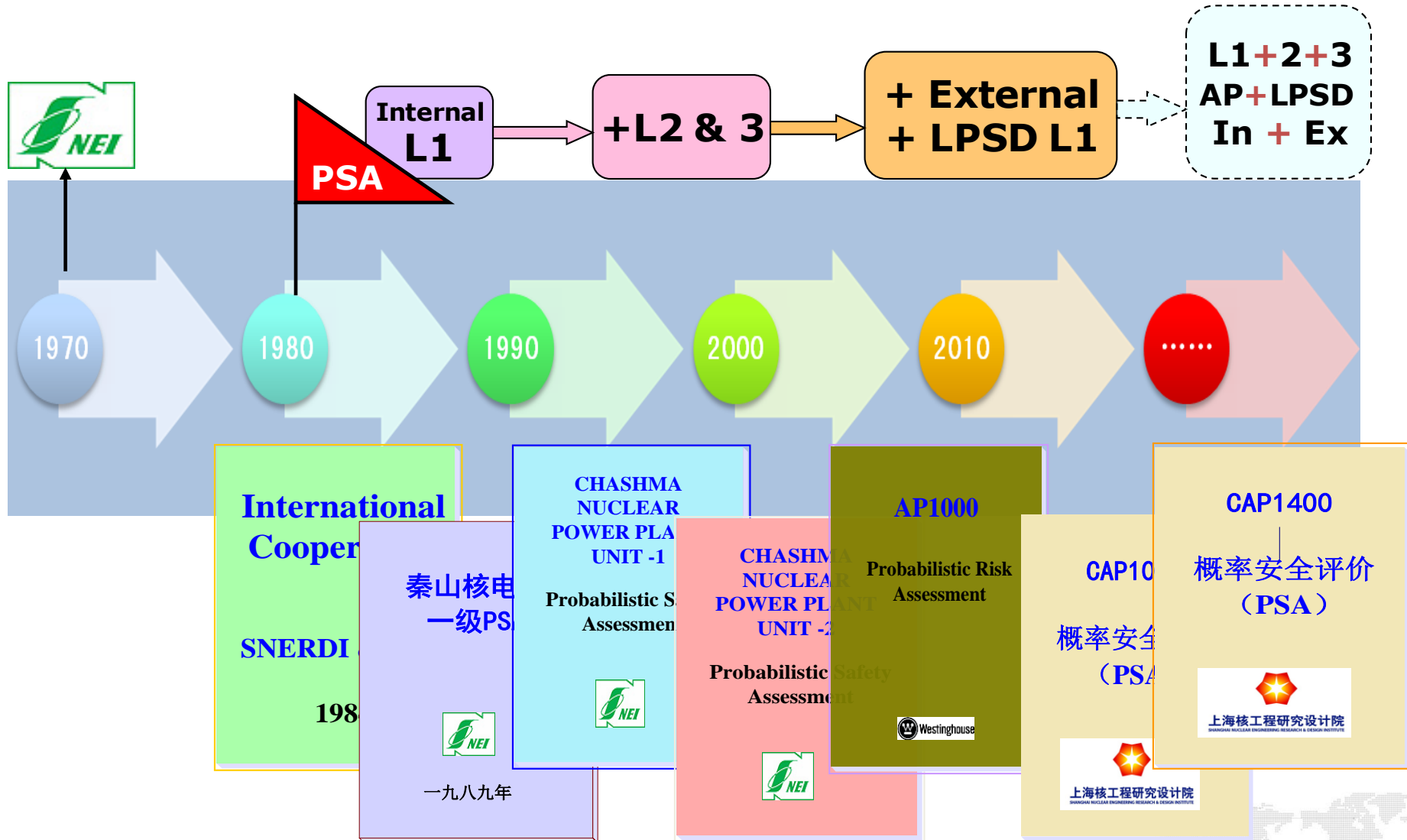


## Engineering ongoing:

- Overall Design of AP1000 Self-Reliance project for **Sanmen and Haiyang**
- AP1000 technology transferring (TT), Digestion and Absorption
- The Standardization Design of CAP1000
- **The large passive PWR, CAP1400**
- The development of SMR & CAP Series
- Design for Chashma NPP-3/4



# Introduction of SNERDI's PSA



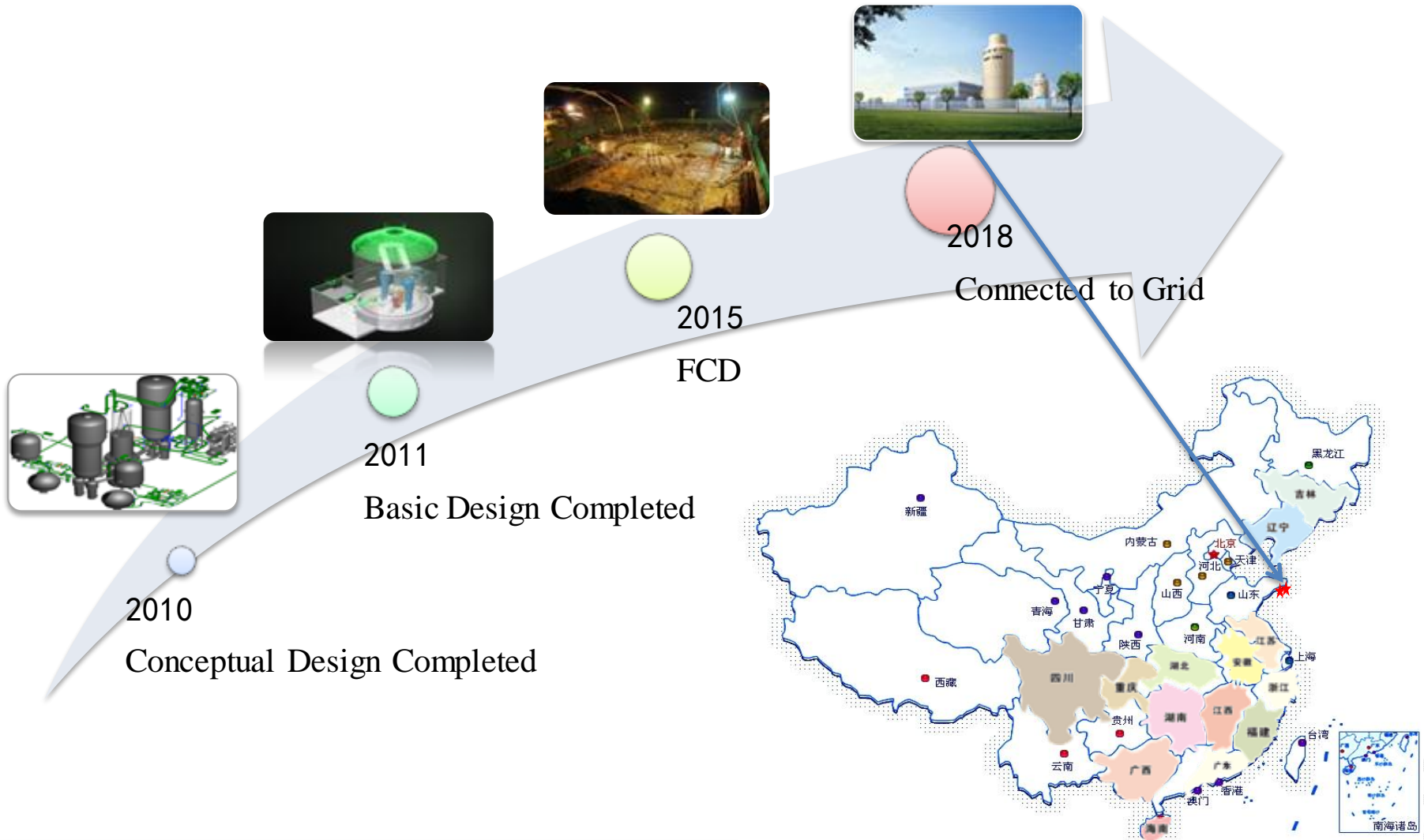
# CAP1400 PSA 概述

## Large Advanced PWR Project PSA



# Demonstration Project

## Key milestones of CAP1400 demonstration plant:

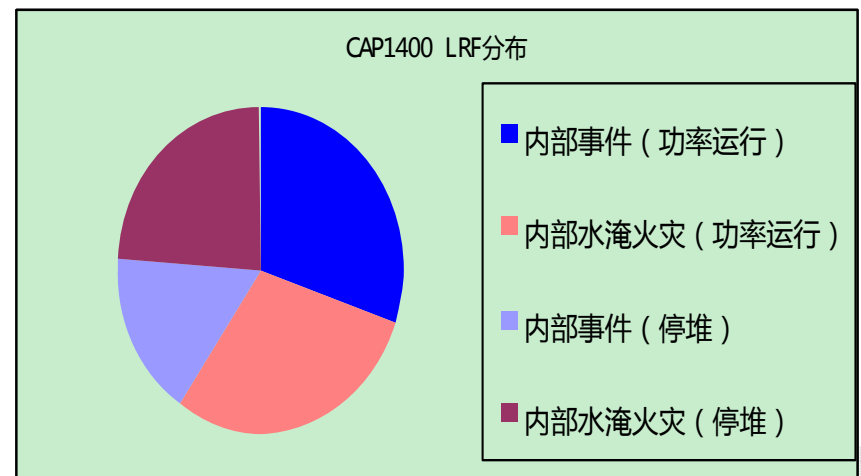
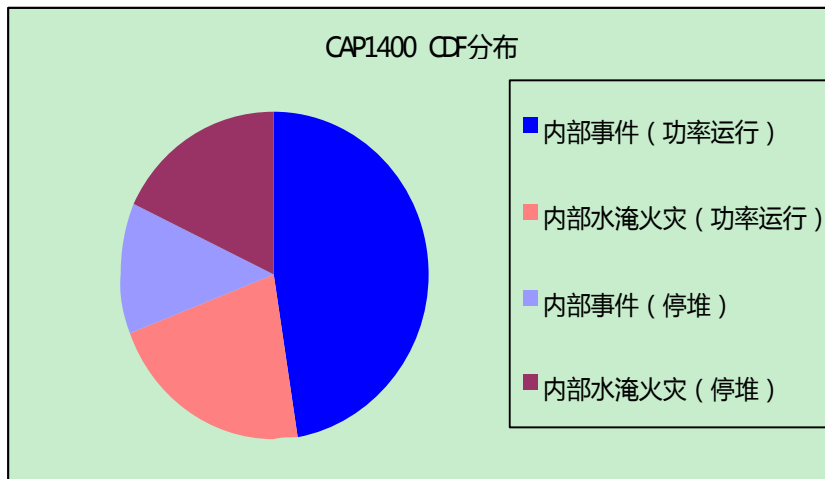
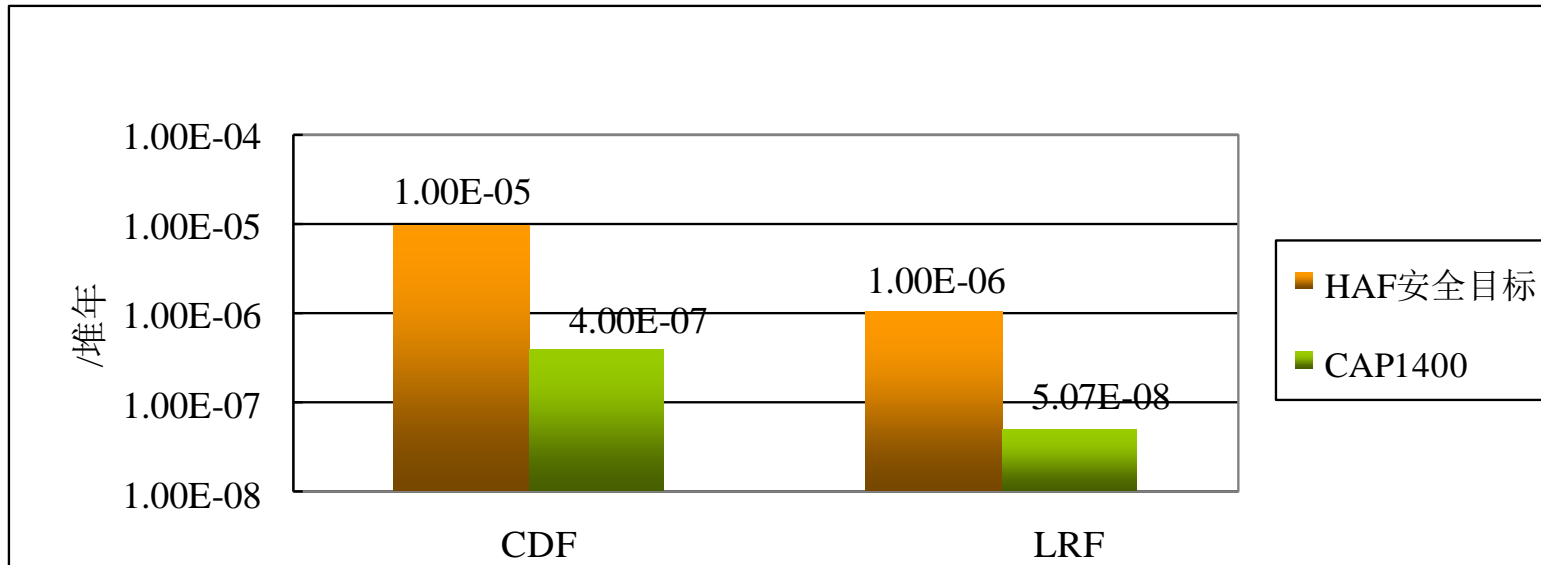


# Main Technical Features

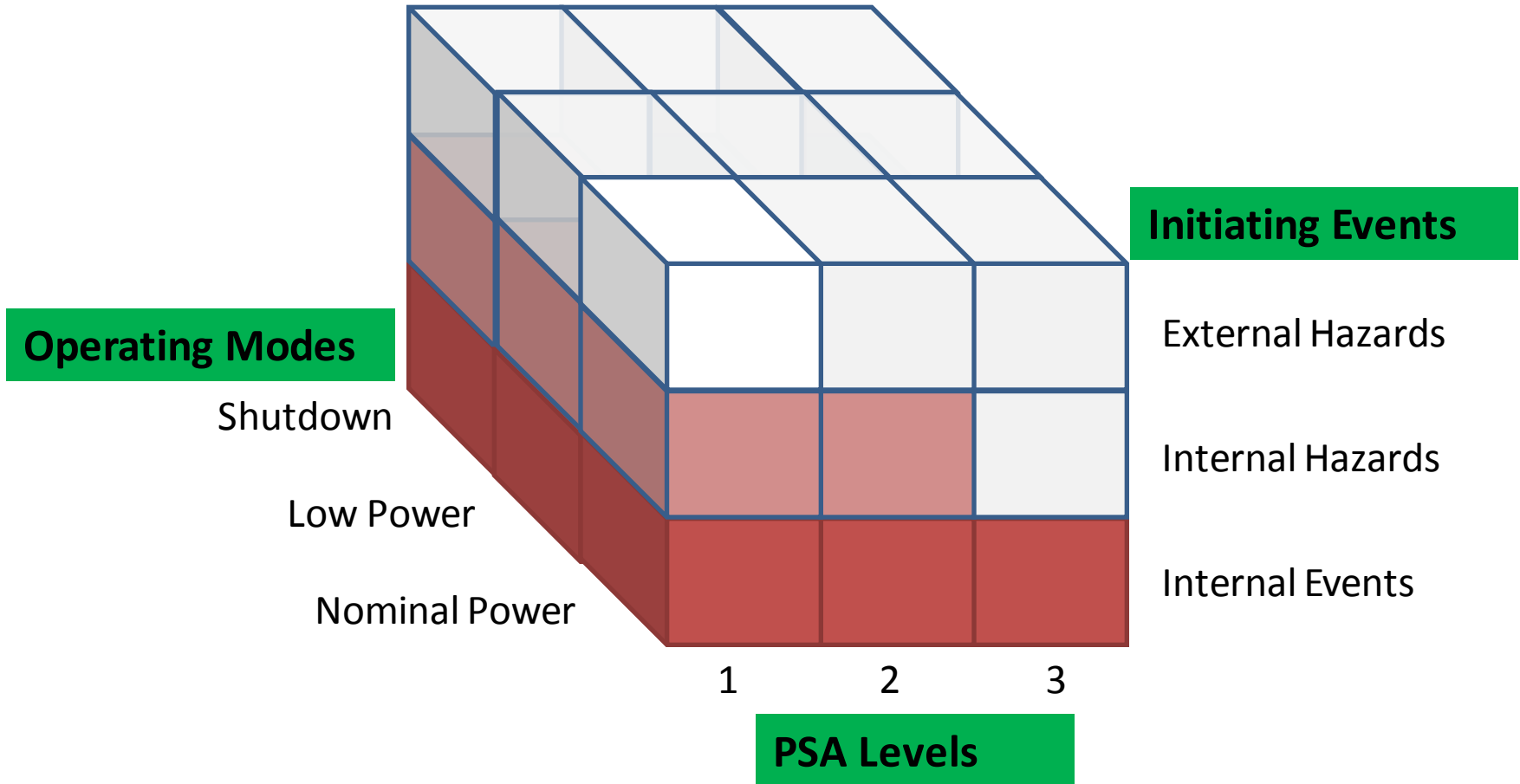
Main Technical Indicators	CAP1400	Nuclear Power Unit Compared
Heat (electric) power	4040MWt(1500MWe)	3983MWt(1400MWe)
Power plant availability	>93%	>90%
Designed lifetime	60 yrs	60 yrs
Refueling cycle	18~24 months	18 months
Construction period	48 months	52 months
Safety features	passive	active
Thermal margin (DNBR)	>15%	>10%
<b>Core damage probability</b>	<b>&lt;10<sup>-6</sup>/year</b>	<b>&lt;10<sup>-5</sup>/year</b>
<b>Large-scale radioactive release probability</b>	<b>&lt;10<sup>-7</sup>/year</b>	<b>&lt;10<sup>-6</sup>/year</b>
Operator response time	72 hours of non-intervention	>30 min
Value Ratio Investment	Lower than others	



# CAP1400 PSA results



# CAP1400 PSA Scope



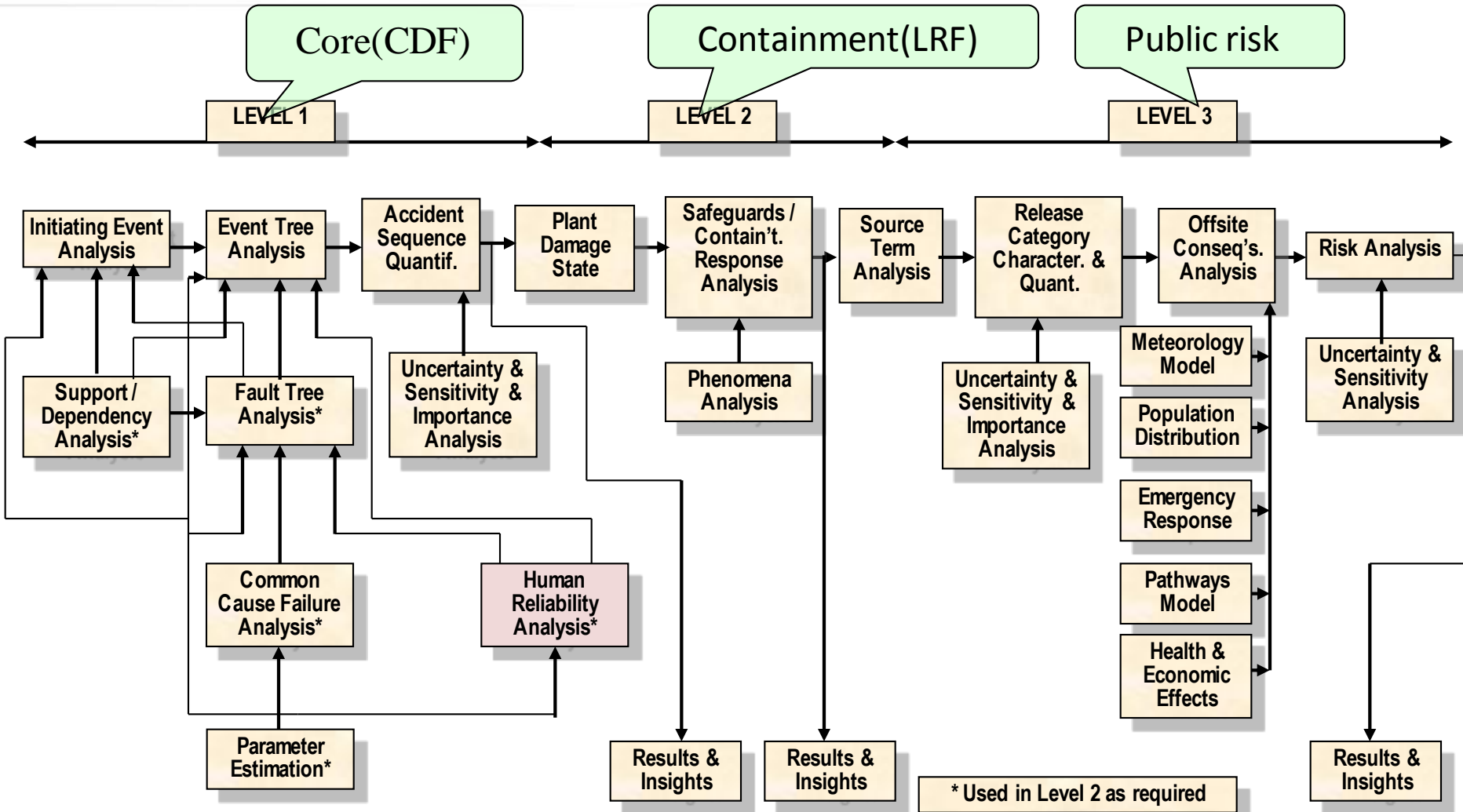
**At power & LPSD Events PSA  
release from the core exclude the SFP**



- The following external events (groups) are typically further analyzed after screening analysis:

- Internal fire
- Internal flooding
- Seismic events——SMA
- High winds
- External flooding
- Transportation and nearby facility accidents, etc

# CAP1400 PSA -Framework



Framework of analysis process and elements of internal event PSA under at-power condition

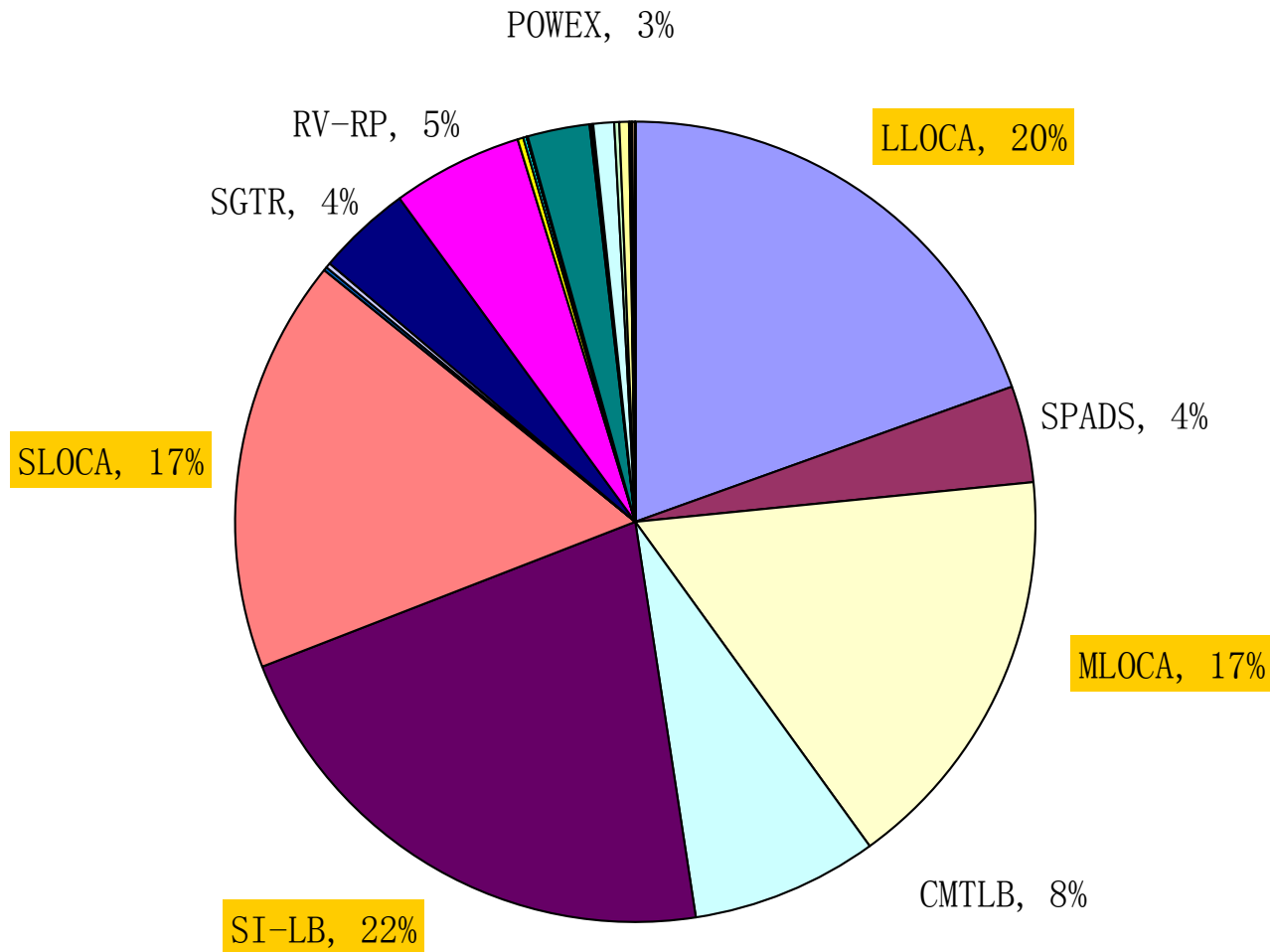
- **The Chinese Nuclear Safety Regulations and Standards :**
  - 1) **HAF102**, 核动力厂设计安全规定, 2004.4. (Safety of Nuclear Power Plants: Design)
  - 2) **HAD102/01**, 核电厂设计总的的原则, 1989.7  
(Fundamental Safety Principles in Design of NPP)
  - 3) **HAD102/17**, 核动力厂安全评价与验证, 2006.6  
(Safety Assessment and Verification for Nuclear Power Plants)
  - 4) **NB/T 20037.1-2011** 应用于核电厂的概率安全评价第1部分: 功率运行内部事件一级PSA (Probabilistic Safety Assessment with application for NPPs Part 1: Internal events at-power level 1 PSA)
  - 5) **NB/T 20037.2-2012** 应用于核电厂的概率安全评价 第2部分: 低功率和停堆工况内部事件一级PSA (Probabilistic Safety Assessment with application for NPPs Part 2: Low power & shutdown level 1 PSA)
  - 6) **NB/T 20037.3-2012** 应用于核电厂的概率安全评价 第3部分: 水淹 (Probabilistic Safety Assessment with application for NPPs Part 3: Flooding)
  - 7) **NB/T 20037.4-2013** 应用于核电厂的概率安全评价 第4部分: 火灾 (Probabilistic Safety Assessment with application for NPPs Part 4: Fire)

- IAEA Nuclear Safety Regulations and Standards :

- 1) **IAEA SSG-3**, Development and Application of Level 1 Probabilistic Safety Assessment for Nuclear Power Plants, Specific Safety Guide.
- 2) **IAEA SSG-4**, Development and Application of Level 2 Probabilistic Safety Assessment for Nuclear Power Plants, Specific Safety Guide.
- 3) **IAEA NS-G-1.5** External events excluding earthquakes in the design of nuclear power plants.
- 4) **IAEA NS-G-2.15** Severe Accident Management Programmes for Nuclear Power Plants.

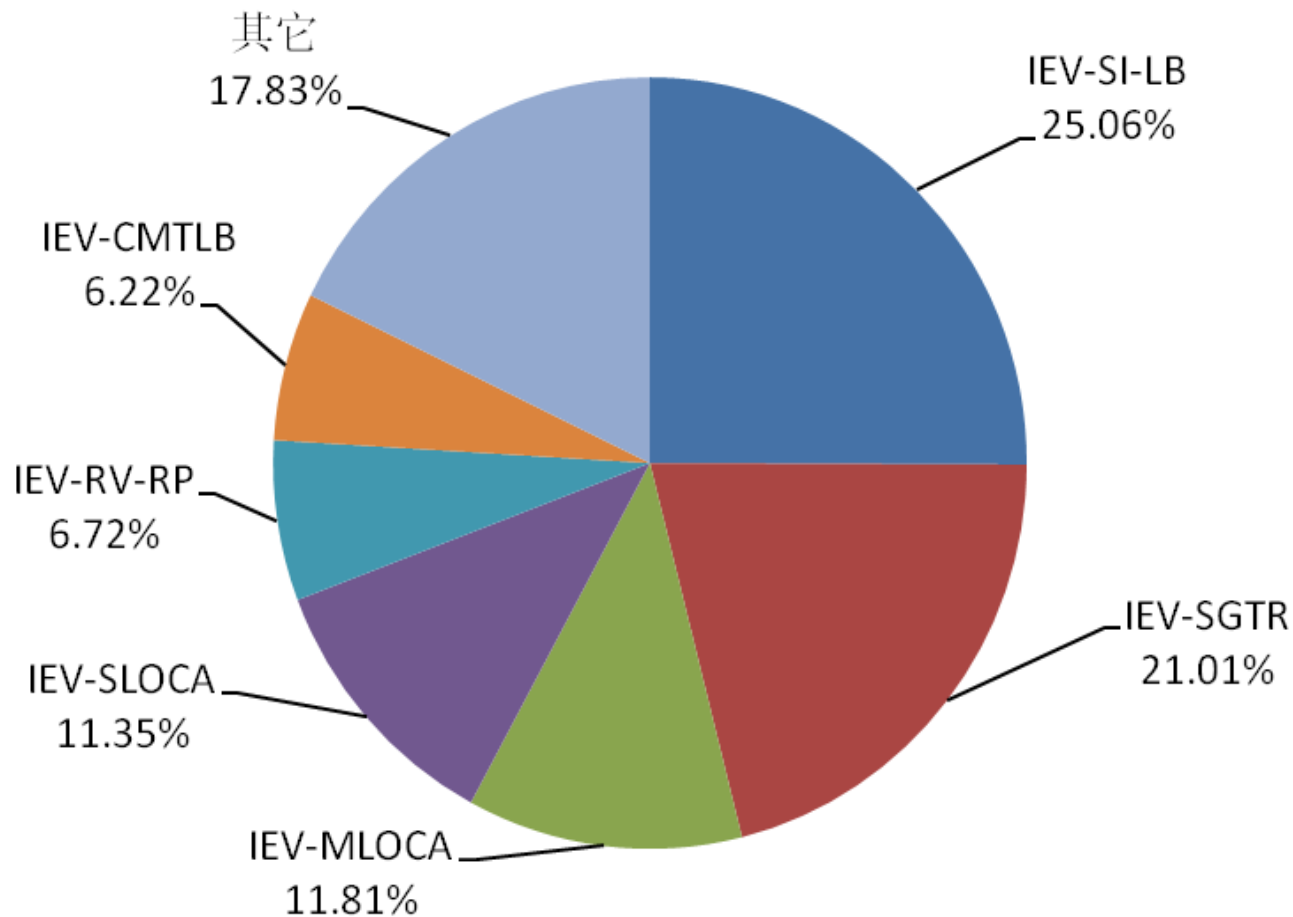
- American Nuclear Safety Regulations and Standards :
  - 1) Code of Federal Regulations **10 CFR 50**, May 2010.
  - 2) Regulatory Guide (**RG 1.200**) An Approach for Determining the Technical Adequacy of Probabilistic Risk Assessment Results for Risk-Informed Activities.
  - 3) **RG 1.206** Combined License Applications for Nuclear Power Plants (LWR)
  - 4) **NUREG-0800**, Standard Review Plan for the Review of Safety Analysis Reports for Nuclear Power Plants, 2007.
  - 5) American Society of Mechanical Engineers (ASME)/American Nuclear Society (ANS) RA--Sa-2009, Addenda to **ASME/ANS RA-S**–2008, Standard for Level 1/Large Early Release Frequency Probabilistic Risk Assessment for Nuclear Power Plant Applications.
  - 6) **NFPA 804** Standard for Fire Protection for Advanced Light Water Reactor Electric Generating Plants.

# CDF for internal events



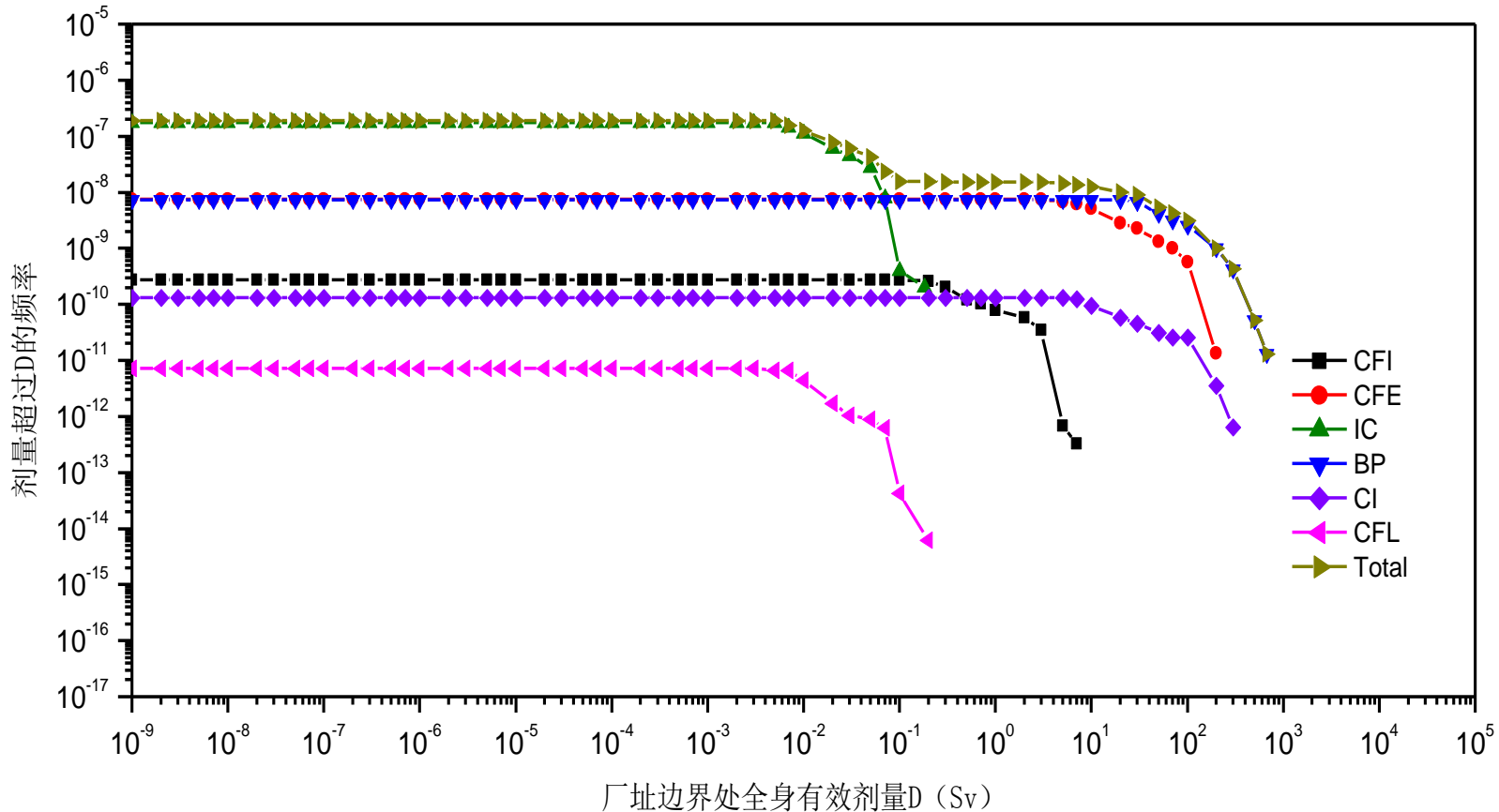
**CAP1400 at power internal events- Contribution Of Initiating Events To Core Damage**

# LRF for internal events



**CAP1400 at power internal events- Contribution Of Initiating Events To Large Release**

# Source Term and Consequence



## Site Boundary Whole Body Dose, 24h





- LPSD PSA result analysis :

• Source:	CAP1400	AP1000	AP600
• LPSD CDF:	5.12E-08/y	1.23E-7/y	1.0E-7/y

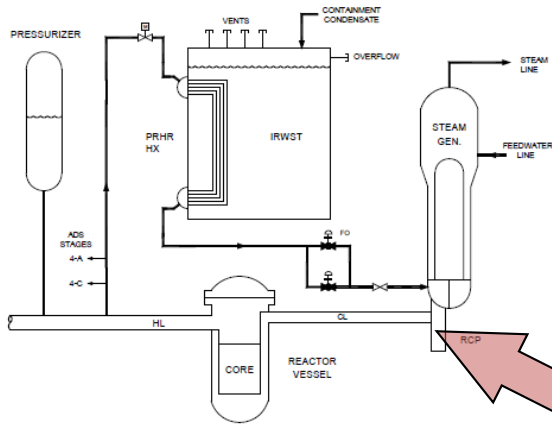
## The result indicates :

- ◆ The risk of CAP1400 under LPSD condition **is very Low** ;
- ◆ The LPSD CDF equals approximately **a quarter** of the at power internal events' CDF, and could not be ignored.

# Summary of CAP1400 PSA Results

Events	Core Damage Frequency (CDF) (per year)		Large Release Frequency (LRF) (per year)	
	At-Power	Shutdown	At-Power	Shutdown
Internal Events	<b>1.90E-07</b>	5.12E-08	<b>1.53E-08</b>	8.54E-09
Internal Flood	4.43E-10	1.35E-09	1.44E-10	2.25E-10
Internal Fire	8.67E-08	7.08E-08	1.47E-08	1.18E-08
High Wind	2.03E-09	--	1.33E-09	--
Sum	2.79E-07	1.23E-07	3.15E-08	2.06E-08
Total	<b>4.02E-07</b>		<b>5.21E-08</b>	
HAD Safety goal	1E-05		1E-06	
NRC Safety goal	1E-04		1E-06	
URD Safety goal	1E-05		1E-06	

# PSA Applying and insights

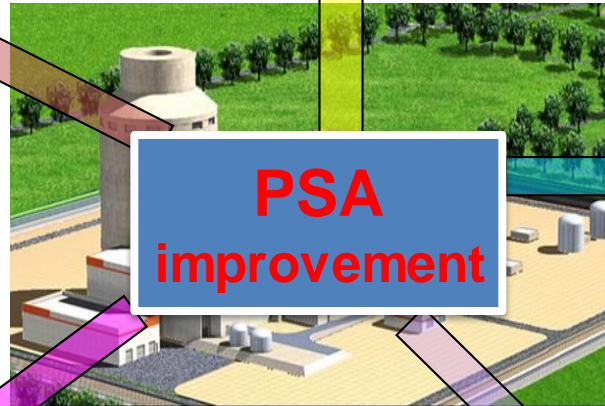


**PXS model**

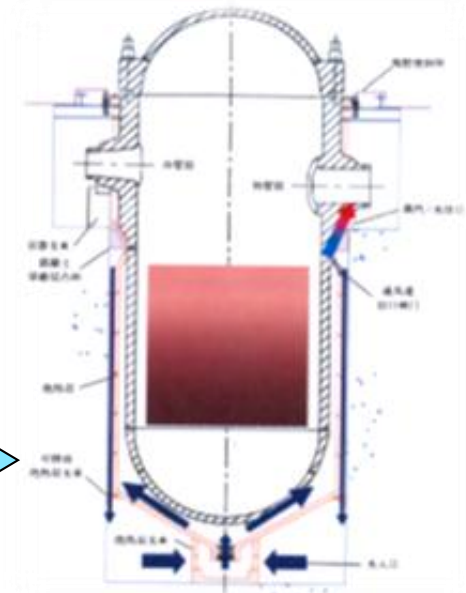
**监测系统技术与软硬件平台**  
 • 系统架构设计与系统平台开发  
 • 监测系统技术研究与模块开发  
 • 硬件系统设计



**Dives control system**



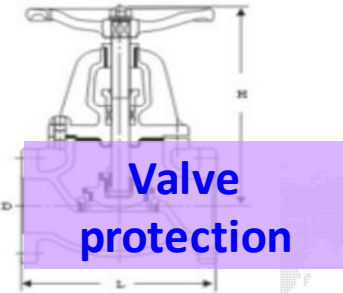
**PSA improvement**



**IVR**

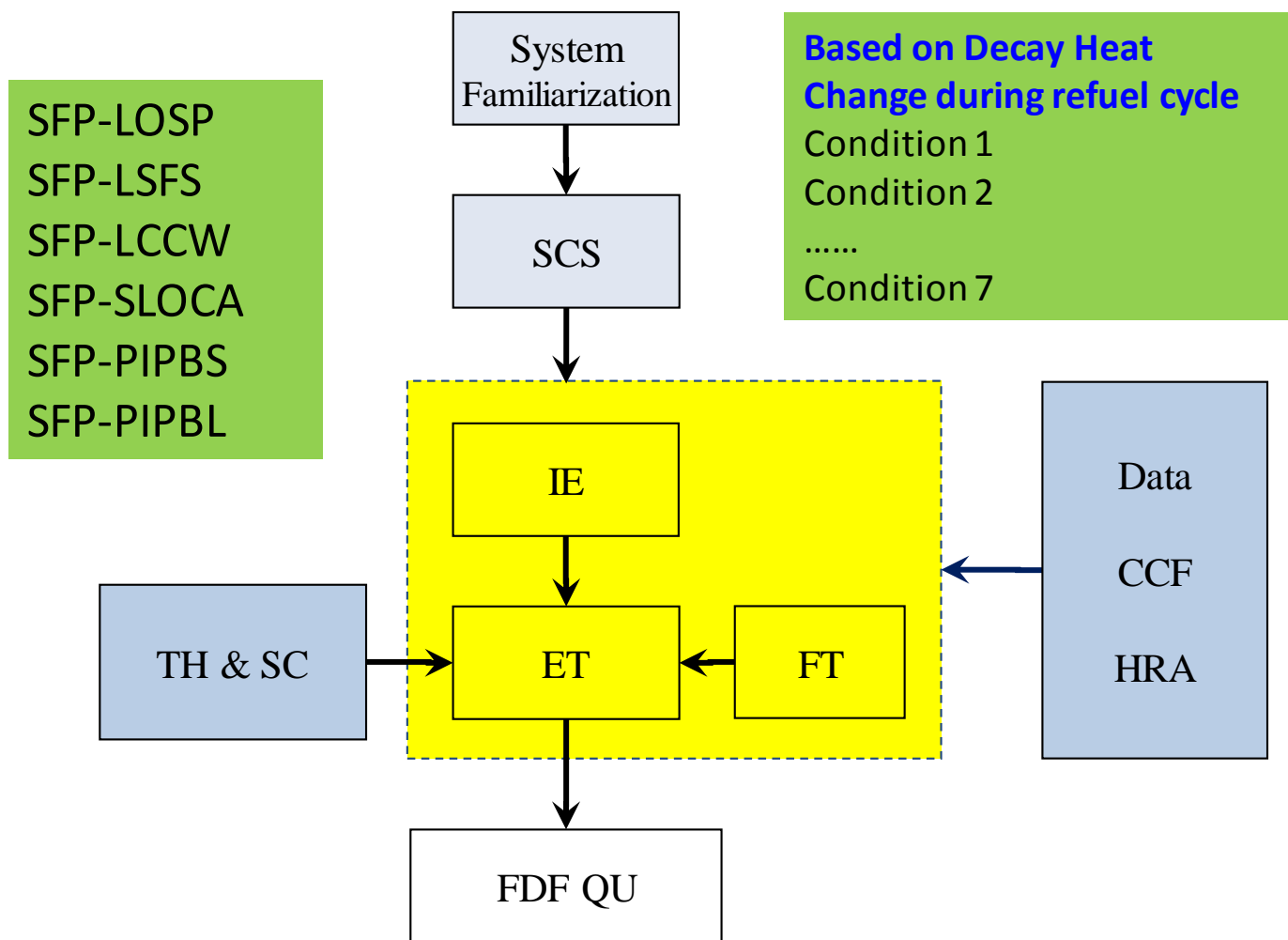


**Add VEWFDS**

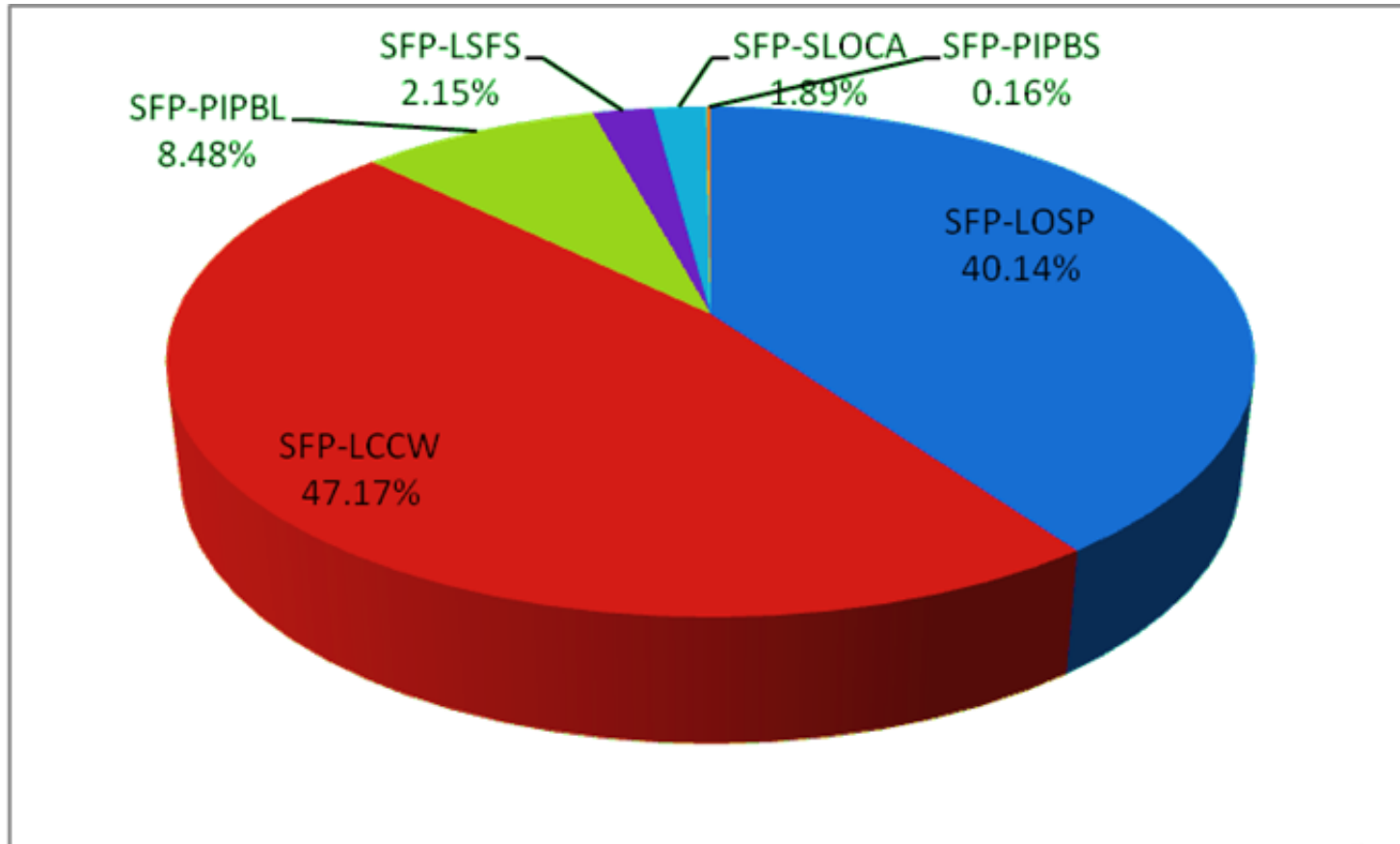


**Valve protection**

## • Risk from spent fuel pool (2013-2015)



## • Risk from spent fuel pool (2013-2015)



## • seismic PSA (2013-2017)

### Probabilistic Seismic Hazard Analysis (PSHA)

- SSHAC+CPSHA

### Fragility Analysis

- Conservative Deterministic Failure Margin (CDFM)+Hybrid
- Fragility Analysis/Generic data

### System Analysis

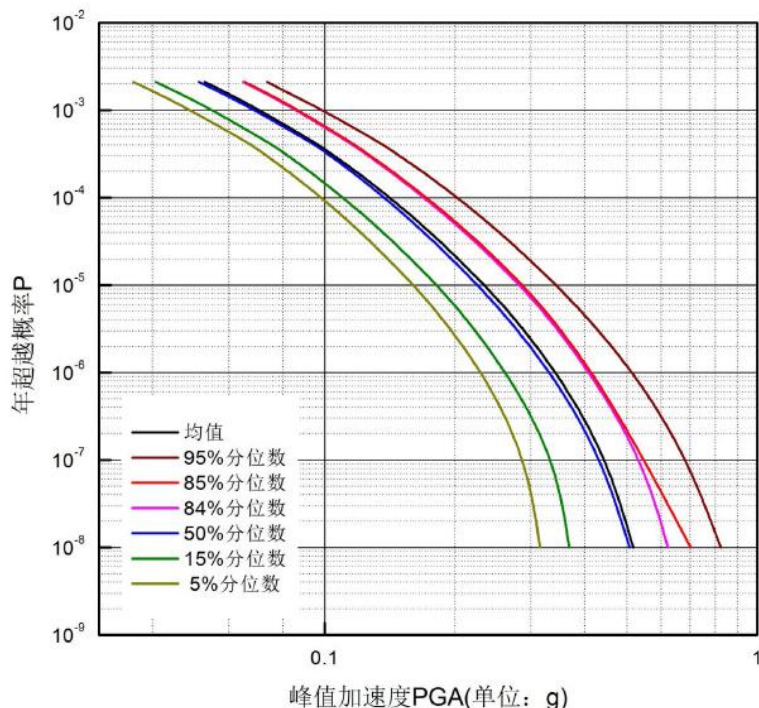
- SET + internal event PSA model

### Quantification

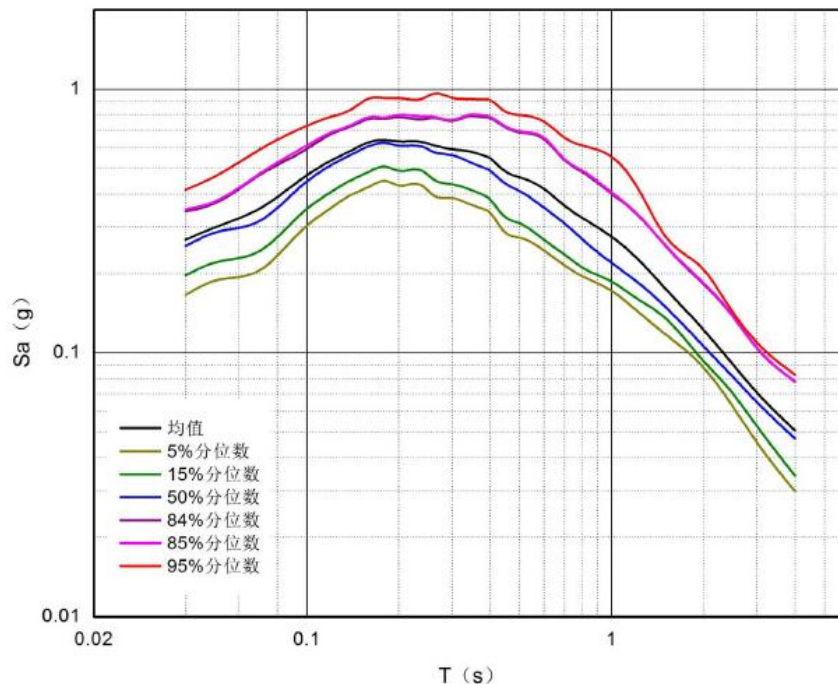
- Monte Carlo
- Binary Decision Diagram

## • seismic PSA (2013-2017)

### PSHA results of Shidaowan site, China



**Hazard Curves**

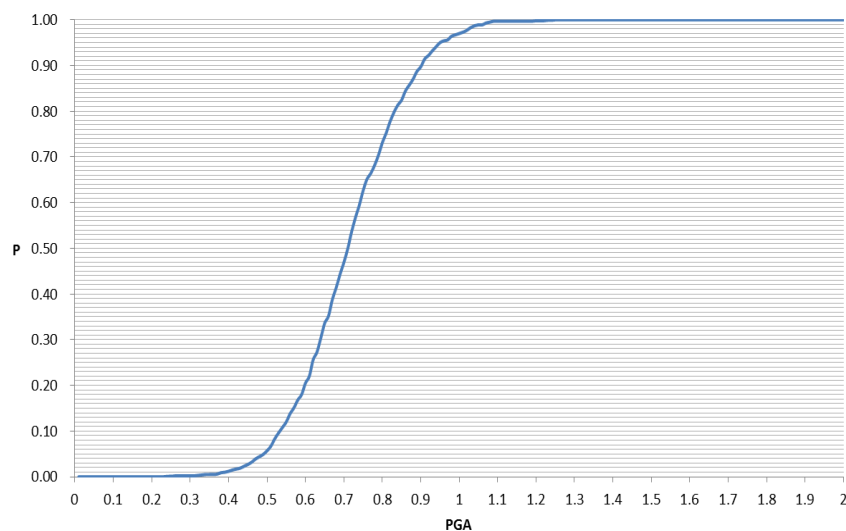


**UHRs ( $1 \times 10^{-5}$  AFE)**

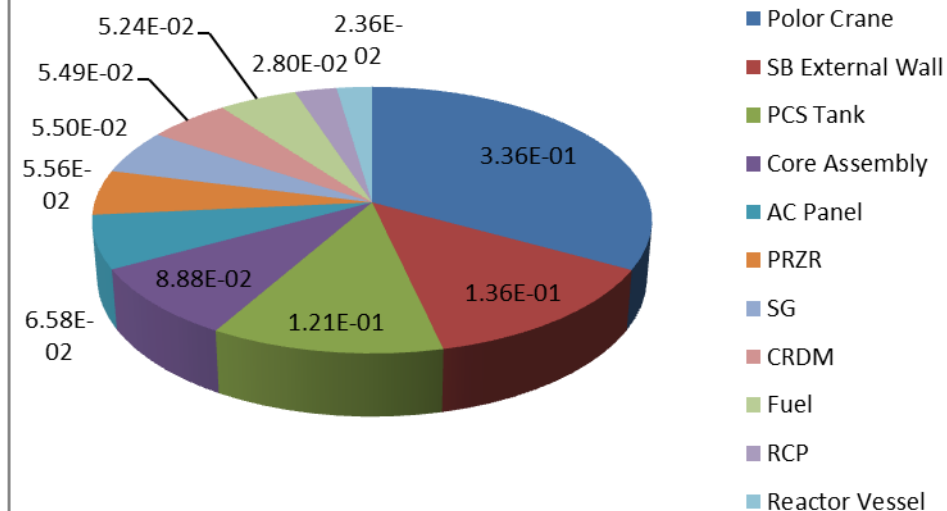
## • seismic PSA (2013-2017)

Preliminary Seismic CDF =  $2.00E-08/y$  (mean)

Fragility Mean Curve



FV Importance



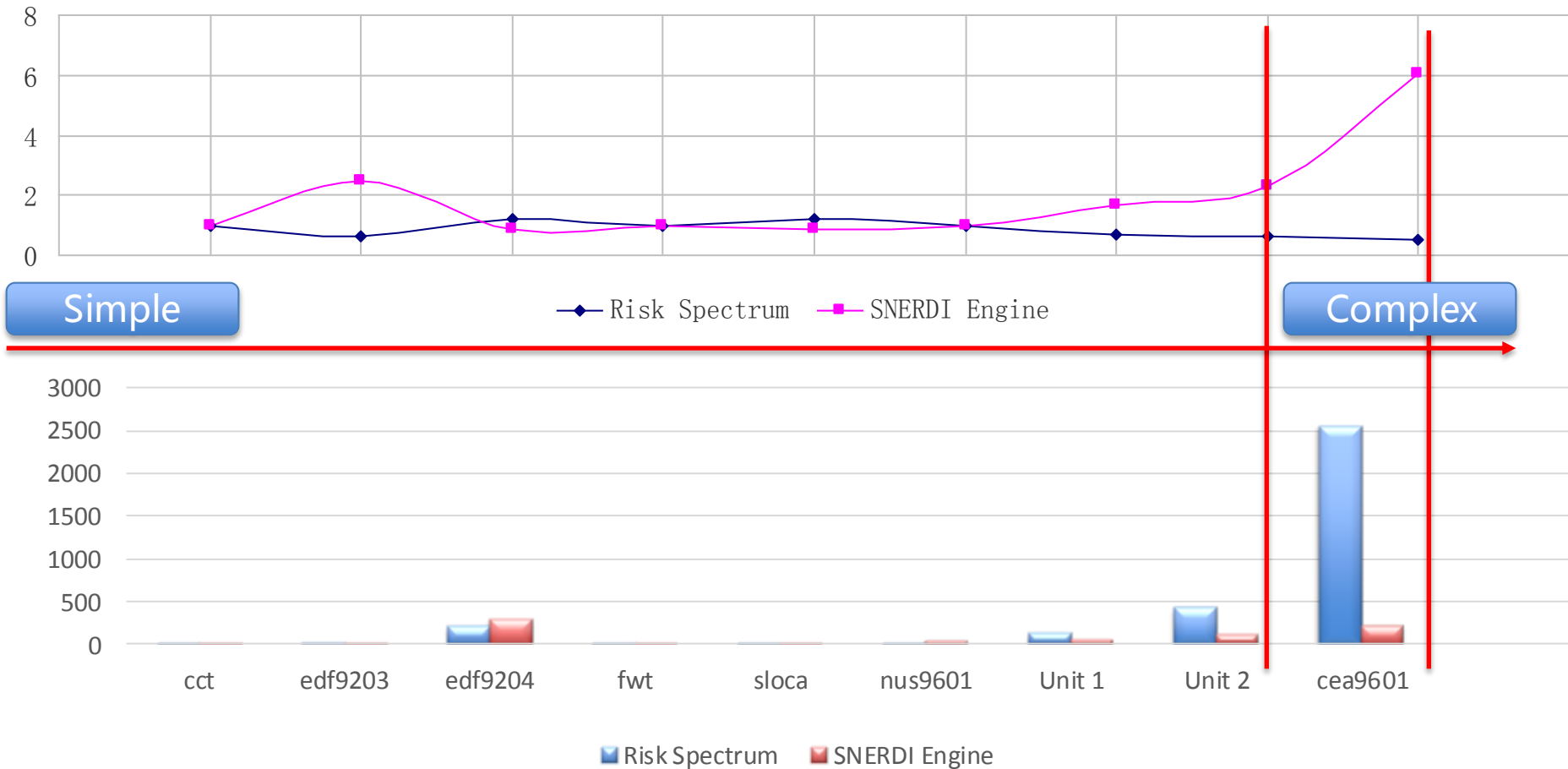


## ET/FT Analysis Engine

- **Algorithm**
  - **BDD**: Binary Decision Diagram
  - **ZBDD**: Zero-suppressed Binary Decision Diagram
- **Accuracy**
  - Relative Error:  $\pm 2\%$
- **Speed**
  - Less than 2 mins for regular plant level model on PC
- **Capability**
  - Plant level PSA model solving with high efficiency
  - Compatible with RiskSpectrum and CAFTA
  - Can be Integrated into Risk Monitor software as a third party Engine



## ET/FT Analysis Engine



Performance comparison with RiskSpectrum ( same environment )

## Risk Monitor Development

- **Structure**
  - AMP (Apache, MySQL, PHP), Browser /Server
- **Performance**
  - Integrated with BDD-based ET/FT Analysis Engine for a faster speed
  - Capable of providing risk figure, importance indication, schedule risk curve, DID status, etc., necessary information for risk monitor and management
  - High server load capacity and stability
- **Safety**
  - Strict user privilege management
  - Malicious network attack defendable
  - Data backup
- **User Experience**
  - Friendly and simple interface
  - Interactive charts with supportive information

## Risk Monitor Development

### 核电厂风险监测器

NUCLEAR POWER PLANT RISK MONITOR

电厂当前状态 制定维修计划 设置 帮助

高巍 用户管理 从本系统注销

一号机组 功率运行 正常

#### 电厂配置和计算

#### 查看详细信息

#### 电厂当前风险状态

CDF(/年): 5.64E-6  
LRF(/年): 9.91E-8  
AOT: 2.8 月

#### 目前后撤设备

名称	类型	后撤时间	原因
ACATK001	部件	2011-06-07 16:48:37	计划性维修
FWAHV057	部件	2011-06-07 16:48:37	计划性维修
CCAPM01A	部件	2011-06-07 16:48:37	计划性维修

#### 运行建议

状态	要采取的措施	完成时间
ACC_A	使硼浓度恢复到限值内。	72小时
ACC_B	使安注箱恢复到可运行的状态。	8小时
	关闭或隔离主给水隔离阀流	

添加后撤设备 恢复设备 计算 保存维修计划 生成报表 查看/计算重要度

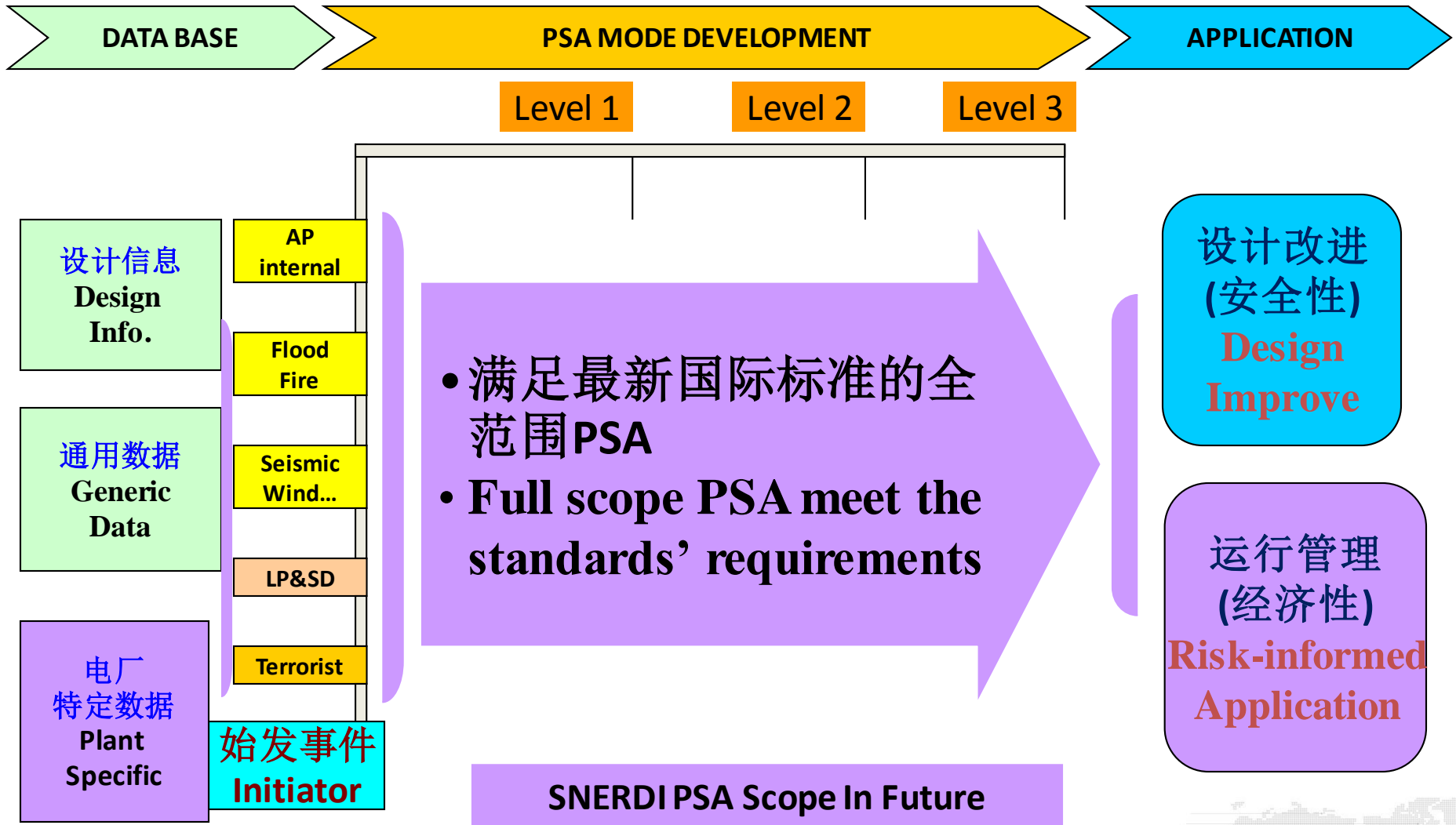
部件	维修计划											
	2010 Feb	2010 Mar	2010 May	2010 Jun	2010 Jul	2010 Aug	2010 Sep	2010 Nov	2010 Dec	2011 Jan	2011 Feb	2011 Apr
CCAPM01A	[Red Bar]											
FWAPM02A		[Red Bar]										
ZO1DG02A				[Red Bar]								
ZO1DG02B								[Red Bar]				

添加/删除

系统	系统状态											
	2010 Feb	2010 Mar	2010 May	2010 Jun	2010 Jul	2010 Aug	2010 Sep	2010 Nov	2010 Dec	2011 Jan	2011 Feb	2011 Apr
CCS	[Green Bar]											
FWS	[Green]	[Yellow]	[Yellow]	[Yellow]	[Green]	[Green]	[Green]	[Green]	[Green]	[Green]	[Green]	[Green]
CCA	[Red]	[Red]	[Red]	[Green]	[Green]	[Green]	[Green]	[Green]	[Green]	[Green]	[Green]	[Green]
FWA	[Green]	[Red]	[Red]	[Red]	[Green]	[Green]	[Green]	[Green]	[Green]	[Green]	[Green]	[Green]

CDF LRF





Any collaboration for above-mentioned areas  
is necessary and encouraged



Thank you for your  
Email: zhanwh@sner

