



ANALYSIS OF THE FUKUSHIMA DAIICHI ACCIDENT FROM A HUMAN AND ORGANIZATIONAL PERSPECTIVE

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INTRODUCTION : OBJECTIVES

- A new paradigm for HRA?

Human Factor as the last barrier

Panel on Fukushima, HRA Society - PSAM11 ESREL12

➤ **Need for an analysis of the accident from a Human and Organizational perspective**

- Decision making, Actions in the field
- Insights for PSA, HRA and SAM
- MONACOS & MERMOS (EDF's methods)
- New focuses to investigate

SUMMARY

1. WORK METHODOLOGY
2. MULTI UNIT MANAGEMENT
3. FIELD WORK IN EXTREME SITUATION
4. CONCLUSIONS



WORK METHODOLOGY

1. Bibliography
2. The MONACOS model



BIBLIOGRAPHY

- **Fukushima Nuclear Accident Analysis Report and Attachments, June 20, 2012 (TEPCO)**
- **Special Report on the Nuclear Accident at the Fukushima Daiichi Nuclear Power Station, November 2011 (INPO)**
- **Fukushima Daiichi : ANS Committee Report, March 2012 (American Nuclear Society)**
- **The official report of the Fukushima Nuclear Accident Independent Investigation Commission, 2012 (The National Diet of Japan)**
- **Le déroulement de l'accident de Fukushima Daiichi, March 2012 (IRSN)**

MONACOS MODEL FOR UNIT 3

| Timestamp | Events | Operating Strategies | | |
|---------------|---|--|--|--|
| 11/03 – 14:46 | Earthquake Reactor SCRAM | EOPs applied – Field evacuation to MCR or ERC PS | | |
| 11/03 – 14:46 | | Reactor parameters control via RCIC | | |
| 11/03 – 15:35 | Tsunami | | | |
| 11/03 – 15:42 | Confirmation of tsunami Loss of AC sources | Reactor parameters control via RCIC | | Field checks of equipments and power sources status |
| 12/03 – 00:00 | DC, RCIC & HPCI ok | Low pressure injection line preparation | | |
| 12/03 – 11:36 | Automatic shutdown of the RCIC | Manual activation of the HPCI | P _{PCV} control via S/C then D/W spray | Restoration works |
| 12/03 – 15:36 | H₂ explosion on Unit 1 | Reactor parameters control via HPCI | | |
| 12/03 – 17:20 | P _{PCV} increase because of RCIC & HPCI operation | | Venting line preparation | Damaged equipments restoration |
| 13/03 – 2:42 | P _{RPV} below HPCI operating pressure | HP → LP switch preparation | | |
| 13/03 – 3:45 | | RPV depressurization via SRV attempts | | |
| 13/03 – 8:35 | | LP injection attempts | | |
| 13/03 – 9:08 | P _{PCV} high enough for venting LP injection ok | SRV restoration and opening LP injection failure RCIC or HPCI restart failure LP injection attempts | Venting line implementation | |
| 13/03 – 9:20 | Venting failure | | Venting line valves restoration | Restoration of long term accident control equipments |
| 13/03 – 10:40 | Core damages | | Temporary air compressors used to maintain valves opened | |
| 13/03 – 13:12 | Seawater injection | Water sources switch | | |
| 14/03 – 6:10 | Venting confirmed | | | |
| 14/03 – 11:01 | H₂ explosion on Unit 3 | Field evacuation | | |
| 14/03 – 13:05 | No cooling | | | Damaged equipments restoration |
| 14/03 – 15:30 | Cooling ok | Seawater injection resumed | | |
| 15/03 – 00:00 | End of the analysis : core damaged, small leaks in PCV and RPV, PCV vented, seawater injection | | | |

MONACOS MODEL ANALYSIS

- **Analysis of the CICAs allow a good understanding of the situation :**

- **Why** → Context
- **Who** → Role of teams, decision making process, ...
- **Where** → Plant architecture, Accessibility and field conditions, ...
- **When** → Event progression
- **How** → Emergency procedures, imagination of teams, ...
- **What** → Results of the operating actions

CICA =
Important
Characteristic
for Emergency
Operations

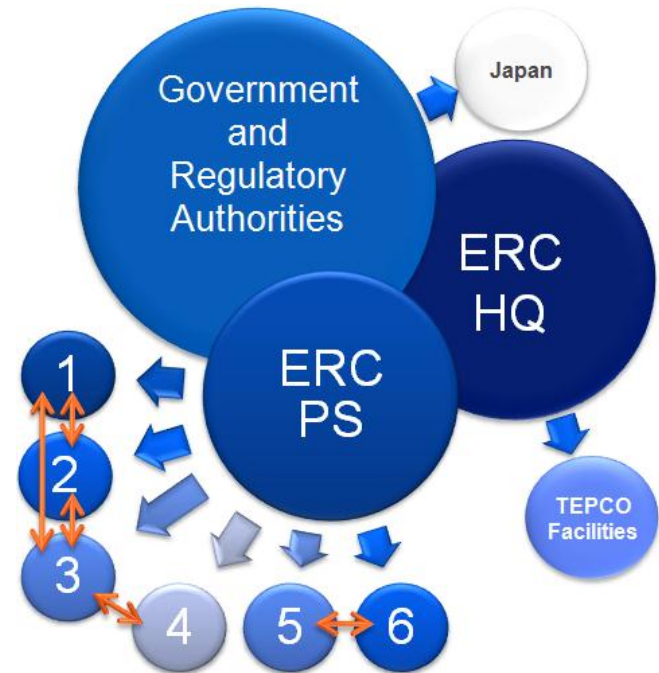
- **Performed on most of the CICAs for Units 1, 2 & 3**

- **Further analysis on each “critical points” of the accident :**

- IC operation misunderstanding between MCR and ERC at PS on Unit 1
- PCV venting failure on Unit 2
- High Pressure → Low Pressure switch failure on unit 3

MULTI UNIT MANAGEMENT

1. Situation at Fukushima Daiichi
2. Unit Interactions Analysis



LOCAL TEAMS ORGANISATION

■ Main Control Room :

- Same operation team and MCR for unit **pairs** : 1&2, 3&4, 5&6
- 24 persons per pair of MCR :
 - 14 Operators including the shift supervisor
 - 10 Field workers

■ Emergency Response Center at the Power Station

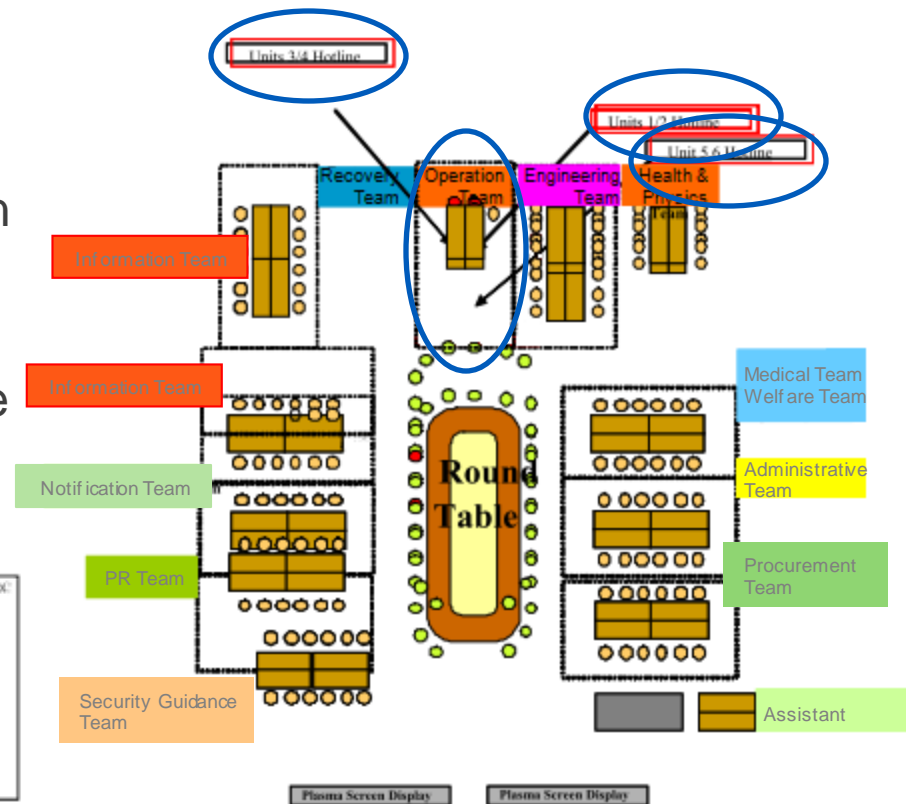
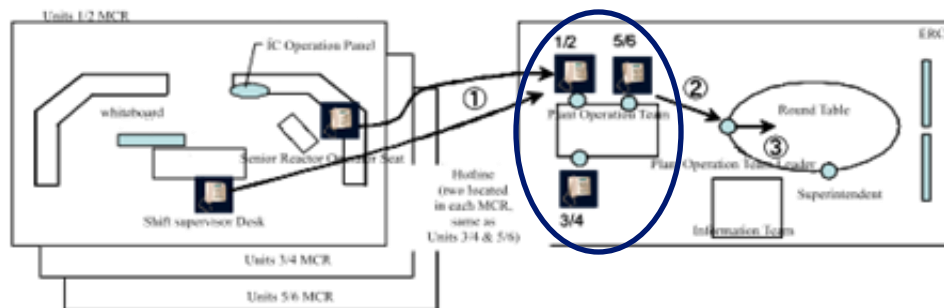
- 12 teams ≈ 400 persons including the Site Superintendant
 - Recovery, Health & Physics, Engineering, Operation, ...
- Located in a seismic isolated building, already on site after the earthquake

LOCAL TEAMS ORGANISATION

MCR ↔ ERC PS COMMUNICATION

3 steps chain communication

- 1) From MCR to ERC PS Operation Team
- 2) From Op Team to Op Team Leader
- 3) From Op Team Leader to Site Superintendant and other team leader



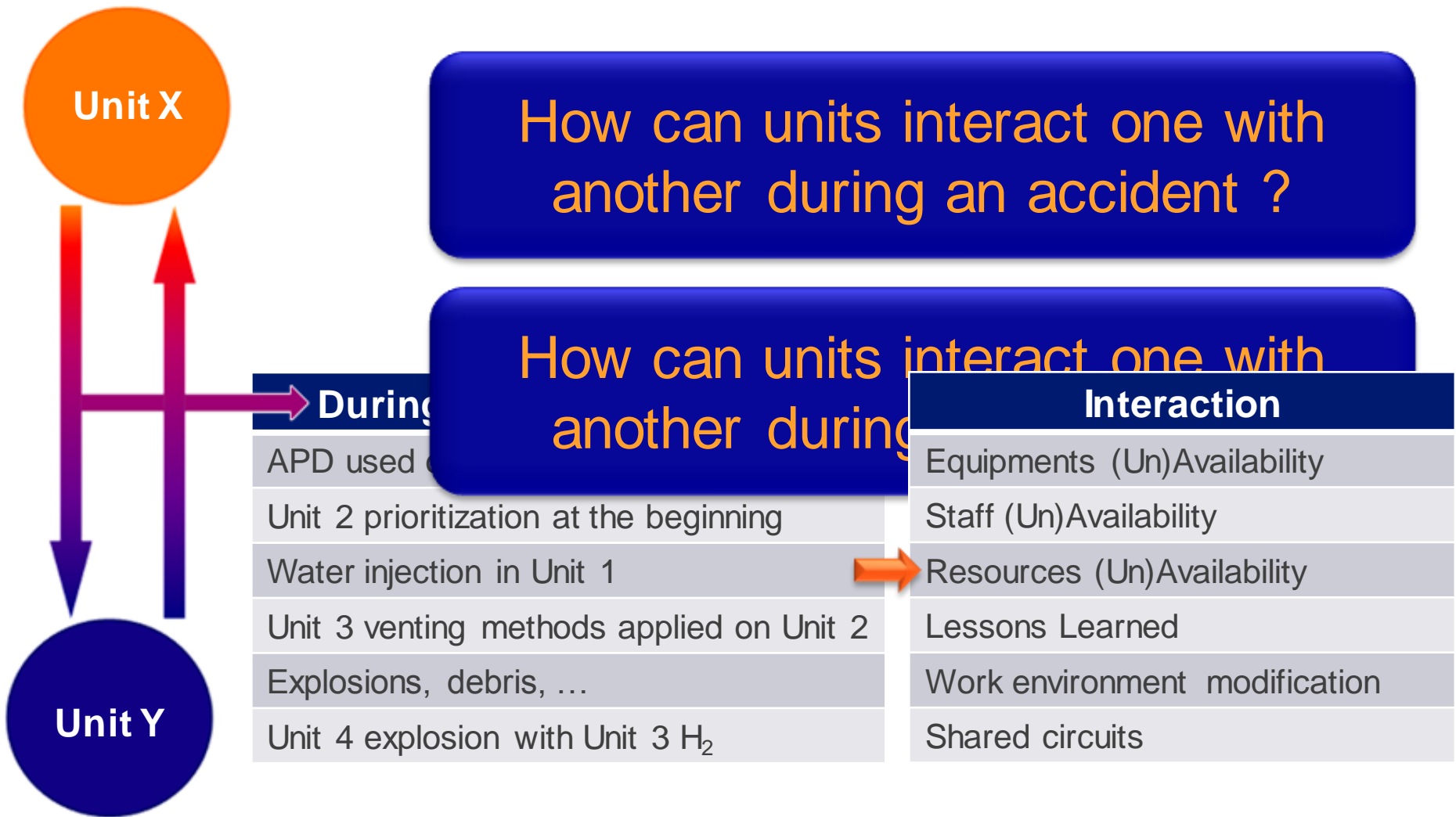
MONACOS MODEL FOR THE ERC at PS

CICAs for the ERC at Power Station

Main points :

- *Different dynamics of the accidents :*
 - **1** : 24 hours before explosion
 - **3** : 68 hours before explosion
 - **2** : 87 hours before PCV damages
- *One specific problem per unit with different priorities :*
 - **1** : IC operation
 - **2** : PCV Venting
 - **3** : HP/LP injection switch
- *Important constraints in the field (explosions, tsunami...)*
 - Accessibility of equipment locations
 - Discontinued field work
 - ...
- *Communication and parameters monitoring difficulties*

MULTI-UNIT INTERACTIONS

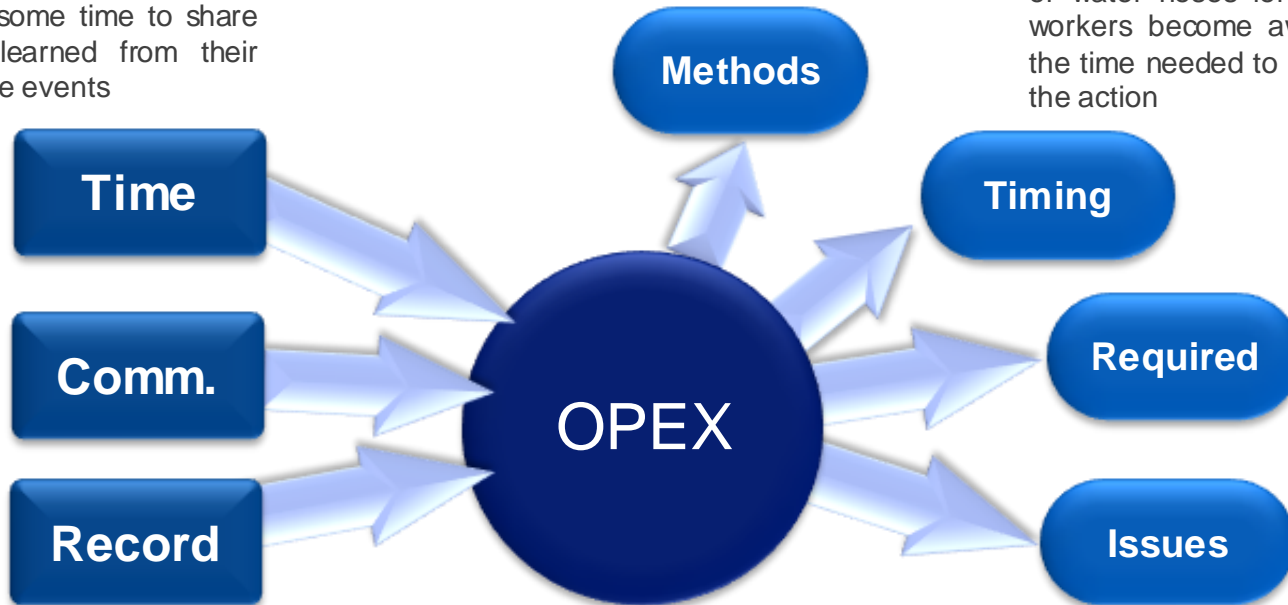


“REAL TIME” LESSONS LEARNED

Operating procedures prepared and implemented on SRV opening for Unit 3 were used again on Unit 2 when needed

After laying power cables or water hoses for a unit, workers become aware of the time needed to perform the action

Teams need some time to share the lessons learned from their actions and the events



Communication means are required between both teams

After using them on Unit 3, workers know they will need portable air compressors in the field to open SRV on Unit 2

Keeping a communication support (written, audio, drawings, ...) is also important for the second team understanding

H₂ explosion on Unit 1 made the ERC conscious of the same risk on the other units of the plant

FIELD WORK IN EXTREME SITUATION

1. Synthesis of difficulties
2. Example
3. Discontinued work



ERC at PS

Approximately **1,5km** between Main Control Rooms and the ERC at Power Station. On flat and clear land it takes about **15 minutes** by foot. On the site, the roads were damaged by the earthquake and on sloping ground so the walk would last a bit longer (maybe **20 minutes** ?)

Back and forth from MCR to ERC would take about **40 minutes**, assuming that workers leave as soon as they arrive. In the facts, they had to **confirm** their **presence** to their team leaders.

Workers often received instruction to evacuate from the field to the ERC at PS, and walk all the way from field to higher ground.

→ This is one of the reasons it takes more than an hour for field checks to start after explosions, alerts, ...

(a)

(b)

MCR 1+2

福島第一原子力発電所
Fukushima Daiichi
Nuclear Power Station

MCR 3+4

100 m

WORKING CONDITIONS

Work in dark places

No means of communication with the ERC at Power Station, Obstacle and debris spread about the field, Shifts needed as work performed wearing protective clothing in high dose environment ...



AND

Manhole covers missing underwater

Discontinued work due to aftershocks, tsunami alerts, ...



| Date | Entries | Events | Operating Actions and communications |
|---------------|--|--|---|
| 11/3/11 14:46 | Units in operation at full power | | earthquake |
| | | <p data-bbox="647 92 1506 278">"Manhole covers had been dislodged by the force of the water, so we walked through the debris by the light of the moon checking step by step to make sure there were no holes".</p> | <p data-bbox="1574 121 1816 149">3m tsunami alert</p> <p data-bbox="1574 178 1816 207">6m tsunami alert</p> <p data-bbox="1574 235 1816 264">>10m tsunami alert</p> |
| 11/3/11 15:35 | Biggest tsunami wave arrives on site | | |
| | <p data-bbox="280 285 627 307">Loss of unit 1 AC power sources</p> <p data-bbox="280 307 627 328">Loss of unit 1 DC power sources</p> <p data-bbox="280 328 627 349">Loss of unit 3 AC power sources</p> <p data-bbox="280 349 627 371">DC power sources for unit 3 available but limited</p> <p data-bbox="280 371 627 392">RCIC manually started but status unknown</p> <p data-bbox="280 392 627 414">Loss of unit 2 AC power sources</p> <p data-bbox="280 414 627 435">Loss of unit 2 DC power sources</p> | <p data-bbox="647 335 1506 464">"Laying cable takes 1 to 2 months under ordinary conditions. Doing it in a couple of hours was unprecedented"</p> | <p data-bbox="1719 421 1922 549">Equipments status field checks</p> |
| 11/3/11 15:37 | ERC to NISA : Article 10 situation (Station Black Out) on site | U3 DC, HPCI & RCIC | |
| | <p data-bbox="280 471 627 492">IC status unknown</p> <p data-bbox="280 492 627 514">Roads safety checks on site</p> <p data-bbox="280 514 627 535">Off site power checks</p> <p data-bbox="280 535 627 556">RCIC manually started, operations confirmed</p> <p data-bbox="280 556 627 578">ERC to NISA : Article 15 situation (Loss of ECI)</p> <p data-bbox="280 578 627 599">ERC to MCR confirmation of IC operation via steam</p> <p data-bbox="280 599 627 621">ERC cancels article 15 situation notification</p> <p data-bbox="280 621 627 642">Article 15 situation notification</p> | <p data-bbox="647 528 1506 885">"Aftershocks caused the most trouble. We'd leave and have to come back, leave and come back. And, it took time to confirm safety in each instance. When there was a large aftershock we would rush back as if our life was in danger. So, we weren't ready to merely head back out after the quake ended and usually needed two hours or so to recover after which we headed back out"</p> | <p data-bbox="1719 599 1922 642">Restoration</p> <p data-bbox="1719 728 1922 771">sure</p> <p data-bbox="1719 728 1922 771">accessibility</p> <p data-bbox="1719 771 1922 813">to different</p> <p data-bbox="1719 813 1922 856">parts of the</p> <p data-bbox="1719 856 1922 899">site</p> |
| 11/3/11 16:44 | Alternate injection ways investigation | | earthquake |
| 11/3/11 18:00 | Fire engines conditions checks - 1/3 available | | earthquake |
| | <p data-bbox="280 756 627 778">Electrical distribution systems checks begin</p> <p data-bbox="280 778 627 799">ERCPS understands that IC is operating properly</p> <p data-bbox="280 799 627 821">In fact, IC has been stopped by MCR operation</p> <p data-bbox="280 821 627 842">Connection road between units 2 and 3 cleared</p> <p data-bbox="280 842 627 863">ERCPS understands that DDFP inject water in IC</p> | | <p data-bbox="1719 728 1922 771">sure</p> <p data-bbox="1719 771 1922 813">accessibility</p> <p data-bbox="1719 813 1922 856">to different</p> <p data-bbox="1719 856 1922 899">parts of the</p> <p data-bbox="1719 899 1922 942">site</p> |
| 11/3/11 18:25 | ERCPS works on venting PCV without power | | earthquake |
| | <p data-bbox="280 928 627 949">Power restoration in MCR with small power</p> <p data-bbox="280 949 627 971">Firts power supply vehicles arrive on site from T</p> <p data-bbox="280 971 627 992">ERCPS forbids entry in unit 1 R/B</p> <p data-bbox="280 992 627 1013">Plants parameters are considered abnormal</p> <p data-bbox="280 1013 627 1035">PCV venting ordered</p> <p data-bbox="280 1035 627 1056">RCIC operations confirmed</p> | <p data-bbox="647 949 1506 1170">"There were some people that came to work crying because they had lost their families in the earthquake, and everyone at the power station didn't know whether their families were dead or alive because the phones weren't working"</p> | <p data-bbox="1719 899 1922 942">site</p> <p data-bbox="1719 942 1922 985">from outside</p> <p data-bbox="1719 985 1922 1028">ation</p> <p data-bbox="1719 1028 1922 1071">works in</p> <p data-bbox="1719 1071 1922 1113">immediate</p> |
| 11/3/11 23:05 | PCV venting ordered | | earthquake |
| 12/3/11 2:55 | Unit 1 is prioritized over Unit 2 | | earthquake |
| | <p data-bbox="280 1120 627 1142">ERCPS considers that PCV venting has worked</p> <p data-bbox="280 1142 627 1163">ERCPS estimates that PCV venting hasn't been successful</p> | | <p data-bbox="1719 1028 1922 1071">immediate</p> <p data-bbox="1719 1071 1922 1113">ed</p> <p data-bbox="1719 1113 1922 1156">ments</p> <p data-bbox="1719 1156 1922 1199">MCR</p> <p data-bbox="1719 1199 1922 1242">ting</p> |
| 12/3/11 10:40 | Seawater injection preparation | | earthquake |
| | PCV pressure control via S/C spray | | earthquake |
| 12/3/11 14:00 | Temporary Air Compressors distributed by ERCPS | | earthquake |
| 12/3/11 15:36 | Unit 1 Hydrogen Explosion | H2 explosion on U1 | Field evacuation |
| | | | <p data-bbox="1574 1292 1816 1320">Large tsunami alert</p> |

CONCLUSIONS

1. Severe accident management
2. Perspectives

SEVERE ACCIDENT MANAGEMENT

- **Different accidents on each reactor**
- **New issues**
 - Severe accident environment's impact
 - Multi units interactions
- **Emergency team operation**
- **A very rich feedback**
 - **In depth detailed operations' analysis is very fruitful**

PERSPECTIVES

- Clarify unclear points
- Extend the analysis to Units 4, 5 & 6 (work in progress)
- Search for other “site accidents” (Blayais, Ocone, Fukushima Daini,...) to improve the multi unit interactions’ modeling
- Model the organisational resilience
- Insights for HRA

**THANK YOU FOR YOUR
ATTENTION**

